



The Navajo Nation **DR. BUU NYGREN** **PRESIDENT**
Yideeskáądi Nitsáhákees **RICHELLE MONTOYA** **VICE PRESIDENT**

August 4, 2023

Honorable Crystalyne Curley, Speaker
Navajo Nation Council
Post Office Box 3390
Window Rock, AZ 86515

RE: *CJY-64-23 An Action Relating to the Naabik'iyáti' Committee and Navajo Nation Council; Approving the Navajo Nation Fiscal Recovery Fund Delegate Region Project Plan for Honorable Carl R. Slater's Delegate Region (Chapters: Tsaile/Wheatfields, Lukachukai, Round Rock, Tsé Ch'ízhí, Rock Point)*

Dear Speaker Curley,

I am pleased to sign into law this resolution CJY-64-23 approving the Fiscal Recovery Funds Delegate Regional Project Plan for Honorable Slater's Delegate Region in the amount of \$5,200,386.

My Administration supports the plans of these Chapters who have worked very hard to put together their Regional Plans and is working very closely with the Fiscal Recovery Fund Office to ensure that these funds are put to use. As such, when Chapters are running into roadblocks in expending their Fiscal Recovery Funds and the roadblock is coming from the Executive Branch, I encourage them to reach out to the Office of the President and Vice President and inform my office of the roadblock and we will work to overcome those roadblocks.

I do want to take the moment to express my concern that any amendments to the Regional Plans must be approved by the Council. In addition, the prior Navajo Nation Council has set the expectation that Fiscal Recovery Funds be encumbered by June 30, 2024. This deadline is not far off. As a construction expert, I know that there are unpredictable factors that can prevent even the best of plans from moving forward on the proposed timeline. We should be designing systems that are flexible, accountable, and transparent. We do not currently have that system and I look forward to working with you and the entire Council to improve the Navajo Nation systems, so we are supporting our communities to take advantage of this historic funding.

Now let's get to work!

Sincerely,

A handwritten signature in blue ink, appearing to be "Buu Nygren", written over a horizontal line.

Dr. Buu Nygren, *President*
THE NAVAJO NATION

RESOLUTION OF THE
NAVAJO NATION COUNCIL
25th NAVAJO NATION COUNCIL - FIRST YEAR, 2023

AN ACTION

RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE AND NAVAJO NATION COUNCIL;
APPROVING THE NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION
PROJECT PLAN FOR HONORABLE CARL R. SLATER'S DELEGATE REGION
(CHAPTERS: TSAILE/WHEATFIELDS, LUKACHUKAI, ROUND ROCK, TSÉ
CH'ÍZHÍ, ROCK POINT)

BE IT ENACTED:

SECTION ONE. AUTHORITY

- A. The Navajo Nation Council is the governing body of the Navajo Nation. 2 N.N.C. § 102(A).
- B. The Naabik'íyáti' Committee is a standing committee of the Navajo Nation Council with the delegated responsibility to hear proposed resolution(s) that require final action by the Navajo Nation Council. 2 N.N.C. § 164(A)(9).
- C. Navajo Nation Council Resolution No. CJN-29-22, incorporated herein by reference, mandates that Navajo Nation Fiscal Recovery Fund ("NNFRF") Delegate Region Project Plans be approved by Navajo Nation Council resolution and signed into law by the President of the Navajo Nation pursuant to 2 N.N.C. § 164(A) and 2 N.N.C. §§ 1005(C)(10), (11), and (12).

SECTION TWO. FINDINGS

- A. Navajo Nation Council Resolution No. CJN-29-22, AN ACTION RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE AND NAVAJO NATION COUNCIL; ALLOCATING \$1,070,298,867 OF NAVAJO NATION FISCAL RECOVERY FUNDS; APPROVING THE NAVAJO NATION FISCAL RECOVERY FUND EXPENDITURE PLANS FOR: CHAPTER AND REGIONAL PROJECTS; PUBLIC SAFETY EMERGENCY COMMUNICATIONS, E911, AND RURAL ADDRESSING PROJECTS; CYBER SECURITY; PUBLIC HEALTH PROJECTS; HARDSHIP ASSISTANCE; WATER AND WASTEWATER PROJECTS; BROADBAND PROJECTS; HOME ELECTRICITY CONNECTION AND ELECTRIC CAPACITY PROJECTS; HOUSING PROJECTS AND MANUFACTURED HOUSING FACILITIES; BATHROOM ADDITION PROJECTS; CONSTRUCTION CONTINGENCY FUNDING; AND REDUCED ADMINISTRATIVE FUNDING, was signed into law by the President of the Navajo Nation on July 15, 2022.
- B. CJN-29-22, Section Three, states, in part and among other things, that

1. The Navajo Nation hereby approves total funding for the NNFRF Chapter and Chapter Projects Expenditure Plan from the Navajo Nation Fiscal Recovery Fund in the total amount of two hundred eleven million two hundred fifty-six thousand one hundred forty-eight dollars (\$211,256,148) to be divided equally between the twenty-four (24) Delegate Regions in the amount of eight million eight hundred two thousand three hundred forty dollars (\$8,802,340) per Delegate Region . . . and allocated through Delegate Region Project Plans approved by Navajo Nation Council resolution and signed into law by the President of the Navajo Nation See CJN-29-22, Section Three (B).
 2. The Delegate Region Project Plan funding will be allocated to the Navajo Nation Central Government, specifically the Division of Community Development or other appropriate Navajo Nation Division or Department, to implement the projects rather than directly to the Chapters. See CJN-29-22, Section Three (D).
 3. The Navajo Nation Central Government, specifically the Division of Community Development or other appropriate Navajo Nation Division or Department, shall manage and administer funds and Delegate Region Project Plans on behalf of Non-LGA-Certified Chapters. The Navajo Nation Central Government may award funding to LGA-Certified Chapters through sub-recipient agreements to implement and manage specific projects, but shall maintain Administrative Oversight over such funding and Delegate Region Project Plans. See CJN-29-22, Section Three (E).
 4. Each Navajo Nation Council delegate shall select Fiscal Recovery Fund eligible projects within their Delegate Region to be funded by the NNFRF Chapter and Regional Projects Expenditure Plan through a Delegate Region Projects Plan. The total cost of projects selected by each Delegate shall not exceed their Delegate Region distribution of eight million eight hundred two thousand three hundred forty dollars (\$8,802,340). See CJN-29-22, Section Three (F).
 5. Each Delegate Region Project shall identify its Administrative Oversight entity and its Oversight Committee(s) and be subject CJY-41-21's NNDOJ initial eligibility determination. See CJN-29-22, Section Three (L) (5) and (L) (6).
- C. All projects listed in the Hon. Carl R. Slater's Delegate Region Projects Plan, attached as Exhibit A, have been deemed Fiscal Recovery Fund eligible by NNDOJ. In addition, Hon. Carl

R. Slater's Delegate Region Projects Plan does not exceed the amount of \$8,802,340, as set forth in CJN-29-22, Section Three (F).

- D. The Navajo Nation Council hereby finds that it is in the best interest of the Navajo Nation and the Hon. Carl R. Slater's Delegate Region Chapters and communities to approve and adopt the Navajo Nation Fiscal Recovery Fund Delegate Region Project Plan for Hon. Carl R. Slater's Delegate Region (Chapters: Tsaile/Wheatfields, Lukachukai, Round Rock, Tsé Ch'ízhí, Rock Point) as set forth in **Exhibit A**.

SECTION THREE. APPROVAL OF HON. CARL R. SLATER'S DELEGATE REGION PROJECT PLAN

- A. The Navajo Nation hereby approves the Fiscal Recovery Fund Delegate Region Project Plan for Hon. Carl R. Slater's Delegate Region (Chapters: Tsaile/Wheatfields, Lukachukai, Round Rock, Tsé Ch'ízhí, Rock Point) set forth in **Exhibit A**.
- B. The Delegate Region Project Plan approved herein shall comply with all applicable provisions of CJY-41-21, CJN-29-22, and BFS-31-21.
- C. Any inconsistencies between this legislation, the Delegate Region Project Plan, and the individual project appendix, shall be resolved in favor of the project appendix reviewed by Department of Justice during their eligibility determination(s).

SECTION FOUR. AMENDMENTS

Amendments to this legislation or to the Delegate Region Project Plan approved herein shall only be adopted by resolution of the Navajo Nation Council and approval of the President of the Navajo Nation pursuant to 2 N.N.C. § 164(A)(17) and 2 N.N.C. §§ 1005(C)(10), (11), and (12).

SECTION FIVE. EFFECTIVE DATE.

This legislation shall be effective upon its approval pursuant to 2 N.N.C. § 221(B), 2 N.N.C. § 164(A)(17), and 2 N.N.C. §§ 1005(C)(10), (11), and (12).

SECTION SIX. SAVING CLAUSE

If any provision of this legislation is determined invalid by the Navajo Nation Supreme Court, or by a Navajo Nation District Court without appeal to the Navajo Nation Supreme Court, those provisions

of this legislation not determined invalid shall remain the law of the Navajo Nation.

CERTIFICATION

I, hereby, certify that the foregoing resolution was duly considered by the 25th Navajo Nation Council at a duly called meeting in Window Rock, Navajo Nation (Arizona), at which a quorum was present and that the same was passed by a vote of 20 in Favor, and 00 Opposed, on this 19th day of July 2023.



Honorable Crystalyne Curley, Speaker
25th Navajo Nation Council

7/27/23

DATE

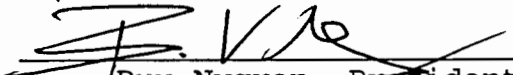
Motion: Honorable Rickie Nez

Second: Honorable Andy Nez

Speaker Crystalyne Curley not voting

ACTION BY THE NAVAJO NATION PRESIDENT:

1. I, hereby, sign into law the foregoing legislation, pursuant to 2 N.N.C. § 1005, (C) (10), on this 4 day of August, 2023.



Buu Nygren, President
Navajo Nation

2. I, hereby, veto the foregoing legislation, pursuant to 2 N.N.C. § 1005 (C) (11), on this _____ day of _____, 2023 for the reason(s) expressed in the attached letter to the Speaker.

Buu Nygren, President
Navajo Nation

3. I, hereby, exercise line-item veto pursuant to the budget line-item veto authority delegated to the President by vote of the Navajo People in 2009, on this _____ day of _____, 2023.

Buu Nygren, President
Navajo Nation

NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION PROJECT PLAN

Exhibit A

COUNCIL DELEGATE: Hon. Carl R. Slater

CHAPTERS: Tsale/Wheatfields, Lukachukai, Round Rock, Tsé'ch'izhí, Rock Point

FUNDING RECIPIENT	SUBRECIPIENT	EXPENDITURE PLAN / PROJECT	ADMIN OVERSIGHT	FRF CATEGORY	DOJ REVIEW #	AMOUNT
Division of Community Development	None Identified	Round Rock Chapter Convenience Store/Shopping Center Project	Division of Community Development	6.1	HK0460-2	\$ 650,000.00
Division of Community Development	None Identified	Round Rock Chapter Community Cemetery Project	Division of Community Development	6.1	HK0464	\$ 200,000.00
Division of Community Development	None Identified	Round Rock Chapter Recreation Park Project	Division of Community Development	2.22	HK0494	\$ 700,000.00
Division of Community Development	None Identified	Round Rock Chapter Upgrade to Sewer Lagoon Project	Division of Community Development	5.5	HK0495	\$ 60,000.00
Division of Community Development	None Identified	Round Rock Chapter Diversion/Irrigation System Project	Division of Community Development	5.11	HK0461	\$ 150,000.00
Division of Community Development	None Identified	Rock Point Chapter Community Propane Assistance Project	Division of Community Development	2.18	HK0563	\$ 84,375.00
Division of Community Development	None Identified	Rock Point Chapter Sewage & Drain Fields	Division of Community Development	5.3	HK0565	\$ 136,203.00
Division of Community Development	None Identified	Rock Point Chapter Community Trash Bins Project	Division of Community Development	2.22	HK0567	\$ 100,800.00
Division of Community Development	None Identified	Rock Point Chapter Water Delivery Project	Division of Community Development	1.14	HK0566	\$ 172,117.00
Division of Community Development	None Identified	Rock Point Chapter Bathroom Renovations Project	Division of Community Development	1.14	HK0564	\$ 320,000.00
					TOTAL:	\$ 2,573,495.00

*Per CJN-29-22, Section Three (E), the "Navajo Nation Central Government may award funding to LGA-Certified Chapters through sub-recipient agreements to implement and manage specific projects, but shall maintain Administrative Oversight over such funding and Delegate Region Project Plans."

NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION PROJECT PLAN

Exhibit A

COUNCIL DELEGATE: Hon. Carl R. Slater

CHAPTERS: Tsaile/Wheatfields, Lukachukai, Round Rock, Tséch'izhi, Rock Point

FUNDING RECIPIENT	SUBRECIPIENT	EXPENDITURE PLAN / PROJECT	ADMIN OVERSIGHT	FRF CATEGORY	DOJ REVIEW #	AMOUNT
		TOTAL AMOUNT from PAGE 01.....				\$ 2,573,495.00
Division of Community Development	None Identified	Rock Point Chapter Homesite-Compliance and Archeological Clearance Assistance Project	Division of Community Development	2.18	HK0569	\$ 37,500.00
Division of Community Development	None Identified	Rock Point Chapter Homesite Lease Land Survey Fee Assistance Project	Division of Community Development	2.18	HK0570	\$ 37,500.00
Division of Community Development	None Identified	Rock Point Chapter Community Weatherization Project	Division of Community Development	2.18	HK0560	\$ 288,000.00
Division of Community Development	None Identified	Rock Point Chapter Community Septic-Cleaning Assistance Project	Division of Community Development	5.5	HK0568	\$ 135,150.00
Division of Community Development	None Identified	Rock Point Chapter Dump Truck Project	Division of Community Development	6.1	HK0573	\$ 200,000.00
Division of Community Development	None Identified	Rock Point Chapter Community Warehouse Project	Division of Community Development	1.14	HK0596	\$ 200,000.00
Division of Community Development	None Identified	Tse Ch'izhi Chapter Community Trash Bins Project	Division of Community Development	2.22	HK0613	\$ 81,600.00
Division of Community Development	None Identified	Tsaile/Wheatfields Chapter Community Housing Weatherization and Renovation Project	Division of Community Development	2.18	HK0591	\$ 460,468.00
Division of Community Development	None Identified	Tsaile/Wheatfields Chapter Public Employment Project	Division of Community Development	2.18	HK0592	\$ 205,938.00
					TOTAL:	\$ 4,219,651.00

*Per CJN-29-22, Section Three (E), the "Navajo Nation Central Government may award funding to LGA-Certified Chapters through sub-recipient agreements to implement and manage specific projects, but shall maintain Administrative Oversight over such funding and Delegate Region Project Plans."

NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION PROJECT PLAN

Exhibit A

COUNCIL DELEGATE: Hon. Carl R. Slater

CHAPTERS: Tsaille/Wheatfields, Lukachukai, Round Rock, Tsé'h'izhi, Rock Point

FUNDING RECIPIENT	SUBRECIPIENT	EXPENDITURE PLAN / PROJECT	ADMIN OVERSIGHT	FRF CATEGORY	DOJ REVIEW #	AMOUNT
		TOTAL AMOUNT from PAGE 02.....				\$ 4,219,651.00
Division of Community Development	None Identified	Lukachukai Chapter Renovation of Chapter House Roof Project	Division of Community Development	2.22	HK0587	\$ 43,900.00
Division of Community Development	None Identified	Lukachukai Chapter Track Construction Project	Division of Community Development	2.22	HK0586	\$ 449,685.00
Division of Community Development	None Identified	Lukachukai Chapter Renovation/Replacement of Chapter Fieldhouse Roof Project	Division of Community Development	2.22	HK0588	\$ 87,150.00
Division of Community Development	None Identified	Lukachukai Chapter Public Employment for Illegal Trash-Dump Clean-Up Project	Division of Community Development	1.14	HK0589	\$ 400,000.00
		UN-ALLOCATED AMOUNT				\$ 3,601,954.00
					TOTAL:	\$ 8,802,340.00

*Per CJN-29-22, Section Three (E), the "Navajo Nation Central Government may award funding to LGA-Certified Chapters through sub-recipient agreements to implement and manage specific projects, but shall maintain Administrative Oversight over such funding and Delegate Region Project Plans."



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0460-2

Date & Time Received: 5/3/2023 at 17:28

Date & Time of Response: 5/19/2023 at 16:27

Entity Requesting FRF: Round Rock Chapter

Title of Project: Convenience Store/ Shopping Center

Administrative Oversight: DCD

Amount of Funding Requested: 650,000

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: 6.1 Provision of Government Service

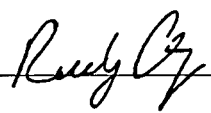
Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

With the understanding that operator may be a private entity, confirmed as FRF eligible on assumption that owner of the infrastructure will continue to be a government entity.

Name of DOJ Reviewer: Rudy Anaya, Tax and Finance Unit, NNDOJ

Signature of DOJ Reviewer: _____ 

Disclaimers:
If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndo.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: Round Rock Chapter Date prepared: 02/18/2023

Chapter's mailing address: PO Box 10 Round Rock, Arizona 86547 phone/email: (928) 787-2510
website (if any): roundrock@navajochapters.org

This Form prepared by: Bernita Wheeler phone/email: 928-658-8022, bwheeler@navajochapters.org
Ramona Rogers, Chapter President rrchpprz@gmail.com
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: Convenience Store/Shopping Center

Chapter President: Ramona Rogers phone & email: rrchpprz@gmail.com

Chapter Vice-President: Paul Jim phone & email: (480) 842-9901, kintower@yahoo.com

Chapter Secretary: Bernita Wheeler phone & email: (928) 658-8022, bwheeler@navajochapters.org

Chapter Treasurer: Bernita Wheeler phone & email: (928) 658-8022, bwheeler@navajochapters.org

Chapter Manager or CSC: Vacant phone & email: _____

DCD/Chapter ASO: Robert Jumbo phone & email: (928) 674-2251, rjumbo@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): Selection will be based on bids
 document attached

Amount of FRF requested: 650,000 FRF funding period: 04/01/23 to 09/30/26
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Round Rock Chapter is located about a quarter of a mile east from the highway 191 and N12 Intersection. The chapter is situated in Central Agency and on the Navajo Nation at an elevation of 5395. The Round Rock Chapter has a business site lease available, project is shovel ready. The establishment of a Convenience Store/Shopping Center will serve our community and surrounding communities with safety first as the Pandemic realization of: Stay at Home Orders, Buy Local, Traveling only for essentials, also highly populated area pose risk to spread illness. Having a local store will eliminate 40 or more miles drive to shop for groceries or other necessities.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

The establishment of a C-Store/Shopping Center is a dire need in the community of Round Rock, AZ. The multiple benefits and needs of Navajo Way of Life by promoting and providing a sustainable self-sufficiency living through safe economic growth and nutrition best practice. Primary beneficiaries are our Dine People driving through Round Rock, AZ daily for work, and school. Also beneficial for the communities of Lukachukai, Rock Point, Rough Rock, Tsaile/Wheatfields, Many Farms

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

Program(s) or Project(s) by December 31, 2026:

Navajo Nation 164 is consider a factor for delays in awarding contract for professional services. Plans and Designs will begin May 01, 2024 and completed by November 27, 2024.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

Navajo Nation Project Development Department within the Division of Economic Development will be the lead agent with full cooperation and coordination with Round Rock Chapter. The FRF dollars assigned to this project will contribute to the total project cost. Aside from FRF, NN Economic Development and Round Rock Chapter are seeking additional funds to fullfill complete funding of project.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

Navajo Nation Division of Economic Development is currently in discussions with a potential operator for the Convenience Store. The Owner will be responsible for the operation and maintenance cost. American Indian Economic Development (CAIED) program will be undertaking a market and economic feasibility study for the project.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 Provision of Government Services

The Navajo Nation's ordinary provision of govt. services to its citizens includes grants and financing to construct a C-store or shopping center to provide food, fuel, and basic necessities to its citizens. Funding the planning, design, and construction of such a store for Round Rock and the region aligns with previous Navajo Nation expenditures to support similar projects. C-store will address needs aggregate members of the local community and nearby communities.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution #ROUN2105-04, Supporting Resolution #MF-28-05-2021, Supporting Resolution #RPC-2021-28, Supporting Resolution #LUK21-37, Supporting Resolution #2021-05-16, Estimated cost, Navajo Tribal Utility Authority's Analysis letter, drawings

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: _____ Approved by: _____
signature of Preparer/CONTACT PERSON *signature of Chapter President (or Vice-President)*

Approved by: _____ Approved by: _____
signature of CSC *signature of Chapter ASO*

Approved to submit for Review: _____
signature of DCD Director



DR. BUU NYGREN *PRESIDENT*
RICHELLE MONTOYA *VICE PRESIDENT*

The Navajo Nation | Yideeskáądi Nitsáhákees

March 16, 2023

Honorable Carl Slater, Council Delegate
25th Navajo Nation Council
Post Office Box 3390
Window Rock, Arizona 86515

Dear Honorable Council Delegate Carl Slater,

The Division of Economic Development supports the Round Rock Chapters' economic development initiative to plan and develop the Round Rock Convenience Store and Gas Station. The Convenience Store and Gas Station will provide accessible goods and services, create employment opportunity and sales and fuel taxes for Round Rock Chapter and its surrounding communities.

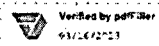
The Division of Economic Development will assist in the planning and development efforts to make the project become a reality. The Division supports Round Rock Chapters effort to apply for ARPA funds in the amount of \$650,000. The Division of Economic Development will be the fiscal agent to administer the ARPA funds on behalf of the Round Rock Chapter, if funding is approved.

The Project Development Department with the Division of Economic Development will take the lead on the project. The Department is the developer of commercial, retail and industrial development efforts throughout the Navajo Nation.

Please contact me if you have any questions. We look forward in working with you on this priority project.

Sincerely,

A handwritten signature in black ink, appearing to read "Tony Skrelunas".



Tony Skrelunas, Division Director
Division of Economic Development

Cc: Anthony Perry, Department Manager, Project Development Department/NNDED



DR. BUU NYGREN *PRESIDENT*
RICHELLE MONTOYA *VICE PRESIDENT*

The Navajo Nation | Yideeskáądi Nitsáhákees

April 24, 2023

Honorable Carl Slater, Council Delegate
25th Navajo Nation Council
P.O. Box 3390
Window Rock, Arizona 86515

RE: Round Rock Convenience Store

The Division of Economic Development Project Development Department will be taking the lead on the development of the Round Rock Convenience Store and Gas Station project to be located in Round Rock, Arizona. The Project Development Department is currently in discussions with a potential operator for the convenience store. The Northern Arizona University Center for American Indian Economic Development (CAIED) program will undertake a market and economic feasibility study for the project.

Please contact me if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Anthony Perry".

Anthony Perry, Department Manager
Project Development Department
Division of Economic Development



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0460

Date & Time Received: 4/10/2023 at 12:05

Date & Time of Response: 4/24/2023 at 617 am

Entity Requesting FRF: Round Rock Chapter

Title of Project: Convenience Store/ Shopping Center

Administrative Oversight: Navajo Nation Division of Community Development

Amount of Funding Requested: 650,000

Eligibility Determination:

- FRF eligible
 FRF ineligible
 Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
 (2) Premium Pay
 (3) Government Services/Lost Revenue
 (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: _____

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Please identify the entity or entities that will be responsible for implemented the Project with greater certainty.

Please identify the owner of the convenience store / shopping center and whether it will be a private entity.

Name of DOJ Reviewer: Rudy Anaya, Tax and Finance Unit

Signature of DOJ Reviewer: 

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. Please email your resubmission to arpa@nndoj.org. Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: Round Rock Chapter Date prepared: 02/18/2023

Chapter's mailing address: PO Box 10 phone/email: (928) 787-2510
Round Rock, Arizona 86547 website (if any): roundrock@navajochapters.org

This Form prepared by: Bernita Wheeler phone/email: 928-658-8022, bwheeler@navajochapters.org
Ramona Rogers, Chapter President rrchprez@gmail.com
CONTACT PERSON'S name and title *CONTACT PERSON'S info*

Title and type of Project: Convenience Store/Shopping Center

Chapter President: Ramona Rogers phone & email: rrchpprz@gmail.com

Chapter Vice-President: Paul Jim phone & email: (480) 842-9901, kintower@yahoo.com

Chapter Secretary: Bernita Wheeler phone & email: (928) 658-8022, bwheeler@navajochapters.org

Chapter Treasurer: Bernita Wheeler phone & email: (928) 658-8022, bwheeler@navajochapters.org

Chapter Manager or CSC: Vacant phone & email: _____

DCD/Chapter ASO: Robert Jumbo phone & email: (928) 674-2251, rjumbo@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): Selection will be based on bids

document attached

Amount of FRF requested: 650,000 FRF funding period: 04/01/23 to 09/30/26
Indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Round Rock Chapter is located about a quarter of a mile east from the highway 191 and N12 Intersection. The chapter is situated in Central Agency and on the Navajo Nation at an elevation of 5395. The Round Rock Chapter has a business site lease available, project is shovel ready. The establishment of a Convenience Store/Shopping Center will serve our community and surrounding communities with safety first as the Pandemic realization of: Stay at Home Orders, Buy Local, Traveling only for essentials, also highly populated area pose risk to spread illness. Having a local store will eliminate 40 or more miles drive to shop for groceries or other necessities.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

The establishment of a C-Store/Shopping Center is a dire need in the community of Round Rock, AZ. The multiple benefits and needs of Navajo Way of Life by promoting and providing a sustainable self-sufficiency living through safe economic growth and nutrition best practice. Primary beneficiaries are our Dine People driving through Round Rock, AZ daily for work, and school. Also beneficial for the communities of Lukachukai, Rock Point, Rough Rock, Tsaile/Wheatfields, Many Farms.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

Program(s) or Project(s) by December 31, 2026:

Navajo Nation 164 is consider a factor for delays in awarding contract for professional services. Plans and Designs will begin May 01, 2024 and completed by November 27, 2024.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

Navajo Nation Division of Community Development, Navajo Nation Division of Economic Department, Round Rock Chapter and/or NN Shopping Centers Inc. (NNSCI). The chapter has withdrawn the land and the Land Dept. will assign the tract to either DED or NNSCI once we resolve which operator to move forward with. The FRF dollars assigned to this project will contribute to the total project cost. Additional funding aside from FRF will be sought to obtain full funding of project.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The facility will be maintained by either the Division of Economic Development or Navajo Shopping Center, Inc.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 Provision of Government Services

The Navajo Nation's ordinary provision of govt. services to its citizens includes grants and financing to construct a C-store or shopping center to provide food, fuel, and basic necessities to its citizens. Funding the planning, design, and construction of such a store for Round Rock and the region aligns with previous Navajo Nation expenditures to support similar projects. C-store will address needs aggregate members of the local community and nearby communities.

document attached

Part 3. Additional documents.



List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution #ROUN2105-04, Supporting Resolution #MF-28-05-2021, Supporting Resolution #RPC-2021-28, Supporting Resolution #LUK21-37, Supporting Resolution #2021-05-16, Estimated cost, Navajo Tribal Utility Authority's Analysis letter, drawings

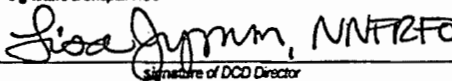
Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer:  Approved by: 
Signature of Preparer/CONTACT PERSON signature of Chapter President (or Vice-President)

Approved by: VACANT Approved by: 
signature of CSC signature of Chapter ASO

Approved to submit for Review: 
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Round Rock Chapter-Convenience Store/Shopping Center</u>		Division/Branch: <u>DCD/Executive</u>				
Prepared By: <u>Bernita Wheeler</u>		Phone No.: <u>(928) 787-2510</u>		Email Address: <u>bwheeler@navajochapters.org</u>				
PART II. FUNDING SOURCE(S)		Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY			
American Rescue Plan Act of 2021		04/01/23-09/30/26	650,000.00	100%	Fund Type Code	NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
					2001 Personnel Expenses			
					3000 Travel Expenses			
					3500 Meeting Expenses			
					4000 Supplies			
					5000 Lease and Rental			
					5500 Communications and Utilities			
					6000 Repairs and Maintenance			
					6500 Contractual Services	6	0	650,000
					7000 Special Transactions			
					8000 Public Assistance			
					9000 Capital Outlay			
					9500 Matching Funds			
					9500 Indirect Cost			
					TOTAL		\$0.00	650,000
					PART IV. POSITIONS AND VEHICLES			
					Total # of Positions Budgeted:		(D)	(E)
					0		0	0
					Total # of Vehicles Budgeted:		0	0
					0		0	0
					PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.			
SUBMITTED BY:		James Adakai, Deputy Director	APPROVED BY:		Calvin Castillo, Executive Director			
		<i>James Adakai</i>			Division Director / Branch Chief's Printed Name			
		<i>James Adakai</i>			<i>4/6/23</i>			
					Division Director / Branch Chief's Signature and Date			

PART I. PROGRAM INFORMATION:										
Business Unit No.:	NEW	Program Name/Title:	Round Rock Chapter-Convenience Store/Shopping Center							
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:										
CAP-34-98: To direct the Chapter administration and management control system, by promoting efficiency and accountability to the chapter membership and to effectuate plans of action to improved the standards of living for the chapter membership.										
PART III. PROGRAM PERFORMANCE CRITERIA:										
1. Goal Statement:										
Obtain Plans and Design for a convenience store										
Program Performance Measure/Objective:										
Bid and select contractor for Planning & Design, process contract through 164 Review									1	
2. Goal Statement:										
Program Performance Measure/Objective:										
3. Goal Statement:										
Program Performance Measure/Objective:										
4. Goal Statement:										
Program Performance Measure/Objective:										
5. Goal Statement:										
Program Performance Measure/Objective:										
PART IV. THEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.										
					James Adakai, Deputy Director Program Manager's Printed Name <i>James Adakai</i> Program Manager's Signature and Date 4/6/23					
					Calvin Castillo, Executive Director Division Director/Branch Chief's Printed Name <i>Calvin Castillo</i> Division Director/Branch Chief's Signature and Date 4/6/23					

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

PART I. PROGRAM INFORMATION:			
Program Name/Title: <u>Round Rock Chapter-Convenience Store/Shopping Center</u>		Business Unit No.: <u>NEW</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6500	Contractual Services 6510 - Professional Services To plan, design a Convenience Store 650,000	650,000	650,000
TOTAL		650,000	650,000

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u> Project Title: <u>Round Rock Chapter-Convenience Store/Shopping Center</u> Project Description <u>Plan and Design: Convenience Store</u>	PART II. Project Type: <u>Convenience Store</u> Planned Start Date: <u>4/1/2023</u> Planned End Date: <u>9/30/2026</u> Project Manager: <u>NN Economic Development</u>
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification	

PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.												Expected Completion Date if project exceeds 8 FY Qtrs.						
	FY 2023						FY 2024												
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.							
05/01/2024 - 06/07/2024 Advertise for Plans and Designs	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	
07/01/2024 - 09/06/2024 Award Contract and process contract 164 Review Process																			X
09/09/2024 - 11/27/2024 Issue Notice to Proceed Start of Planning and Designing																			X
PART V. Expected Quarterly Expenditures	\$																		\$
PROJECT TOTAL																			\$650,000.00

FOR OMB USE ONLY: Resolution No: _____ Company No: _____ FMS Set Up Date: _____ OMB Analyst: _____




DR. BUU NYGREN PRESIDENT
RICHELLE MONTOYA VICE PRESIDENT

The Navajo Nation | Yideeskáądi Nitsáhákees

MEMORANDUM

TO : Division/Executive Directors and Staff
Navajo Nation

FROM : 
James Adakai, Delegated Department Manager II
Capital Projects Management Department

DATE : February 1, 2023

SUBJECT: **FY 2023 Standing Delegation of Authority**

During the period that I am on leave or on travel from the Capital Projects Management Department, the following individuals will be delegated in the capacity of Department Manager II (in no particular order):

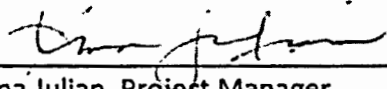
Leonard Hardy, Project Manager
Irma Julian, Project Manager

The Delegation is limited to signature authority for the time sensitive approval and emergency leave unless they feel that matters requires the attention of the Department Manager. Policy decisions are not delegated and are reserved for the Department Manger II. Other personnel related matters will remain under the purview of the Department Manager II.

Your cooperation with the aforementioned staff is appreciated.

ACKNOWLEDGEMENT:

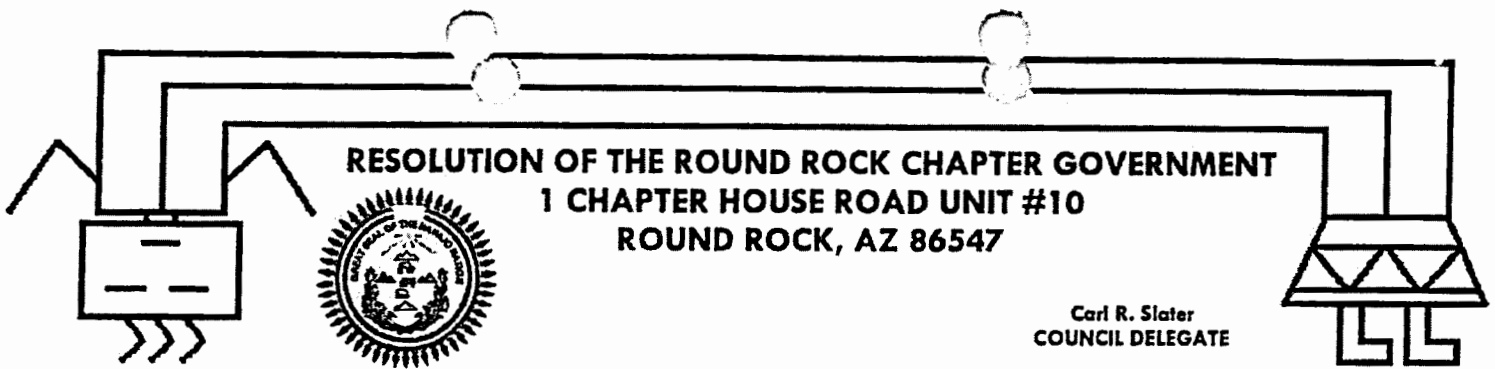

Leonard Hardy, Project Manager
Capital Projects Management Department


Irma Julian, Project Manager
Capital Projects Management Department

Distribution

xc: Calvin Castillo, Division Director, Division of Community Development

Capital Projects Management Department
Post Office Box 1510, Window Rock, Arizona 86515



**RESOLUTION OF THE ROUND ROCK CHAPTER GOVERNMENT
1 CHAPTER HOUSE ROAD UNIT #10
ROUND ROCK, AZ 86547**

**Carl R. Slater
COUNCIL DELEGATE**

ROUN2303-02

ROUND ROCK CHAPTER AFFIRMS THAT IT WILL ONLY USE AWARDED FISCAL RECOVERY FUNDS IN THE AMOUNT OF \$1,760,000.00 ACCORDINGLY: (\$700,000.00 RECREATION PARK; \$650,000.00 C-STORE; \$150,000.00 DIVERSION/IRRIGATION SYSTEM; \$200,000.00 CEMETERY; AND \$60,000.00 UPGRADE LAGOON) TO PERFORM THE PRELIMINARY ACTIVITIES, DESIGNS, AND IMPLEMENT THE FRF EXPENDITURE PLAN IN COMPLIANCE WITH THE ARPA REGULATIONS, AND WITH ALL APPLICABLE FEDERAL AND NAVAJO NATION LAWS, REGULATIONS, AND POLICIES

WHEREAS:

1. Pursuant to the Navajo Nation Local Governance Act (26 N.N.C.), Chapter 1, Subchapter 1, Section 3 (A) the Round Rock Chapter Government, is a certified Chapter Organization, which elects a Delegate to the Navajo Nation Council. The Chapter is also listed as being certified at Part 1, Section 10, of 11 N.N.C., the "Navajo Nation Election Code; AND
2. Pursuant to the same "Act", Chapter 1, Subchapter 1, Section 1 (B-1), the Navajo Nation Council; delegates to our Chapter, governmental authority with respect to local matters consistent with Navajo Law, including custom and tradition; AND
3. Section 1 (B-2), authorizes our Chapter, to improve Community decision making; allow us to excel and flourish; enable our Navajo Leaders to lead towards a prosperous future, and improve the strength and sovereignty of the Navajo Nation. Our Chapter is compelled to govern with responsibility and accountability to us, the Local Citizens; AND
4. Pursuant to the Federal "Indian Self-Determination and Education Assistance Act (P.L; 93-638), as amended, our Chapter Government, is entitled and supported to initiate plans and make recommendations, requests, and thus; determine the promotion, protection, and preservation of the general welfare of our Community People, as well as the Navajo Nation; AND
5. The Round Rock Chapter Government held dialogue with membership numerous times to discuss needs and effects experienced from Covid-19; AND
6. The Covid-19 Pandemic had various effects on membership, across the Navajo Nation, and many counties; AND
7. The effected we told to take walks, gatherings were disallowed unless it is open space, such as outside, a Park would benefit both and to promote the many underlying health issues raised during this trying times; AND

**Ramona Rogers
PRESIDENT**

**Paul Jim
VICE-PRESIDENT**

**Bernita Wheeler
SECRETARY/TREASURER**

**Robert Wagner
GRAZING OFFICIAL**

**VACANT
COMMUNITY SERVICES
COORDINATOR**

**VACANT
ACCOUNT
MAINTENANCE
SPECIALIST**

8. The basic food and cleaning necessities was scarce, the C-store can benefit the locals to not have to travel afar for basic needs, even travelers were restricted to travel to border towns; AND
9. The Navajo Nation Standing Committees and Grazing Representative has been discussing and passing resolution directing Chapters to establish a Community Cemetery; Lukachukai St. Isabel Catholic Church has been sending letters requesting for our Chapter to take action; AND
10. Economic Development is a must for our Community and it helps Operators/Business to establish within our Community with upgraded lagoons.

NOW THEREFORE BE IT RESOLVED THAT:

1. The Round Rock Chapter Government hereby affirms that it will only use awarded Fiscal Recovery Funds in the amount of \$1,760,000.00 accordingly: (\$700,000.00 Recreation Park; \$650,000.00 C-Store; \$150,000.00 Diversion/Irrigation system; \$200,000.00 Cemetery; and \$60,000.00 upgrade lagoon) to perform the preliminary activities, designs and implement the FRF expenditure plan in compliance with the ARPA regulations, and with all applicable federal and Navajo nation laws, regulations, and policies.

CERTIFICATION

We hereby certify that the foregoing Resolution was considered by the Round Rock Chapter at a duly called Chapter Meeting at Round Rock, Navajo Nation, Arizona, at which a quorum was present and that same was passed by a vote of 29 in favor, 00 opposed, and 01 abstained, on this 17th day of March, 2023.

Motion by: Laverne Jones

Second by: Terrilyn Johnson

Ramona Rogers
Ramona Rogers, President

**DESERT MEADOWS
CONVENIENCE STORE**

RECORDED DRAWINGS
MARCH 28, 2019

GENERAL NOTES

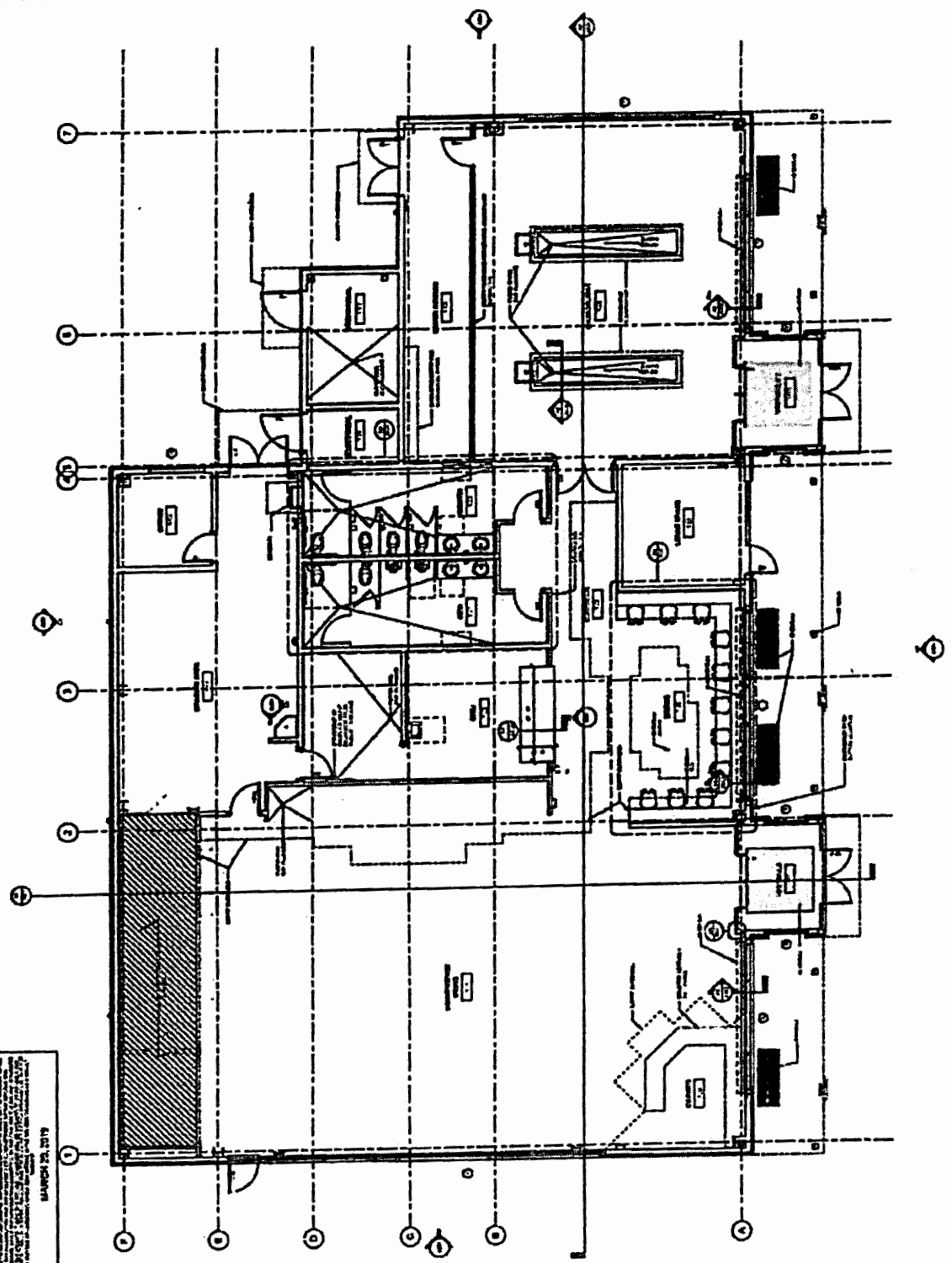
1. ALL WORK SHALL BE IN ACCORDANCE WITH THE LATEST EDITIONS OF THE IBC AND ALL APPLICABLE LOCAL ORDINANCES.
2. THE CONTRACTOR SHALL BE RESPONSIBLE FOR OBTAINING ALL NECESSARY PERMITS AND APPROVALS FROM THE LOCAL AUTHORITIES.
3. THE CONTRACTOR SHALL MAINTAIN ACCESS TO ALL ADJACENT PROPERTIES AND UTILITIES AT ALL TIMES.
4. ALL MATERIALS AND WORKMANSHIP SHALL BE SUBJECT TO INSPECTION AND APPROVAL BY THE LOCAL AUTHORITIES.
5. THE CONTRACTOR SHALL PROTECT ALL EXISTING UTILITIES AND STRUCTURES TO REMAIN.
6. ALL DIMENSIONS SHALL BE TAKEN FROM THE FINISHED FLOOR UNLESS OTHERWISE NOTED.
7. THE CONTRACTOR SHALL MAINTAIN CLEAR EGRESS PATHS AT ALL TIMES.
8. ALL ELECTRICAL WORK SHALL BE PERFORMED IN ACCORDANCE WITH THE NATIONAL ELECTRICAL CODE (NEC).
9. ALL MECHANICAL WORK SHALL BE PERFORMED IN ACCORDANCE WITH THE INTERNATIONAL MECHANICAL CODE (IMC).
10. ALL PLUMBING WORK SHALL BE PERFORMED IN ACCORDANCE WITH THE INTERNATIONAL PLUMBING CODE (IPC).
11. THE CONTRACTOR SHALL BE RESPONSIBLE FOR THE PROTECTION AND REPAIR OF ALL EXISTING UTILITIES AND STRUCTURES TO REMAIN.
12. ALL WORK SHALL BE COMPLETED WITHIN THE SPECIFIED TIME FRAME.
13. THE CONTRACTOR SHALL MAINTAIN A NEAT AND ORDERLY WORK SITE AT ALL TIMES.
14. ALL MATERIALS SHALL BE STORED PROPERLY AND PROTECTED FROM THE ELEMENTS.
15. THE CONTRACTOR SHALL BE RESPONSIBLE FOR THE PROTECTION AND REPAIR OF ALL EXISTING UTILITIES AND STRUCTURES TO REMAIN.

DPYRON LLOYD ARCHITECTS, P.C.



FLOOR PLAN

A101



RECORD DRAWINGS

RECORDED DRAWINGS
MARCH 28, 2019

AP FLOOR PLAN / 10'-0" X 10'-0" SCALE

CERTIFICATION OF SURVEY

I HEREBY CERTIFY THAT THE PLAT WAS PREPARED FROM NOTES OF ACTUAL SURVEYS MADE IN THE FIELD, AND THAT THE REPRESENTATION SHOWN HEREON IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE AND BELIEF.

Melvin F. Bautista
Melvin F. Bautista, R.L.S.
13969 STATE OF ARIZONA

TRACT DESCRIPTION

A PARCEL OF LAND SITUATED WITHIN LAND MANAGEMENT DISTRICT NO. 11 OF THE NAVAJO INDIAN RESERVATION IN THE VICINITY OF ROUND ROCK, APACHE COUNTY, STATE OF ARIZONA, AND IS BEING MORE PARTICULARLY DESCRIBED AS FOLLOWS:

COMMENCE at the Bureau of Indian Affairs Right of Way marker situated on Northeastly line of Indian service Route No. 12, at the centerline point of Curvature station 708 + 10.05

THENCE run N 68° 26' 08" E, 78.13 feet to the POINT OF BEGINNING of the herein described parcel of land;

THENCE N 41° 54' 26" E, 313.50 feet;

THENCE S 48° 05' 34" E, 417.50 feet;

THENCE S 41° 54' 26" W, 313.50 feet;

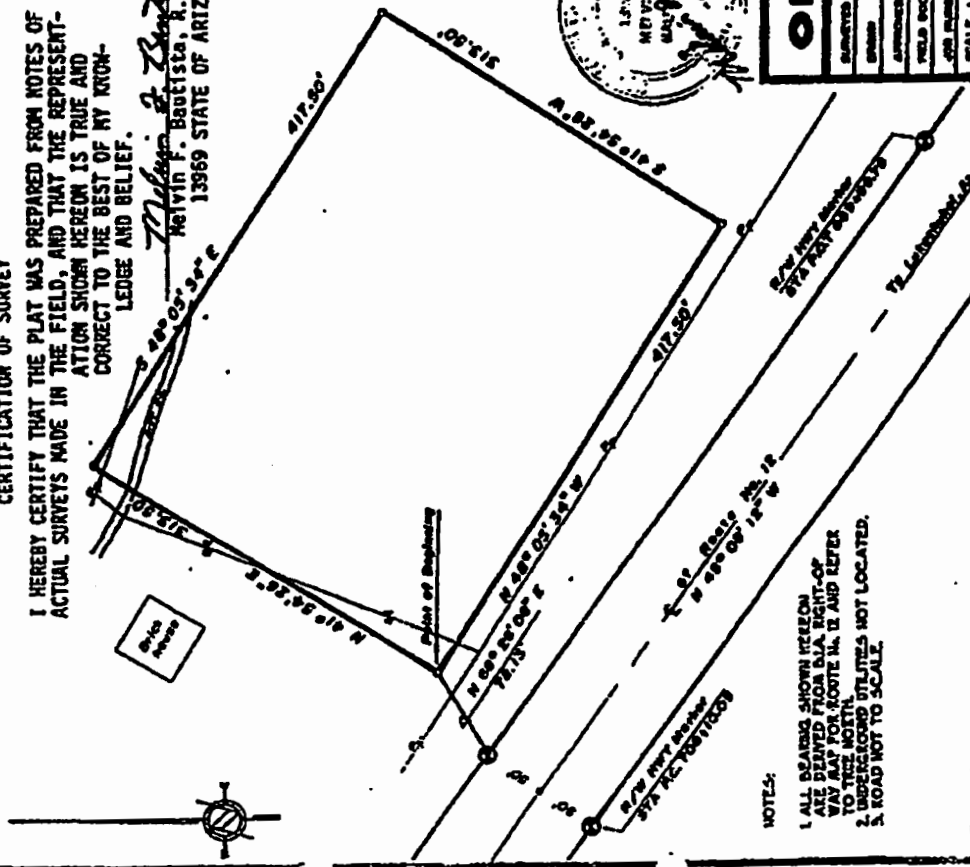
THENCE N 48° 05' 34" W, 417.50 feet to the Point of Beginning.

Being 3.00 acre(s), more or less, in area, and being subject to any and all existing easements for underground utilities located therein.

Surveyed January 27, 1988 by the Office of Navajo Land Administration, The Navajo Tribe, Window Rock, Navajo Nation, Arizona.

Received

JAN 12 1989



onid

SURVEYED BY	R.L.
DRAWN BY	R.L.
APPROVED BY	MFB
FIELD BOOK NO.	
JOB NUMBER	
SCALE 1:1"	1" = 100'

POLICE SUB-STATION

300 Ac ±

**DISTRICT 11-NAVAJO INDIAN RESERVATION
ROUND ROCK, APACHE COUNTY, AZ**

NOTES:

1. ALL BEARINGS SHOWN HEREON ARE DERIVED FROM THE RIGHT-OF-WAY SERVICE ROUTE NO. 12 AND REFER TO THE NORTH.
2. UNRELEASED UTILITIES NOT LOCATED.
3. ROAD NOT TO SCALE.

— D — Pole Line ———— Dashed Line ———— Dotted Line ———— Dashed Line ———— Dotted Line ———— Dotted Line

Round Rock, Arizona

A tract of land located at Round Rock, Apache County, State of Arizona on the Navajo Indian Reservation, is more particularly described as follows:

Beginning at the Westerly Right-of-Way Line 50 feet from and opposite of Centerville P.T. Station 719+63.18 of the Bureau of Indian Affairs Road Project No. N 12 S (1 & 2):

Thence S 89° 58' 45" E, a distance of 88.32 feet to the true point of beginning of the tract herein described;

Thence N 43° 31' 17" E, a distance of 175.00 feet to a point;

Thence S 46° 28' 43" E, a distance of 497.83 feet to a point;

Thence S 43° 51' 17" W, a distance of 175.00 feet to a point;

Thence N 46° 28' 43" W, a distance of 497.83 feet to the true point of beginning of the tract herein described.

The enclosed area of land contains 2.00 Acres, more or less.

CERTIFICATE OF SURVEY

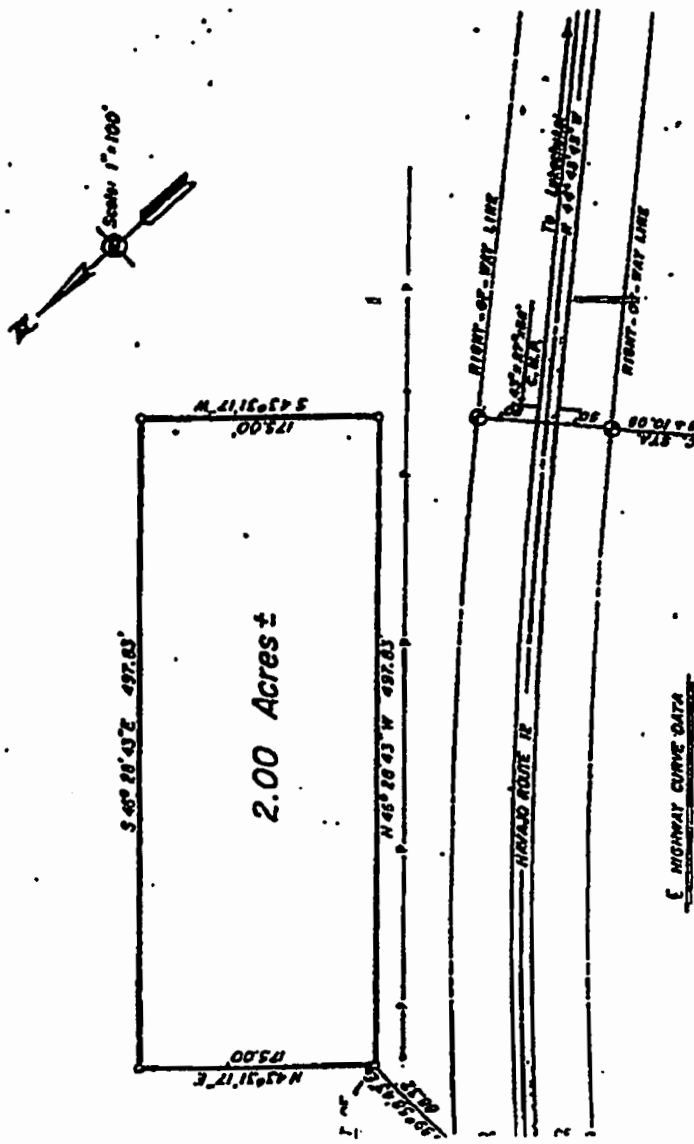
I hereby certify that the survey and plat shown hereby represents a true and correct copy of a survey made by me in the field under my direction.

Surveyed by: *[Signature]* Date: *1/13/88*

Drawn by: *[Signature]* Date: *April 11, 1978*

LEO JIM BUSINESS SITE

2.00 Acres - Round Rock,



LEGEND

- 1. Power Pole/Line (N.T.U.A.)
- 2. Highway R.O.W. Markers (S.A.A.)
- 3. Corrosion Metal Pipe

HIGHWAY CURVE DATA

Δ = 70° 22' 30" ΔL
 T = 276.93'
 L = 553.01'
 O = 19.20'
 R = 4297.19'
 E = 8.91'

Received

JAN 12 1989

Project Review
 Division of Resources



NAVAJO TRIBAL UTILITY AUTHORITY
AN ENTERPRISE OF THE NAVAJO NATION

January 3, 2023

Honorable Carl Slater, Council Delegate
Navajo Nation Council
P.O. Box 34
Round Rock, AZ 86547

RE: Utility Analysis Letter for The Round Rock Chapter Shopping Center

Honorable Slater,

The Navajo Tribal Utility Authority (NTUA) has completed both the power and water capacity analysis on the existing systems that serve the Round Rock community which will serve the Round Rock Shopping Center. A breakdown of the analysis will be broken down by utility below:

Power

NTUA Electrical Engineering Department has completed the capacity analysis that is coming from the Round Rock Substation and the distribution line to the proposed site of the shopping center, it has been determined that the existing substation does have capacity. Regarding the distribution line, it will need to be upgraded from the substation to the proposed commercial development tract. This upgrade will consist of installing 4/0 ACSR to the site. This load analysis is based on the peak loading data of the existing line and the estimated total KVA load that will be added for the Round Rock Shopping Center.

The total power Construction Budgetary Cost Estimate is \$222,311.59.

Water

NTUA Water-Wastewater Department has completed the capacity analysis from the Round Rock 3 water system and at this time it is currently pumping at 13.9 hours per day. The Round Rock 3 water system is stressed, adding an additional 15,000 GPD would increase the rate to 16.7 hours per day. The current system is a stand-alone system with a 12-hour pumping capacity. Therefore, the existing water system would need to be upgraded to support the development.

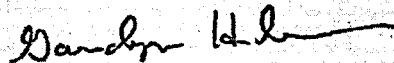
Home Office: P.O. BOX 170 FT. DEFIANCE, AZ 86504	KAYENTA P.O. BOX 37 KAYENTA, AZ 86033	TUBA CITY P.O. BOX 398 TUBA CITY, AZ 86043	SHIPROCK P.O. BOX 1748 SHIPROCK, NM 87420	CHINLE P.O. BOX 549 CHINLE, AZ 86503	FORT DEFIANCE P.O. BOX 587 FT. DEFIANCE, AZ 86504	DILCON HC 63 BOX D WINSLOW, AZ 86047	CROWNPOINT P.O. BOX 1825 CROWNPOINT, NM 87313
--	---	--	---	--	---	--	---

CALL 800-528-5011 OR 928-729-5721 FOR ALL OFFICES.

*This institution is an equal opportunity provider and employer.

The completed analysis is based on the current usage of each system as of today. Moving forward with the project, we will work with your developer to get actual utility demands and we will provide technical reviews at 65%, 95% and 100%. If you should have any questions, please call me at (928) 729-6234 so we could discuss further.

Sincerely,



Garridyn Henderson, Project Management Liaison

CC: Rex Kontz, Deputy General Manager, NTUA HQ-DGM Office
Rayni Nelson, Associate Deputy General Manager, NTUA HQ-DGM Office
Regina Martinez, DGM Legislative Liaison, NTUA HQ-DGM Office
Daniel Stevens, Acting Principal Engineer, NTUA HQ-W/WW Dept.
Donavan Begay, Electric Engineering Supervisor, NTUA HQ-EISD Elec Design Engineering Dept.
Dustin Sagg, Electrical Engineer, NTUA HQ-EISD Elec Design Engineering Dept.
Gary Yellowhair, P.E., Civil Engineer Supervisor, NTUA HQ-W/WW Dept.
Chalmer Bitsoi, Acting W/WW Construction Engineering Supervisor, NTUA HQ-WW Dept.

**RESOLUTION OF THE
COMMUNITY LAND USE PLANNING COMMITTEE
OF THE ROUND ROCK CHAPTER
RESOLUTION NO.: RR2208-01**

ROUND ROCK COMMUNITY LAND USE PLANNING COMMITTEE SUPPORTS ROUND ROCK CHAPTER GOVERNMENT RESOLUTIONS TO 1) CONSOLIDATE TWO PARCELS OF PREVIOUSLY WITHDRAWN LANDS AND REPURPOSE FOR ECONOMIC DEVELOPMENT PROJECTS; 2) UPGRADE WATER LINES TO BUILDINGS WITHIN CHAPTER COMPOUND; 3) WITHDRAW 10 ACRES OF LAND FOR ECONOMIC DEVELOPMENT PURPOSES, AND 4) REQUEST WATER RESOURCES TO EVALUATE ROUND ROCK DAM AND IRRIGATION.

WHEREAS:

1. The Round Rock Community Land Use Planning Committee (CLUPC) was established by the Round Rock Chapter Government pursuant to 26 N.N.C. Sec. 2004, Subchapter 7 for the purposes among others of creating a community land use plan that incorporates community needs, goals and priorities, serving as a Chapter advisory committee to develop, recommend, evaluate and oversee said plan, and communicating with residents how community land use planning development projects may benefit the community; and
2. On August 19, 2022 at a duly called Round Rock Chapter meeting, a quorum being present, Resolution ROUN2208-03 passed by a vote of 22 in favor, none opposed and five abstained to approve three acres of land withdrawn for a police Sub-station to be combined with the two acres of land withdrawn for a business site lease (Leo Jim site) for a new five acre site for the purpose of economic development, including but not limited to a shopping center, gas station, C-store, house manufacturing facility, and other concepts as supported by the Chapter; and
3. On August 19, 2022 at a duly called Round Rock Chapter meeting, a quorum being present, Resolution ROUN2208-04 passed by a vote of 22 in favor, none opposed and five abstained to approve a request that the Navajo Tribal Utility Authority upgrade the main water line up to two meters and for four facilities and other proposed developments, and
4. On August 19, 2022 at a duly called Round Rock Chapter meeting, a quorum being present, Resolution ROUN2208-08 passed by a vote of 22 in favor, none opposed and five abstained to approve withdrawal of ten acres of land for the purpose of economic development, including but not limited to a shopping center, gas station, C-store, house manufacturing facility, and other concepts as supported by the Chapter; and
5. On August 19, 2022 at a duly called Round Rock Chapter meeting, a quorum being present, Resolution ROUN2208-11 passed by a vote of 22 in favor, none opposed and five abstained to request Water Resources to evaluate the Round Rock irrigation intake to the reservoir, evaluate all equipment, clean out dam and purchase parts; and
6. On August 24, 2022 at a duly called Round Rock Chapter CLUPC meeting, a quorum being present, CLUPC members passed a motion by a vote of three in favor, none opposed and one abstention in support of four Round Rock Chapter Government resolutions, ROUN2208-03, ROUN2208-04, ROUN2208-08 and ROUN2208-11; and

NOW THEREFORE BE IT RESOLVED THAT:

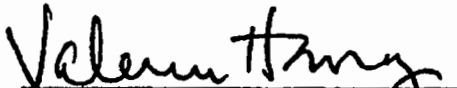
1. **The Round Rock Chapter Community Land Use Planning Committee supports Round Rock Chapter Resolutions ROUN2208-03, ROUN2208-04, ROUN2208-08, and ROUN2208-11 and all action needed to achieve the objectives of said resolutions, and urges approval of funds to implement listed proposed projects; and**
2. **Round Rock Chapter Community Land Use Planning Committee will continue to work with Round Rock Chapter officials and personnel to achieve the objectives of said resolutions, and fulfill its responsibilities so that Round Rock community members benefit from a comprehensive and integrated land use plan that balances economic opportunity and services and protects the land as a natural and cultural resource.**

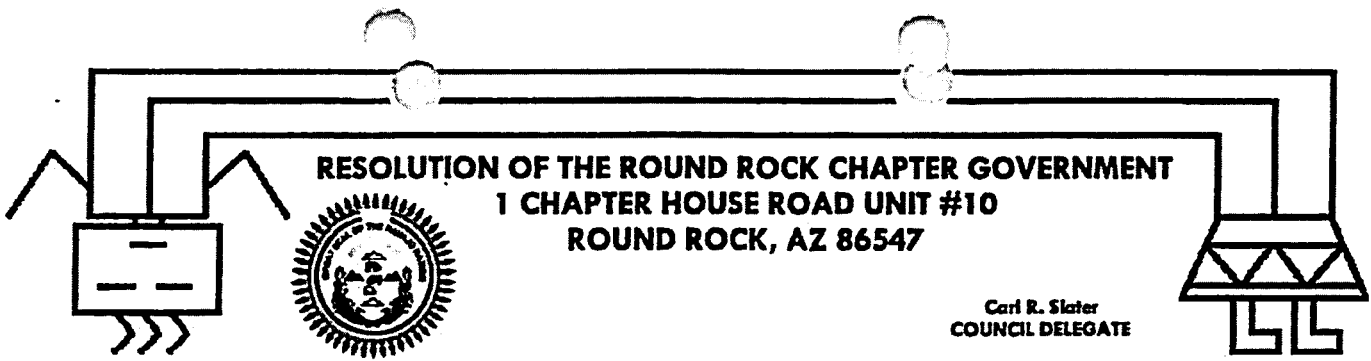
CERTIFICATION

We hereby certify that the foregoing resolution was considered by the Round Rock Chapter Community Land Use Planning Committee at a duly called meeting at Round Rock, Arizona at which a quorum was present and the same was passed by a vote of three in favor, none opposed, and one abstention, on this 24th day of August 2022.

Motioned By: Dorothy Johnson, Member

Seconded By: Charleston Wagner, Vice President


Valencia Harvey, President, CLUPC



ROUN2207-02

REQUESTING NAVAJO TRIBAL UTILITY AUTHORITY (NTUA) TO PROVIDE A CAPACITY AND FEASIBILITY STUDY OF WATER, WASTEWATER, ELECTRICAL (TRANSFORMER), INTERNET, RIGHT OF WAY (ROW) FOR A PROPOSED ECONOMIC DEVELOPMENT FOR A SHOPPING CENTER AT THE FORMER LEO JIM'S AND POLICE SUBSTATION WITHDRAWN LAND, LOCATED SOUTHEAST OF THE CHAPTER HOUSE AND OFF NAVAJO ROUTE 12.

WHEREAS:

1. Pursuant to the Navajo Nation Local Governance Act (26 N.N.C.), Chapter 1, Subchapter 1, Section 3 (A) the Round Rock Chapter Government, is a certified Chapter Organization, which elects a Delegate to the Navajo Nation Council. The Chapter is also listed as being certified at Part 1, Section 10, of 11 N.N.C., the "Navajo Nation Election Code; AND
2. Pursuant to the same "Act", Chapter 1, Subchapter 1, Section 1 (B-1), the Navajo Nation Council; delegates to our Chapter, governmental authority with respect to local matters consistent with Navajo Law, including custom and tradition; AND
3. Section 1 (B-2), authorizes our Chapter, to improve Community decision making; allow us to excel and flourish; enable our Navajo Leaders to lead towards a prosperous future, and improve the strength and sovereignty of the Navajo Nation. Our Chapter is compelled to govern with responsibility and accountability to us, the Local Citizens; AND
4. Pursuant to the Federal "Indian Self-Determination and Education Assistance Act (P.L.; 93-638), as amended, our Chapter Government, is entitled and supported to initiate plans and make recommendations, requests, and thus; determine the promotion, protection, and preservation of the general welfare of our Community People, as well as the Navajo Nation; AND
5. The Chapter request Navajo Tribal Utility Authority (NTUA) to assess, analyze, provide capacity report and recommendation with cost estimates if any; AND
6. The Chapter is proposing a Shopping Center Project in the area next to the Chapter House at the former Leo Jim's proposed gas station and former proposed Police Sub-station withdrawn land; AND
7. The Chapter Government, was advised to seek capacity and feasibility studies for all infrastructure needs (electric, water, wastewater, internet, Right of Way (ROW) if necessary); AND

Ranona Rogers
PRESIDENT

Paul Jim
VICE-PRESIDENT

Bemita Wheeler
SECRETARY/TREASURER

Robert Wagner
GRAZING OFFICIAL

Gayla James
COMMUNITY SERVICES
COORDINATOR

VACANT
ACCOUNT
MAINTENANCE
SPECIALIST

8. The Chapter is proposing a shopping center the size of the Navajo Pine, NM; AND
9. The tentative size considered is approximately 20,000 square feet for Shopping Center, Post Office, Fast Food restaurant, additional office space for rental; AND
10. It is in the best interests of the Chapter and Community People that the Navajo Tribal Utility Authority (NTUA) to assist the Chapter again for the above request.

NOW THEREFORE BE IT RESOLVED THAT:

1. The Round Rock Chapter Government hereby approves requests Navajo Nation Tribal Utility (NTUA) to provide a capacity and feasibility study of water, wastewater, electrical (transformer), internet, Right of Way (ROW) for a proposed Economic Development for a Shopping Center at the Former Leo Jim's and Police Substation withdrawn land, located southeast of the Chapter House and off Navajo Route 12.

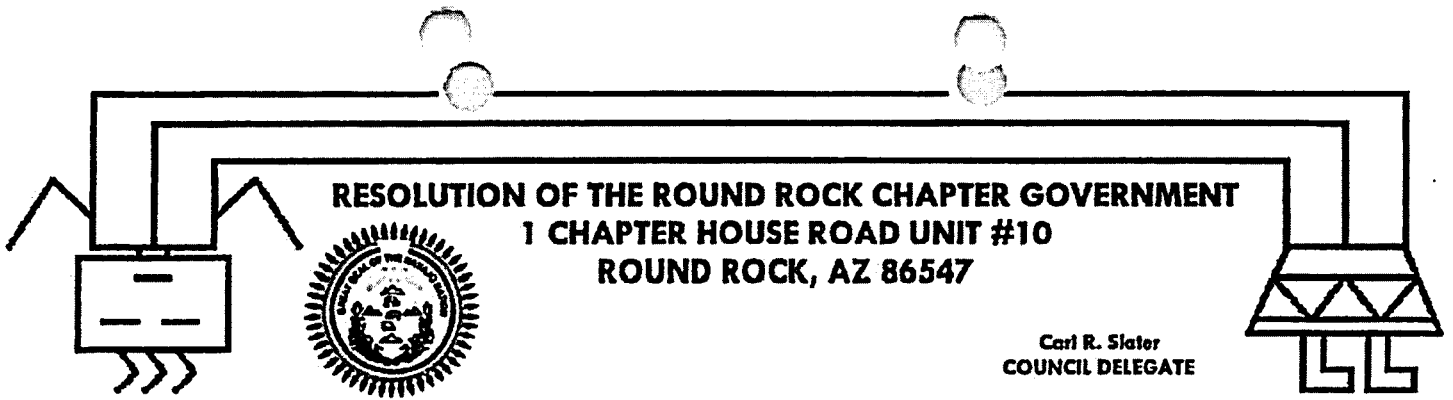
CERTIFICATION

We hereby certify that the foregoing Resolution was considered by the Round Rock Chapter at a duly called Chapter Meeting at Round Rock, Navajo Nation, Arizona, at which a quorum was present and that same was passed by a vote of 14 in favor, 0 opposed, and 02 abstained, on this 12th day of July, 2022.

Motion by: Herman Tsosie

Second by: Ben Davis

Ramona Rogers
Ramona Rogers, President



ROUN2105-04

RESPECTFULLY REQUESTING NAVAJO NATION DIVISION OF ECONOMIC DEVELOPMENT SINCERELY CONSIDER AS A PROJECT AND FUND \$4,500,000.00 TO ESTABLISH A SHOPPING CENTER IN ROUND ROCK COMMUNITY, LISTED IN THE INFRASTRUCTURE CAPITAL IMPROVEMENT PLAN (ICIP), USING THE AMERICAN RESCUE PLAN (ARPA)

WHEREAS:

1. Pursuant to the Navajo Nation Local Governance Act (26 N.N.C.), Chapter 1, Subchapter 1, Section 3 (A) the Round Rock Chapter Government, is a certified Chapter Organization, which elects a Delegate to the Navajo Nation Council. The Chapter is also listed as being certified at Part 1, Section 10, of 11 N.N.C., the "Navajo Nation Election Code; AND
2. Pursuant to the same "Act", Chapter 1, Subchapter 1, Section 1 (B-1), the Navajo Nation Council; delegates to our Chapter, governmental authority with respect to local matters consistent with Navajo Law, including custom and tradition; AND
3. Section 1 (B-2), authorizes our Chapter, to improve Community decision making; allow us to excel and flourish; enable our Navajo Leaders to lead towards a prosperous future, and improve the strength and sovereignty of the Navajo Nation. Our Chapter is compelled to govern with responsibility and accountability to us, the Local Citizens; AND
4. Pursuant to the Federal "Indian Self-Determination and Education Assistance Act (P.L; 93-638), as amended, our Chapter Government, is entitled and supported to initiate plans and make recommendations, requests, and thus; determine the promotion, protection, and preservation of the general welfare of our Community People, as well as the Navajo Nation; AND
5. The Round Rock Chapter Government is in support of the larger Navajo Nation's effort for Economic Development; AND
6. Round Rock Chapter has business site lease available, "Shovel Ready"; AND
7. Round Rock Community has no Convenient store, gas stations nor shopping center of any type; AND
8. Chinle Bashas is considered a local store for our community and neighboring communities. Chinle is congested, the growth rate has increased with multiple economic establishments already established as well as tourist attractions year round; AND

Ramona Rogers
PRESIDENT

Paul Jim
VICE-PRESIDENT

Bernita Wheeler
SECRETARY/TREASURER

Robert Wagner
GRAZING OFFICIAL

Gaylo James
COMMUNITY SERVICES
COORDINATOR

Mary L John
ACCOUNT
MAINTENANCE
SPECIALIST

9. We are aware of discussions by Navajo Nation Division Economic Development dialogue on convenient stores located within 15 miles from Round Rock are Lukachukai, Rock Point, and Many Farms. Convenient stores do not provide the healthy nutritious foods our people need; AND
10. This project has been planned in the local Chapter's strategic plan for many years and listed in the Infrastructure Capital Improvement Plan (ICIP), redevelopment, now there is hope and opportunity with utilization of the American Rescue Plan Act (ARPA) fund; AND
11. There are two major infrastructure projects in progress at this time in the Community of Lukachukai (School Replacement), N12 road improvement from Wheatfields to Tsaile, traffic studies have been completed numerous times for these projects; AND
12. Land is fully withdrawn for a gas station, however it will be modified for purpose and combined with the land withdrawn for a Police-substation, providing sufficient acreage for the project; AND
13. Navajo Nation Economic Development has sufficient, accurate data for requested establishment to the knowing possible Operators; AND
14. The establishment of a shopping center improves Round Rock Community and its neighboring communities to take necessary measures to care for their own well-being, to buy local in support of the Mother Government and ensuring economic development; AND
15. The Shopping Center will not only serve the Community of Round Rock (817) but it's surrounding communities: Rock Point (1199), Mexican Water (612), Lukachukai (1066), Tsaile/Wheatfields (1265), Many Farms (1279), and daily commuters to Window Rock, Dine' College and over Chuska Mountain; AND
16. The voter registration data shown in above 7, shows a total of 6238 for these Communities, Chinle alone has 3599 registered voters, which also serves Nazlini, Del Merto, Tselani, Cottonwood.
17. Voter registration data shows the neighboring communities have a sufficient number of members to utilize the Shopping Center and provide individuals with employment leading to high rate of consumer spending and healthier overall local economy; AND
18. Round Rock is a prime location to have a Shopping Center to serve our Dine' People and to serve them with safety first as the Pandemic realization of: Stay at Home Orders, Buy Local, travel only for essentials, less stress, less anxiety for family's food scarce, less lines that could aid in spreading the virus, and much more, makes sense in many ways; AND

- 19. No need to drive to Chinle Bashas grocery store, causing meat and produce products to defrost and being refrozen which can be harmful, getting hay flakes into the grocery bags because you have to get every essential items; stand in long lines possibly exposing the spread, elders driving long distance for their food essentials, other having less patients for the elders while they shop; AND**
- 20. The people are frustrated to shop in Chinle Bashas for many reasons, some mentioned in this document, therefore, they tend to travel to border towns, if a shopping center is in Round Rock, they will shop at their at their convenience, and buying local generate sales tax for betterment of the whole Navajo Nation, if not here, it gets taken to border towns; AND**
- 21. Highly populated areas pose risk to increase the spread of respiratory illnesses such as Covid-19 and increases gathering among certain groups such as social drinkers; AND**
- 22. Shopping Center will help promote good nutrition by reducing the risk of some diseases, improve ability to fight off illnesses such as Covid-19, promote healthy lifestyle; AND**
- 23. To establish a shopping center is a dire need in our Community, the multiple benefits and needs above adhere to the Navajo Way of Life by promoting/providing a sustainable self-sufficiency living through safe economic growth and nutrition best practice; AND**
- 24. This will perfectly adhere to the safety concerns of our Dine' People, adherence to the "Stay At Home Order", Safety Measures to travel only for essentials, and more so to buy local; AND**
- 25. Round Rock Government on behalf of their members and surround Communities know there is a dire need for a food market, currently there is no commercial establishment; AND**
- 26. We are strongly requesting to the Navajo Nation Economic Development to act upon our request on behalf of general public, and to serve our local Dine' relatives, and secondary is the tourist. We welcome them to our Community, but our Dine' people are the primary beneficiaries; AND**
- 27. It is this type of rescue measures to heal, prevent, improve the lives of Dine' People, which the American Rescue Plan Act (ARPA) is awarded to resolve, to be self-determine and sustainable.**

NOW THEREFORE BE IT RESOLVED THAT:

1. The Round Rock Chapter Government hereby approves and requests Navajo Nation Division of Economic Development sincerely consider as a project and fund \$4,500,000.00 to establish a Shopping Center in the Community of Round Rock, Arizona (Navajo Nation), listed in the Infrastructure Capital Improvement Plan (ICIP), using the American Rescue Plan (ARPA).

CERTIFICATION

We hereby certify that the foregoing Resolution was considered by the Round Rock Chapter at a duly called Chapter Meeting at Round Rock, Navajo Nation, Arizona, at which a quorum was present and that same was passed by a vote of 06 in favor, 00 opposed, and 01 abstained, on this 11th day of May, 2021.

Motion by: Paul Yun

Second by: Robert Wagner

Ramona Rogers
Ramona Rogers, President



The Navajo Nation Lukachukai Chapter

P.O. Box 248, Lukachukai, Arizona 86507
Telephone: (928) 787-2500 Fax: (928) 787-2332
Berlinda McKinley, CSC
Vacant, AMS

Carl R. Slater, Council Delegate Paula S. Begay, President Connetta Blair, Vice-President Mary Ann Leonard, Sec./Treasurer Reederson Dee, Grazing Comm. Rep.

LUK21-37

RESOLUTION OF THE LUKACHUKAI CHAPTER #036

SUPPORTING ROUND ROCK CHAPTER'S REQUEST TO THE NAVAJO NATION DIVISION OF COMMUNITY DEVELOPMENT TO ESTABLISH A SHOPPING CENTER IN ROUND ROCK COMMUNITY USING THE AMERICAN RESCUE PLAN ACT FUND.

WHEREAS:

1. Pursuant to the Navajo Nation Council Resolution No. CJ-20-55, dated November 14, 1955, the Lukachukai Chapter is vested with authority and charged with the responsibility to promote, protect and preserve the interest and general welfare, including the health and safety of its community people; and
2. The Indian Self-Determination Act (P.L. 93-638) of the U. S. Congress and Local Governance Initiatives entitles and support us, Navajo Indians, in initiating plan and making decisions, recommendation, request, etc., according to our actual needs and desires; and
3. The Lukachukai Chapter supports an establishment of a Shopping Center in Round Rock, Arizona; and
4. We surely will encourage our Community members to utilize the facility; and
5. The Lukachukai Chapter is aware of Round Rock has no C-Store, Gas Station, any business of any kind in its community at this time; and
6. It will be beneficial not only to Round Rock but to neighboring Communities like; Lukachukai, Tsaille/Wheatfields, Rock Point, and even Rough Rock; and
7. The single lane road into Chinle has become a heavy traffic at all times of the day, creating safety concerns for elders and other daily users; and
8. The Chinle Community has also grown in the past few years, creating heavy traffic congestions and safety concerns for everyone such as standing in long lines at the grocery store; and
9. It makes sense to establish a Shopping Center in Round Rock so it lessens the above listed concerns; and
10. It will ease the mind of Dine' People that there is an option to travel the opposite direction from Chinle area to do their grocery shopping; and

LUK21-37

11. A Shopping Center is much needed for purchase of nutritional foods rather than a convenient store heat up foods and unhealthy foods; and
12. The Lukachukai Chapter supports the idea of such an establishment and it will benefit our Community Dine' people.

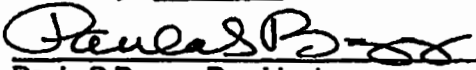
NOW THEREFORE BE IT RESOLVED THAT:

1. The Lukachukai Chapter hereby approves the supports the Round Rock Chapter's request to the Navajo Nation Division of Community Development to establish a Shopping Center in Round Rock Community using the American Rescue Plan Act Fund.

CERTIFICATION

We hereby certify the foregoing resolution was duly considered by the Lukachukai Chapter at a duly called meeting at Lukachukai, Arizona at which a quorum was present and the same was passed by a vote of 08 in favor, 00 opposed, and 05 abstained on this 21st day of May, 2021.

Motion by: Henry Nez

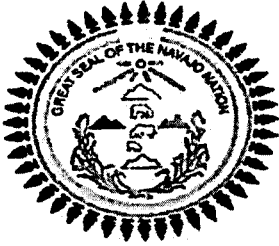


Paula S Begay, President

Second by: Marlene Thompson



Mary Ann Leonard, Secretary/Treasurer



MANY FARMS CHAPTER
P.O. BOX 185
MANY FARMS, ARIZONA 86538
PHONE: (928) 781-3605
FAX: (928) 781-3608
E-Mail: manyfarms@navajochapters.org

Kee Allen Begay, Jr., Council Delegate, 24th Navajo Nation Council

Katherine O. Arthur, President
 Aljerino Tsedah, Vice President
 Jacqueline J. Begaye, Secretary/Treasurer

Francine Harrison, CSC
 Lewanda Ben, AMS
 Roland Tso, Grazing Committee

**Resolution of the
 Many Farms Chapter**

MF – 28-05-2021

SUPPORTING ROUND ROCK CHAPTER'S REQUEST TO NAVAJO NATION COMMUNITY DEVELOPMENT TO ESTABLISH A SHOPPING CENTER IN ROUND ROCK COMMUNITY USING THE AMERICAN RESCUE PLAN ACT FUND

WHEREAS:

1. Pursuant to CMY-23-79, the Many Farms Chapter is a certified chapter of the Navajo Nation vested with authority and responsibilities to provide and enact on all matters affecting the community; and
2. Pursuant to CAP-34-98, the Navajo Nation Council approved the Navajo Nation Local Governance Act (LGA) that allows Many Farms Chapter to make decisions over local matters; and
3. The Many Farms Chapter Government supports an establishment of a Shopping Center in Round Rock, AZ;
4. The Many Farms Government is aware Round Rock has no C-store, gas station, any business of any kind in its community at this time; AND
5. It will be beneficial not only to Round Rock but to neighboring Communities like us, Lukachukai, Rock Point, Tsaile/Wheatfields, and even Rough Rock; AND
6. Chinle Community grocery stores create heavy traffic congestions and safety concerns for everyone such as standing in long lines at the grocery store; AND
7. It will ease the mind of Dine' People that there is an option to travel the opposite direction from Chinle area to do their grocery shopping.

NOW THEREFORE BE IT RESOLVED THAT:

1. Supporting Round Rock Chapter's Request to Navajo Nation Community Development to Establish a Shopping Center in Round Rock Community using the American Rescue Plan Act Fund.

CERTIFICATION

We, hereby certify that the foregoing resolution was considered by the Many Farms Chapter at a duly called special meeting in Many Farms, Navajo Nation (Arizona) at which a quorum was present and that the same was passed by a vote of 4 in favor, 0 opposed and 3 abstained this 10th day of May 2021.

Motion: Francine Harrison
 Second: Billy Begay

Katherine O. Arthur
 Katherine O. Arthur, Chapter President

Aljerino Tsedah
 Aljerino Tsedah, Vice President

Jacqueline J. Begaye
 Jacqueline J. Begaye, Secretary/Treasurer

Kee A. Begay, Jr.
 Kee A. Begay, Jr., Council Delegate

Roland Tso
 Roland Tso, Grazing Committee

**GRAZING
REPRESENTATIVE**

Reeder Deschery, District 9 Grazing
Rep.

COUNCIL DELEGATE

Carl Slater, Council Delegate

ROCK POINT CHAPTER

NAVAJO NATION

P.O. Box 190

Rock Point, Arizona 86545

Ph.: (928) 659-4350/ 51

Fax: (928) 659-4356

Email: rockpoint@navajochapters.org

CHAPTER OFFICIALS

Patterson Yazzie, President

Janice L. Jim, Vice-President

Nancy J. Harvey, Secretary-Treasurer

ADMINISTRATION

Charlene Kirk, Community Services Coordinator

Vacant, Accounts Maintenance Specialist

RPC-2021-28

RESOLUTION OF ROCK POINT CHAPTER

**SUPPORTING AND APPROVING ROUND ROCK CHAPTER'S REQUEST TO
NAVAJO NATION COMMUNITY DEVELOPMENT TO ESTABLISH A SHOPPING
CENTER OR C-STORE IN ROUND ROCK COMMUNITY USING THE AMERICAN
RESCUE PLAN ACT FUND**

WHEREAS:

1. Rock Point Chapter is a duly certified Chapter of the Navajo Nation, established and recognized by the Navajo Nation Council as a foundation of the Navajo Nation government, vested with the authority to plan and implement projects in the best interests of the community, IAW 26 N.N.C., Section 103 (D); and
2. Pursuant to the Navajo Nation Council Resolution CAP-34-98, the Rock Point Chapter is a certified Navajo Nation Chapter Government and is delegated governmental authority and the responsibility to plan and implement projects for community improvements; to review, recommend, advocate, protect and preserve the general interest and welfare, safety and education and/or support matters pertaining to its constituents and to address and attempt to resolve the needs and concerns of the Rock Point Chapter; and
3. The Rock Point Chapter Government supports an establishment of a Shopping Center or C-Store in Round Rock, AZ; and
4. We surely will encourage our Community members to utilize the facility; and
5. The Rock Point Chapter Government is aware Round Rock has no business of any kind in its community at this time; and
6. It will be beneficial not only to Round Rock but to neighboring communities like us, Lukachukai, Rock Point, Tsaile/Wheatfields, Many Farms, and even Rough Rock; and
7. The single lane road into Chinle has become a heavy traffic at all times of the day, creating safety concerns for elders and other daily users; and
8. Chinle community has also grown in the past few years, creating heavy traffic congestions and safety concerns for everyone such as standing in long lines at the grocery store; and
9. It makes sense to establish a Shopping Center or C-Store in Round Rock so it lessens the above listed concerns; and

10. It will ease the mind of Dine' People that there is an option to travel the opposite direction from Chinle area to do their grocery shopping; and
11. A Shopping Center or C-Store is much needed for purchase of nutritional foods rather than convenient store heat up foods and unhealthy foods; and
12. The Rock Point Government supports the idea of such an establishment and it will benefit our Community Dine' people.

NOW THEREFORE BE IT RESOLVED, THAT:

13. The Rock Point Chapter hereby fully supports Round Rock Chapter's request to Navajo Nation Community Development to establish a Shopping Center or C-Store in Round Rock Community, using the American Rescue Plan Act Fund.

1.

CERTIFICATION

We hereby certify that the foregoing resolution was considered by the Rock Point Chapter at a duly called meeting at Rock Point, Navajo Nation (Arizona) at which a quorum was present and that the same was passed by a vote of 0 in favor, 0 opposed, 3 abstained, this 10th day of May 2021.


 Patterson Yazzie, Chapter President


 Janice Jim, Chapter Vice-President


 Nancy J. Harvey, Chapter Secretary/Treasurer

 Carl Slater, Navajo Nation Council Delegate


 Reeder Descheny, Chapter Grazing Representative

1st Motion: Reeder Descheny

2nd Motion: Cyrus Donald



Tse'chi'zhi' Chapter

P. O. Box 4344, Chinle, AZ 86503
Navajo Route 59
928.728.3361 • Fax: 928.728.3362

Resolution #2021-05-16

RESOLUTION OF THE TSE'CHI'ZHI' (ROUGH ROCK) CHAPTER OF THE NAVAJO NATION

Supporting Round Rock Chapter's request to Navajo Nation Community Development to establish a Shopping Center in Round Rock community using the American Rescue Plan Act Fund

WHEREAS:

1. Pursuant to 26 N.N.C., Section 3 (A) the Tse'ch'i'zhi (Rough Rock) Chapter is a duly recognized certified Chapter of the Navajo Nation Government, as listed in 11 N.N.C. Part 1, Section 10; and
2. Pursuant to 26 N.N.C., Section 1 (B) Rough Rock Chapter is vested with the authority to review all matters affecting the community and to make appropriate correction when necessary and make recommendation to the Navajo Nation and other local agencies for appropriate actions; and
3. The Rough Rock Chapter recognizes a need for additional shopping center in the Chinle Agency as there is only one grocery store that serves fifteen (15) other communities and the people are forced to travel over 30 miles through unpaved roads to shop for fresh fruits, vegetables, meats, and essential supplies, and by the time they reach home, the food defrosts, melts, thaw and become unhealthy; and
4. The need for additional food outlets is a priority for all which Round Rock Chapter envisions to establish a shopping center that will benefit all people residing in adjacent communities of Lukachukai, Sweet Water, Rock Point, Many Farms, Rough Rock, Tsaile, and including highway motorists, visitors traveling the reservation; and
5. The establishment of a shopping center in Round Rock, Arizona will provide a vital source for jobs, tax revenue, boost the economy, improve community wellness that will support community development, and provide accessibility by walking, bicycling, carpooling, and short distance driving.

NOW, THEREFORE, BE IT RESOLVED THAT: The Tse'Ch'i'Zhi (Rough Rock) Chapter hereby supports Round Rock Chapter's request to Navajo Nation Community Development to establish a Shopping Center in Round Rock community using the American Rescue Plan Act Fund.

CERTIFICATION: We, the undersigned hereby certify that the foregoing resolution was duly considered at a duly called authorized meeting held at Tse'Ch'i'Zhi, Navajo Nation (Arizona) at which a quorum was present and that same was passed by a vote of 4 in favor and 0 opposing and 0 abstaining this 16th day of May, 2021.

Motioned by: Sylvia Hadley

Seconded by: Jay R. Nez

Signed by Chapter President



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK0464

Date & Time Received: 4/11/2023 at 10:54

Date & Time of Response: 4/25/23 at 8:44 am

Entity Requesting FRF: Round Rock Chapter

Title of Project: Community Cemetery

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$200,000

Eligibility Determination:

- FRF eligible
 FRF ineligible
 Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
 (3) Government Services/Lost Revenue
 (2) Premium Pay
 (4) Water, Sewer, Broadband Infrastructure


U.S. Department of Treasury Reporting Expenditure Category: 6.1 - Provision of Government Services

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Name of DOJ Reviewer: Veronica Blackhat, Asst. Attorney General, Natural Resources Unit

Signature of DOJ Reviewer: 

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: Round Rock Chapter Date prepared: 02/18/2023

Chapter's mailing address: P.O. Box 10 Round Rock, AZ 86547 phone/email: 928-787-2510 website (if any): roundrock@navajochapters.org

This Form prepared by: Bernita Wheeler phone/email: 928-658-8022, bwheeler@navajochapters.org
Ramona Rogers, Chapter President phone/email: roundrock@navajochapters.org
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: Round Rock Community Cemetery

Chapter President: Ramona Rogers phone & email: (928) 814-1344, rrchprz@gmail.com
Chapter Vice-President: Paul Jim phone & email: 928-787-2510 pjim@naataanii.org
Chapter Secretary: Bernita Wheeler phone & email: 928-658-8022 bwheeler@navajochapters.org
Chapter Treasurer: Bernita Wheeler phone & email: 928-658-8022 bwheeler@navajochapters.org
Chapter Manager or CSC: Vacant phone & email: _____
DCD/Chapter ASO: Robert Jumbo phone & email: 928-674-2251 rjumbo@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____
selection of contractor will be determine after bids are open and evaluated document attached

Amount of FRF requested: \$200,000.00 FRF funding period: 04/01/2023 to 12/30/26
Indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

Funds will be to acquire land, and easements/ROWs, archaeological and environmental studies, plan, design and construct new community cemetery in Round Rock Arizona within District 11, Apache County. The chapter wants to secure a new cemetery to include 10 acres. The Project will include the land withdrawal (acquisition), archaeological and environmental studies, and a 6 ft chain-link fence (approximately 3,374 ft long) of the proposed cemetery. As death rate double during COVID-19 pandemic, the cemetery the community uses has reached it's capacity and request has been made to Round Rock to build it's own cemetery. The cemetery will serve our local community and other nearby communities. document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

The Community Cemetery will alleviate the Grazing Committee, Chapter and Nation from dealing with issues involving burials, where people are burying their deceased loved ones out on rangelands, within farm plots and within or near their homesite leases, creating controversies and conflicts. The neighboring chapter communities have reached their maximum capacity within their cemeteries. The new cemetery will offer a final resting place for a family's dearly departed. document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

Program(s) or Project(s) by December 31, 2026:

2023 - Chapter will work with GLDD, CPMD, Grazing Committee, CLUPC to finalize the land withdrawal, feasibility studies and clearances. 2024 - Agents will bid for private contractor to complete the development and construction of the community cemetery. Challenges - land, unforeseen disturbances/weather & additional fees, cost of inflations for materials, equip., labor & supplies document attached

(d) Identify who will be responsible for implementing the Program or Project:

The Round Rock Chapter Officials, Staff, Community Land Use Planning Committee and the Navajo Nation Division of Community Development.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Round Rock Chapter will own and operate the cemetery. Operation and maintenance costs will be budgeted thru the chapter's annual operating budget and revenues generated through established fees with development of plan of operation for the community cemetery.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 - Provision of Government Services

The COVID-19 has a major impact on the communities, unfortunately, causing many individuals not surviving through the pandemic, leading to many deaths. The unforeseen affect has created controversies among the communities, families and Nation of where to bury their loved ones, having a developed community cemetery will alleviate future conflicts.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

- | | |
|---|--|
| 1. Chapter's approved supporting resolution: ROUN2204-03, ROUN2105-02 | 6. Lukachukai |
| 2. RR2208-01 Community Land Use Planning Committee: | Chapter Letter |
| 3. Resources Committe Resolution: RDC-216-99 | |
| 4. NECA Cost Estimates (Tsalle), waiting on Round Rock's cost estimates | |
| 5. Exhibit "A" WNA Grazing, District III Resolutions, | <input type="checkbox"/> Chapter Resolution attached |

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: Approved by:
signature of Preparer/CONTACT PERSON signature of Chapter President (or Vice-President)

Approved by: VACANT Approved by:
signature of CSC signature of Chapter ASO

Approved to submit for Review:
signature of DCD Director

FY'23

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

BUDGET

FWD TO PART 4116

PART I. Business Unit No.: NEW Program Title: Round Rock Chapter - Community Cemetery Division/Branch: DCD/Exec
 Prepared By: Bernita Wheeler Phone No.: (928) 787-2510 Email Address: bwheeler@navajochapters

PART II. FUNDING SOURCE(S)		Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY			Fund Type Code	(A) Approved Original Budget	(B) Proposed Budget	Difference
American Rescue Plan Act of 2021		04/01/23-09/30/26	200,000.00	100%							Total
					2001	Personnel Expenses					
					3000	Travel Expenses					
					3500	Meeting Expenses					
					4000	Supplies					
					5000	Lease and Rental					
					5500	Communications and Utilities					
					6000	Repairs and Maintenance					
					6500	Contractual Services	6	0	25,000	25,000	
					7000	Special Transactions					
					8000	Public Assistance					
					9000	Capital Outlay	6	0	175,000	175,000	
					9500	Matching Funds					
					9500	Indirect Cost					
					TOTAL				\$0.00	200,000.00	200,000

PART IV. POSITIONS AND VEHICLES		
Total # of Positions Budgeted:	(D)	(E)
0	0	0
Total # of Vehicles Budgeted:	(D)	(E)
0	0	0

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: James Adakai, Deputy Director APPROVED BY: Calvin Castillo, Executive Director
Program Manager's Printed Name Division Director / Branch Chief's Printed Name
Signature 4/6/23
Program Manager's Signature and Date Division Director / Branch Chief's Signature and Date

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

FY'23

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Round Rock Chapter - Community Cemetery</u>		Division/Branch: <u>DCD/Executive</u>	
Prepared By: <u>Bernita Wheeler</u>		Phone No.: <u>(928) 787-2510</u>		Email Address: <u>bwheeler@navajochapters.org</u>	
PART II. FUNDING SOURCE(S)		Fiscal Year /Term	Amount	% of Total	
American Rescue Plan Act of 2021		04/01/23-09/30/26	200,000.00	100%	
PART III. BUDGET SUMMARY					
	Fund Type Code	Original Budget	Proposed Budget	Difference or Total	
2001 Personnel Expenses					
3000 Travel Expenses					
3500 Meeting Expenses					
4000 Supplies					
5000 Lease and Rental					
5500 Communications and Utilities					
6000 Repairs and Maintenance					
6500 Contractual Services	6	0	25,000	25,000	
7000 Special Transactions					
8000 Public Assistance					
9000 Capital Outlay	6	0	175,000	175,000	
9500 Matching Funds					
9500 Indirect Cost					
TOTAL		\$0.00	200,000.00	200,000	
PART IV. POSITIONS AND VEHICLES					
		(D)		(E)	
Total # of Positions Budgeted:		0	0	0	
Total # of Vehicles Budgeted:		0	0	0	
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.					
SUBMITTED BY: <u>James Adakai, Deputy Director</u>		APPROVED BY: <u>Calvin Castillo, Executive Director</u>			
Program Manager's Printed Name		Division Director / Branch Chief's Printed Name			
Program Manager's Signature and Date		Division Director / Branch Chief's Signature and Date			

PART I. PROGRAM INFORMATION: Business Unit No.: <u>NEW</u> Program Name/Title: <u>Round Rock Chapter - Community Cemetery</u>																									
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM: CAP-34-98: To direct the Chapter administration and management control system, by promoting efficiency and accountability to the chapter membership and to effectuate plans of action to improved the standards of living for the chapter membership.																									
PART III. PROGRAM PERFORMANCE CRITERIA:																									
1. Goal Statement: To procure for Archeological Studies and Assessment on proposed site Program Performance Measure/Objective: to attain reports on all Arch clearance, studies and assessments	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">1st QTR</th> <th colspan="2">2nd QTR</th> <th colspan="2">3rd QTR</th> <th colspan="2">4th QTR</th> </tr> <tr> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>1</td> </tr> </tbody> </table>	1st QTR		2nd QTR		3rd QTR		4th QTR		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual								1
1st QTR		2nd QTR		3rd QTR		4th QTR																			
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual																		
							1																		
2. Goal Statement: Purchase materials: 6' Chain Link Fencing Program Performance Measure/Objective: bid and select vendor for purchases	<table border="1" style="width:100%; border-collapse: collapse;"> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>1</td> </tr> </tbody> </table>								1																
							1																		
3. Goal Statement: Advertise for Public Employment Program (PEP) Workers for installation of chain link fence Program Performance Measure/Objective: Advertise and hire six (6) PEP Workers	<table border="1" style="width:100%; border-collapse: collapse;"> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>1</td> </tr> </tbody> </table>								1																
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PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.																									
Program Manager's Printed Name <u>James Adakai, Deputy Director</u>	Division Director/Branch Chief's Printed Name <u>Calvin Castillo, Executive Director</u>																								
Program Manager's Signature and Date <u><i>James Adakai</i></u>	Division Director/Branch Chief's Signature and Date <u><i>Calvin Castillo</i></u> 4/6/23																								

PART I. PROGRAM INFORMATION:			
Program Name/Title: <u>Round Rock Chapter - Community Cemetery</u>		Business Unit No.: <u>NEW</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6830	Other Technical Services	\$25,000	200,000
	6845 - Environmental Assessment/Survey	\$25,000.00	
9140	Equipment & Materials To purchase equipment and 6' Chain link fencing	\$175,000	200,000
	9142 - Equipment	\$175,000.00	
TOTAL		200,000	200,000




DR. BUU NYGREN PRESIDENT
RICHELLE MONTOYA VICE PRESIDENT

The Navajo Nation | Yideeskáądi Nitsáhákees

MEMORANDUM

TO : Division/Executive Directors and Staff
Navajo Nation

FROM : 
James Adakai, Delegated Department Manager II
Capital Projects Management Department

DATE : February 1, 2023

SUBJECT: FY 2023 Standing Delegation of Authority

During the period that I am on leave or on travel from the Capital Projects Management Department, the following individuals will be delegated in the capacity of Department Manager II (in no particular order):

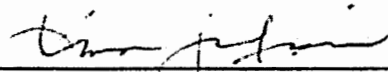
Leonard Hardy, Project Manager
Irma Julian, Project Manager

The Delegation is limited to signature authority for the time sensitive approval and emergency leave unless they feel that matters requires the attention of the Department Manager. Policy decisions are not delegated and are reserved for the Department Manger II. Other personnel related matters will remain under the purview of the Department Manager II.

Your cooperation with the aforementioned staff is appreciated.

ACKNOWLEDGEMENT:


Leonard Hardy, Project Manager
Capital Projects Management Department


Irma Julian, Project Manager
Capital Projects Management Department

Distribution

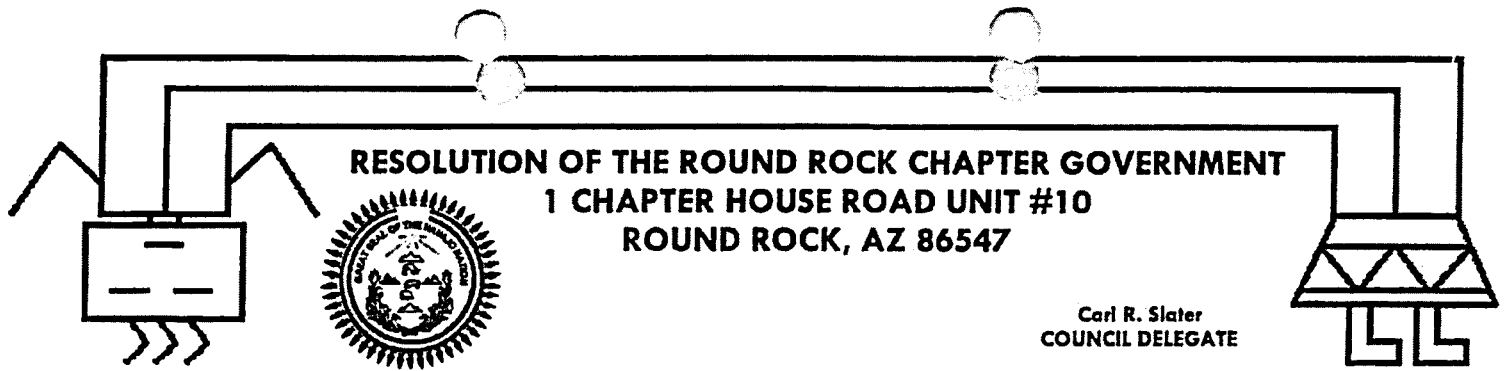
xc: Calvin Castillo, Division Director, Division of Community Development

Capital Projects Management Department
Post Office Box 1510, Window Rock, Arizona 86515

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u>		PART II. Project Type: <u>Cemetery</u>																		
Project Title: <u>Round Rock Chapter - Community Cemetery</u>		Planned Start Date: <u>4/1/2023</u>																		
Project Description: <u>Construct 10 acre community cemetery</u>		Planned End Date: <u>9/30/2026</u>																		
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification		Project Manager: <u>NNDCC/CPMD</u>																		
PART III. List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.																				
PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.																				
	FY 2023				FY 2024				Date	09/30/26										
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	Jul	A	S	O	N	D	J	F	M			
06/05/23 - 07/28/23 Land acquisition/Clearances	O	N	D	J	A	M	J	J	X											
7/31/2023 - 08/11/23 Bid and purchase materials					A	M	J	J	X											
08/07/23 - 08/21/23 Advertise & Hire Public Employment Program Workers										X										
08/24/23 - 09/29/23 Construction and completion of Cemetery																				
PART V. Expected Quarterly Expenditures	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
									200,000.00										PROJECT TOTAL	\$200,000.00

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____



Carl R. Slater
COUNCIL DELEGATE

ROUN2303-02

ROUND ROCK CHAPTER AFFIRMS THAT IT WILL ONLY USE AWARDED FISCAL RECOVERY FUNDS IN THE AMOUNT OF \$1,760,000.00 ACCORDINGLY: (\$700,000.00 RECREATION PARK; \$650,000.00 C-STORE; \$150,000.00 DIVERSION/IRRIGATION SYSTEM; \$200,000.00 CEMETERY; AND \$60,000.00 UPGRADE LAGOON) TO PERFORM THE PRELIMINARY ACTIVITIES, DESIGNS, AND IMPLEMENT THE FRF EXPENDITURE PLAN IN COMPLIANCE WITH THE ARPA REGULATIONS, AND WITH ALL APPLICABLE FEDERAL AND NAVAJO NATION LAWS, REGULATIONS, AND POLICIES

WHEREAS:

1. Pursuant to the Navajo Nation Local Governance Act (26 N.N.C.), Chapter 1, Subchapter 1, Section 3 (A) the Round Rock Chapter Government, is a certified Chapter Organization, which elects a Delegate to the Navajo Nation Council. The Chapter is also listed as being certified at Part 1, Section 10, of 11 N.N.C., the "Navajo Nation Election Code; AND
2. Pursuant to the same "Act", Chapter 1, Subchapter 1, Section 1 (B-1), the Navajo Nation Council; delegates to our Chapter, governmental authority with respect to local matters consistent with Navajo Law, including custom and tradition; AND
3. Section 1 (B-2), authorizes our Chapter, to improve Community decision making; allow us to excel and flourish; enable our Navajo Leaders to lead towards a prosperous future, and improve the strength and sovereignty of the Navajo Nation. Our Chapter is compelled to govern with responsibility and accountability to us, the Local Citizens; AND
4. Pursuant to the Federal "Indian Self-Determination and Education Assistance Act (P.L; 93-638), as amended, our Chapter Government, is entitled and supported to initiate plans and make recommendations, requests, and thus; determine the promotion, protection, and preservation of the general welfare of our Community People, as well as the Navajo Nation; AND
5. The Round Rock Chapter Government held dialogue with membership numerous times to discuss needs and effects experienced from Covid-19; AND
6. The Covid-19 Pandemic had various effects on membership, across the Navajo Nation, and many counties; AND
7. The effected we told to take walks, gatherings were disallowed unless it is open space, such as outside, a Park would benefit both and to promote the many underlying health issues raised during this trying times; AND

Ramona Rogers
PRESIDENT

Paul Jim
VICE-PRESIDENT

Bernita Wheeler
SECRETARY/TREASURER

Robert Wagner
GRAZING OFFICIAL

VACANT
COMMUNITY SERVICES
COORDINATOR

VACANT
ACCOUNT
MAINTENANCE
SPECIALIST

8. The basic food and cleaning necessities was scarce, the C-store can benefit the locals to not have to travel afar for basic needs, even travelers were restricted to travel to border towns; AND
9. The Navajo Nation Standing Committees and Grazing Representative has been discussing and passing resolution directing Chapters to establish a Community Cemetery; Lukachukai St. Isabel Catholic Church has been sending letters requesting for our Chapter to take action; AND
10. Economic Development is a must for our Community and it helps Operators/Business to establish within our Community with upgraded lagoons.

NOW THEREFORE BE IT RESOLVED THAT:

1. The Round Rock Chapter Government hereby affirms that it will only use awarded Fiscal Recovery Funds in the amount of \$1,760,000.00 accordingly: (\$700,000.00 Recreation Park; \$650,000.00 C-Store; \$150,000.00 Diversion/Irrigation system; \$200,000.00 Cemetery; and \$60,000.00 upgrade lagoon) to perform the preliminary activities, designs and implement the FRF expenditure plan in compliance with the ARPA regulations, and with all applicable federal and Navajo nation laws, regulations, and policies.

CERTIFICATION

We hereby certify that the foregoing Resolution was considered by the Round Rock Chapter at a duly called Chapter Meeting at Round Rock, Navajo Nation, Arizona, at which a quorum was present and that same was passed by a vote of 29 in favor, 00 opposed, and 01 abstained, on this 17th day of March, 2023.

Motion by: Laverne Jones

Second by: Terrilyn Johnson

Ramona Rogers
Ramona Rogers, President

Google Maps

Round Rock

Request area for Land for Round Rock Community Cemetery 2023 Bearings: Corner Points and Access Road N12- NE 36,48152, -109,44747 NW 36,48152, -109,45530 SW 36,47836, -109,45521 SE 36,47817, -109, -44771



Imagery ©2023 CNES / Airbus, Maxar Technologies, USDA/FPAC/GEO, Map data ©2023 500 ft

Navajo Engineering And Construction Authority

P.O. Box 969
 No. 1 Uranium Blvd.
 Shiprock, NM 87420

Phone: (505) 210-7070
 Fax: (505) 210-7009

To:	Division Of Community Development	Contact:	
Address:	Window Rock, AZ	Phone:	
		Fax:	
Project Name:	Tsai/Wheatfields Chapter Cemetery Fence	Bid Number:	
Project Location:		Bid Date:	7/7/2022

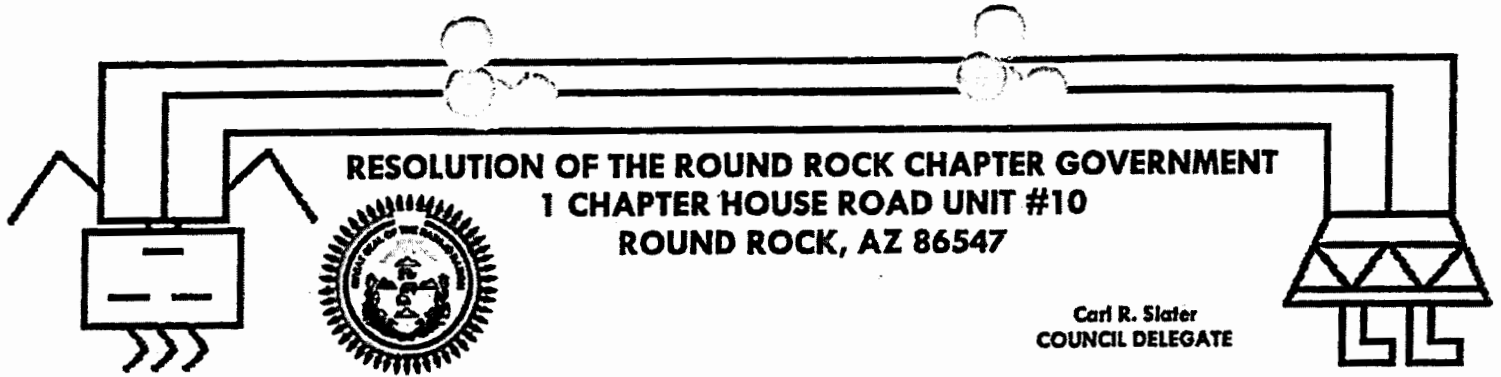
Item #	Item Description	Estimated Quantity	Unit	Unit Price	Total Price
100	Material	1.00	LS	\$169,671.34	\$169,671.34
200	Labor	1.00	LS	\$27,626.98	\$27,626.98
300	Equipment	1.00	LS	\$13,161.91	\$13,161.91

Bid Price Subtotal: \$210,460.23

Total Navajo Nation Sales Tax 6.00%: \$12,627.61

Total Bid Price: \$223,087.84

Notes:



**RESOLUTION OF THE ROUND ROCK CHAPTER GOVERNMENT
1 CHAPTER HOUSE ROAD UNIT #10
ROUND ROCK, AZ 86547**



**Carl R. Slater
COUNCIL DELEGATE**

ROUN2204-03

**RESPECTFULLY REQUESTING NAVAJO NATION DIVISION OF COMMUNITY
DEVELOPMENT SINCERELY CONSIDER ESTABLISHING ROUND ROCK
COMMUNITY CEMETERY, LISTED IN THE INFRASTRUCTURE CAPITAL
IMPROVEMENT PLAN (ICIP), AND TO FUND \$6,500,000.00**

WHEREAS:

1. Pursuant to the Navajo Nation Local Governance Act (26 N.N.C.), Chapter 1, Subchapter 1, Section 3 (A) the Round Rock Chapter Government, is a certified Chapter Organization, which elects a Delegate to the Navajo Nation Council. The Chapter is also listed as being certified at Part 1, Section 10, of 11 N.N.C., the "Navajo Nation Election Code; AND
2. Pursuant to the same "Act", Chapter 1, Subchapter 1, Section 1 (B-1), the Navajo Nation Council; delegates to our Chapter, governmental authority with respect to local matters consistent with Navajo Law, including custom and tradition; AND
3. Section 1 (B-2), authorizes our Chapter, to improve Community decision making; allow us to excel and flourish; enable our Navajo Leaders to lead towards a prosperous future, and improve the strength and sovereignty of the Navajo Nation. Our Chapter is compelled to govern with responsibility and accountability to us, the Local Citizens; AND
4. Pursuant to the Federal "Indian Self-Determination and Education Assistance Act (P.L; 93-638), as amended, our Chapter Government, is entitled and supported to initiate plans and make recommendations, requests, and thus; determine the promotion, protection, and preservation of the general welfare of our Community People, as well as the Navajo Nation; AND
5. The Round Rock Chapter Government has combated with this matter for many years; AND
6. Currently, the Lukachukai St. Isabel Church cemetery has been used for burial of love ones, by the Communities of Lukachukai, Round Rock, Tsaile/Wheatfields; AND
7. Many years the Church has requested each of the Chapter's to establish their own Community Cemetery. St. Isabel site is at full capacity and is constantly being expanded to accommodate grieving relatives; AND

**Ramona Rogers
PRESIDENT**

**Paul Jim
VICE-PRESIDENT**

**Bernita Wheeler
SECRETARY/TREASURER**

**Robert Wagner
GRAZING OFFICIAL**

**Gayla James
COMMUNITY SERVICES
COORDINATOR**

**VACANT
ACCOUNT
MAINTENANCE
SPECIALIST**

8. The Lukachukai Community Chapter President and members of their community has reported at our regular chapter meeting and request the sincere dire need for neighboring chapters to establish their own community cemetery for their people; AND
9. In 1989, a resolution was passed by Navajo Nation Council requesting Chapter to establish such a site in Communities, yet no funding was allocated with the request; AND
10. Such establishment will help relieve scattered developments of family plots and family division regarding such family plots; AND
11. A growing concern is Cremation, and Families are sprinkling their loved one's ashes on the grounds near homesteads or places used by the general public. Traditionally, cremation is not practiced but due to the number of individuals who did not survive Covid-19, families have resorted to this practice due to no proper burial sites. A Cemetery establishment could possibly lessen cremation options by relatives.; AND
12. With the uncertainty of where or how Covid-19 may/may not progress, having a community cemetery readily available will provide proper burial for love ones and allow families/relative to grieve and pay respect; AND
13. It is this type of rescue measures that the Navajo Nation and Community Development is to help Chapters and you directly heard it from Lukachukai Chapter President at the Central Agency meeting.

NOW THEREFORE BE IT RESOLVED THAT:

1. The Round Rock Chapter Government hereby approves and requests Navajo Nation Division of Community Development sincerely consider establishing Round Rock Community Cemetery, listed in the infrastructure Capital Improvement Plans (ICIP), and to fund \$6,500,000.00.

CERTIFICATION

We hereby certify that the foregoing Resolution was considered by the Round Rock Chapter at a duly called Chapter Meeting at Round Rock, Navajo Nation, Arizona, at which a quorum was present and that same was passed by a vote of 11 in favor, 0 opposed, and 03 abstained, on this 12th day of April, 2022.

Motion by: Charleston Wagner Second by: Fredrick Dennison

Ramona Rogers
Ramona Rogers, President

**RESOLUTION
OF THE RESOURCES COMMITTEE
OF THE NAVAJO NATION COUNCIL**

**Recommending and Requesting that Each Community Designate
Trust Land for Community Cemeteries**

WHEREAS:

1. Pursuant to 2 N.N.C. §§ 691 and 693, the Resources Committee is established as a standing committee of the Navajo Nation Council for the purposes of ensuring the optimum utilization of all resources of the Navajo Nation and to protect the rights, and interests and freedoms of the Navajo Nation and people to such resources; and
2. Pursuant to 2 N.N.C. § 695 (B) (14), the Resources Committee of the Navajo Nation Council serves as the legislative oversight committee for the Division of Natural Resources, District Grazing Committee, Eastern Navajo Land Board and Navajo Nation Farm Boards and overall matters affecting Navajo resources; and
3. Pursuant to 2 N.N.C. § 695 (B) (5) and (6), the Resources Committee is authorized to give final approval of all land withdrawals for residential subdivisions, rights-of-way, including all surface easements and other clearances related but not limited to powerline, waterline and sewer line extensions; and to oversee and regulate all activities within Navajo Nation lands, including actions which may involve disposition or acquisition of resources, surface disturbance, or alteration of the natural state of the resource; and
4. The District Grazing Committee has been dealing with issues involving burials. There is a prevalence of people burying their deceased loved ones out on rangelands, within farm plots and within or near their homesite leases. Land use controversies arise when other land users find a burial that interferes with their use of range or farm lands. During the funeral and burial planning process, controversies and disputes arise when a grazing permittee or land use permittee finds out that the burial is being planned on open rangelands or within or near a farm plot or homesite lease area. The District Grazing officials are being requested to handle or mediate these disputes on burials; and
5. To address these issues and to avoid any further controversies, the District Grazing Committee by resolutions, have requested that each community designate and withdraw lands in accordance with 13 N.N.C. § 1951 and 2 N.N.C. § 695 (B) (5) and (6). These resolutions of the District Grazing Committees are attached hereto and incorporated herein as Exhibit "A"; and

6. The Resources Committee is also aware that there are communities where their community cemeteries have reached their maximum use allowances and may have to withdraw more lands to handle the additional needs.

NOW THEREFORE BE IT RESOLVED THAT:

The Resources Committee of the Navajo Nation Council hereby requests that each community of the Navajo Nation designate lands for community cemeteries in accordance with Title 13, Navajo Nation Code, Chapter 11, Burials, Subchapter 1. Generally and Subchapter 3, Cemeteries, including 13 N.N.C. § 1951 and 2 N.N.C. § 695 (B) (5) and (6). Chapter resolutions recommending such land withdrawals shall be submitted to the Division of Natural Resources for consideration by the Resources Committee.

CERTIFICATION

I hereby certify that the foregoing resolution was duly considered by the Resources Committee of the Navajo Nation Council at a duly called meeting at Window Rock, Navajo Nation (Arizona), at which a quorum was present and that same was passed by a vote of 5 in favor, 0 opposed and 0 abstained, this 9th day of December, 1999.


 Robert B. Whitehorse
 Vice Chairperson
 Resources Committee

Motion: Jack Colorado
 Second: Herman Daniels

**PROPOSED RESOLUTION OF THE
WESTERN NAVAJO AGENCY GRAZING COMMITTEE**

**RECOMMENDING TO THE RESOURCES COMMITTEE AND GOVERNMENT
SERVICES COMMITTEE OF THE NAVAJO COUNCIL TO REQUEST ALL
110 CHAPTERS TO DESIGNATE AND WITHDRAW LANDS FOR CEMETERIES**

WHEREAS :

1. The District Grazing Committee are established within the Executive Branch of the Navajo Nation government and their Plan of Operation is codified at Title 3, Article 3 § 871, et. seq. of the Navajo Nation Code for the purpose of overseeing and enforcing and enforcing the Navajo Nation Code for the purpose of overseeing the Navajo Nation Grazing Regulations ; and
2. Pursuant to 2 N.N.C. § 695 . (B), (14), the Resource Committee of the Navajo Nation Council serves as the legislative oversight for the Division of Natural Resources, District Grazing Committee and Eastern Navajo Land Boards and overall matters affecting Navajo resources ; and
3. Pursuant to 13 N.N.C. § 1951, the Government Service Committee of the Navajo Nation Council has authority to designate lands for cemeteries ; and
4. The District Grazing Committee and the Resources Committee hear land and grazing dispute cases on a monthly and routine basis. These disputes have escalated or resulted from people burying the deceased family members in grazing areas which are claimed and grazed by other community members ; and
5. To alleviate some of the social and traditional pressures places on the District Grazing Committee to make decisions regarding such burials, this request is being forwarded to the Resources Committee of the Navajo Nation Council.

NOW THEREFORE BE IT RESOLVED THAT

1. The Western Navajo Agency Grazing Committee hereby respectfully request that the Resources Committee and Government Services Committee of the Navajo Nation Council request by resolution to the respective Navajo Nation Chapter Communities to designate and withdraw lands for cemeteries within each Chapter's Land Use Plan ; and
2. Each Chapter will be responsible to construct and maintain the Cemetery fences ; and each Chapter will have their own policy for interment.
3. That there will be no burials on the Navajo Nation rangeland to prevent land and grazing disputes and asserted land claims. Family burial plots will not be proper and appropriate unless it is legally withdrawn.

CERTIFICATION

We hereby certify that the foregoing resolution was duly considered and moved for adoption at a duly called Western Navajo Agency Grazing Committee meeting at Tuba City, Navajo Nation Arizona at which a quorum was present and that same was passed by a vote of 9 favor, 2 opposed and 2 abstained on this 15 day of July, 1999.

Motioned By:

THOMAS BRADLEY

Seconded By:

HARRY J. GOLDTOOTH



Thomas Walker, Chairperson
WNA Grazing Committee

Jerry Whiterock, Vice Chairperson
WNA Grazing Committee



Louise C. Nockideneh, Secretary
WNA Grazing Committee

PROPOSED RESOLUTION OF THE
DISTRICT THREE GRAZING COMMITTEE

Exhibit "A" RECEIVED
Agriculture Department

RECOMMENDING TO THE RESOURCES COMMITTEE AND GOVERNMENT
SERVICES COMMITTEE OF THE NAVAJO COUNCIL TO REQUEST ALL
110 CHAPTERS TO DESIGNATE AND WITHDRAW LANDS FOR CEMETERIES

WHEREAS :

1. The District Grazing Committee are established within the Executive Branch of the Navajo Nation government and their Plan of Operation is codified at Title 3, Article 3 § 871, et. seq. of the Navajo Nation Code for the purpose of overseeing and enforcing and enforcing the Navajo Nation Code for the purpose of overseeing the Navajo Nation Grazing Regulations ; and
2. Pursuant to 2 N.N.C. § 695 , (B), (14), the Resource Committee of the Navajo Nation Council serves as the legislative oversight for the Division of Natural Resources, District Grazing Committee and Eastern Navajo Land Boards and overall matters affecting Navajo resources ; and
3. Pursuant to 13 N.N.C. § 1951, the Government Service Committee of the Navajo Nation Council has authority to designate lands for cemeteries ; and
4. The District Grazing Committee and the Resources Committee hear land and grazing dispute cases on a monthly and routine basis. These disputes have escalated or resulted from people burying the deceased family members in grazing areas which are claimed and grazed by other community members ; and
5. To alleviate some of the social and traditional pressures places on the District Grazing Committee to make decisions regarding such burials, this request is being forwarded to the Resources Committee of the Navajo Nation Council.

NOW THEREFORE BE IT RESOLVED THAT

1. The Western Navajo District Grazing Committee hereby respectfully request that the Resources Committee and Government Services Committee of the Navajo Nation Council request by resolution to the respective Navajo Nation Chapter Communities to designate and withdraw lands for cemeteries within each Chapter's Land Use Plan ; and
2. Each Chapter will be responsible to construct and maintain the Cemetery fences ; and each Chapter will have their own policy for interment.
3. That there will be no burials on the Navajo Nation rangeland to prevent land and grazing disputes and asserted land claims. Family burial plots will not be proper and appropriate unless it is legally withdrawn.

CERTIFICATION

We hereby certify that the foregoing resolution was duly considered and moved for adoption at a duly called Western Navajo District Grazing Committee meeting at Coalmine, Navajo Nation Arizona at which a quorum was present and that same was passed by a vote of 2 favor, 0 opposed and 1 abstained on this 10 day of June, 1999.

Angela M. Begay
Angela M. Begay, Chairperson
District 3 Grazing Committee

Shirley J. Adkins

Kenneth Kerley
Kenneth Kerley, Vice Chairperson
District 3 Grazing Committee

Louise C. Nockideneh
Louise C. Nockideneh, Secretary
District 3 Grazing Committee



LUKACHUKAI CHAPTER
P. O. Box 248 Lukachukai, Arizona 86507
Telephone: (928)787-2500 Fax: (928) 787-2332
Email: lukachukai@navajochapters.org

Carl R. Slater, Council Delegate Paula S. Begay, President Connette Blair, Vice-President Mary Ann Leonard, Sec./Treasurer Vacant, Grazing Comm. Rep.

MEMORANDUM:

TO: Chapter Officials and Chapter Administration
Tsaile/Wheatfields Chapter
Round Rock Chapter
Rock Point Chapter
Carl Slater, Navajo Nation Council Delegate
Pearl Yellowman, Division Director, DCD
James Adakai, Department Manager II, DCD
Sonlatso Jim-Martin, Department Manager II, ASC
Jonathan Nez, Navajo Nation President, OPVP

DATE: October 29, 2021

RE: Lukachukai Community Cemetery

The Lukachukai Community Cemetery has been used by neighboring community and chapters for deceased members. As of today, the land has reached its capacity, not enough space for additional gravesides. We have assisted our neighboring Chapters for many decades.

On behalf of Lukachukai Chapter, we are kindly requesting the Chapters and the Administration to develop a cemetery in its chapter area for their community membership. We kindly ask that Officials start planning on informing the people that the cemetery has reached its capacity. As for the Chapters, start planning, designing and construction of a community cemetery within their chapter community.

The Lukachukai Community Cemetery has been here for a long time, and recently land was extended, but within the last year it has been utilized excessively due to the coronavirus disease.

If you have any questions, please contact us at (928)787-2500. Thank you

Paula S. Begay, President
Lukachukai Chapter

Connette Blair, Vice President
Lukachukai Chapter

Mary Ann Leonard, Secretary
Lukachukai Chapter



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0494

Date & Time Received: 4/26/23 at 09:42

Date & Time of Response: 5/16/23 at 121 pm

Entity Requesting FRF: Round Rock Chapter

Title of Project: Recreation Park

Administrative Oversight: DCD

Amount of Funding Requested: \$700,000

Eligibility Determination:

- FRF eligible
 FRF ineligible
 Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
 (2) Premium Pay
 (3) Government Services/Lost Revenue
 (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: _____
2.22, Strong Healthy Communities: Neighborhood Features that Promote Health and Safety

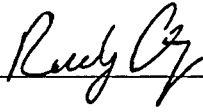
Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- Missing Form
- Supporting documentation missing
- Project will not be completed by 12/31/2026
- Ineligible purpose
- Submitter failed to timely submit CARES reports
- Additional information submitted is insufficient to make a proper determination

- Expenditure Plan incomplete
- Funds will not be obligated by 12/31/2024
- Incorrect Signatory
- Inconsistent with applicable NN or federal laws

Other Comments: _____

Name of DOJ Reviewer: Rudy Anaya, Tax and Finance Unit

Signature of DOJ Reviewer: 

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: Round Rock Chapter Date prepared: 2/18/2023

Chapter's mailing address: PO Box 10 phone/email: (928) 787-2510
Round Rock, Arizona 86547 website (if any): roundrock@navajochapters.org

This Form prepared by: Bernita Wheeler phone/email: (928) 658-8022
Ramona Rogers, Chapter President (928) 814-1344

CONTACT PERSON'S name and title

CONTACT PERSON'S info

Title and type of Project: Recreation Park

Chapter President: Ramona Rogers phone & email: (928) 814-1344 rrchprz@gmail.com

Chapter Vice-President: Paul Jim phone & email: (928) 480-842-9901 pjim@naataanii.org

Chapter Secretary: Bernita Wheeler phone & email: (928) 658-8022 bwheeler@navajochapters.org

Chapter Treasurer: Bernita Wheeler phone & email: (928) 658-8022 bwheeler@navajochapters.org

Chapter Manager or CSC: Vacant phone & email: _____

DCD/Chapter ASO: Robert Jumbo phone & email: (928) 674-2251 rjumbo@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): Selection of contractor(s) will be based on bids. document attached

Amount of FRF requested: \$700,000 FRF funding period: 0401/2023-09/30/2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Round Rock Chapter is located about a quarter of a mile east from the intersection on highway 191 along Navajo Route 12 within District 11 Grazing area. The chapter is situated in Central Agency and on the Navajo Nation at an elevation of 5395. Chapter is requesting funds for a new recreational park on a 200x400 land east of Round Rock Chapter for local community members as well as for nearby communities. The park will provide services and space to recreate, trail walks in open space. To bring immediate enjoyment overall happiness as well as mental and physical health. There is an innate feeling that comes from these experiences.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Since the outbreak of Covid, many users have discovered parks as safe outdoor. The pandemic has demonstrated what is truly essential and included on that list would be the ability to recreate, to play, to get outside and enjoy nature, to compete and learn in youth sports. Engagement to parks, in recreation and sports program leads to healthier individuals and ultimately healthier communities. It nourishes bodies, improve mental health, develop physical, social and emotional skills.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

Program(s) or Project(s) by December 31, 2026:

Proposing project to begin Summer of 2023 and completed by 2025, depending on bidding, awarding of contract and Navajo Nation procurement process.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

Navajo Nation Division of Community Development (CPMD), Round Rock Chapter Officials and Staff.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

Round Rock Chapter will be responsible for operation and maintenance cost for the recreation park and will allocate funds annually in the Round Rock Chapter's Fiscal Year budget.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

3.13 Social Determinants of Health: Others

REASON: Covid had a major impact on our Dine' (Navajo) people's health, well-being, behaviors, social circumstances, environmental, physically and quality of life.

document attached

Part 3. Additional documents.

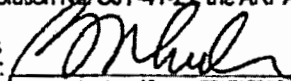
List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

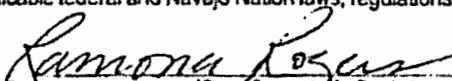
Cost estimates of project provided by Navajo Nation Capital Projects Management Department, Geotechnical Scope of Work, Round Rock Chapter Resolution, tract description, map, conceptual drawings.

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

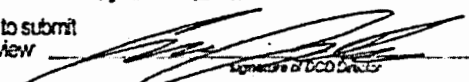
Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: 
signature of Preparer CONTACT PERSON

Approved by: 
signature of Chapter President (or Vice-President)

Approved by: **VACANT**
signature of CSC

Approved by: 
signature of Chapter ASO

Approved to submit for Review: 
signature of CCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

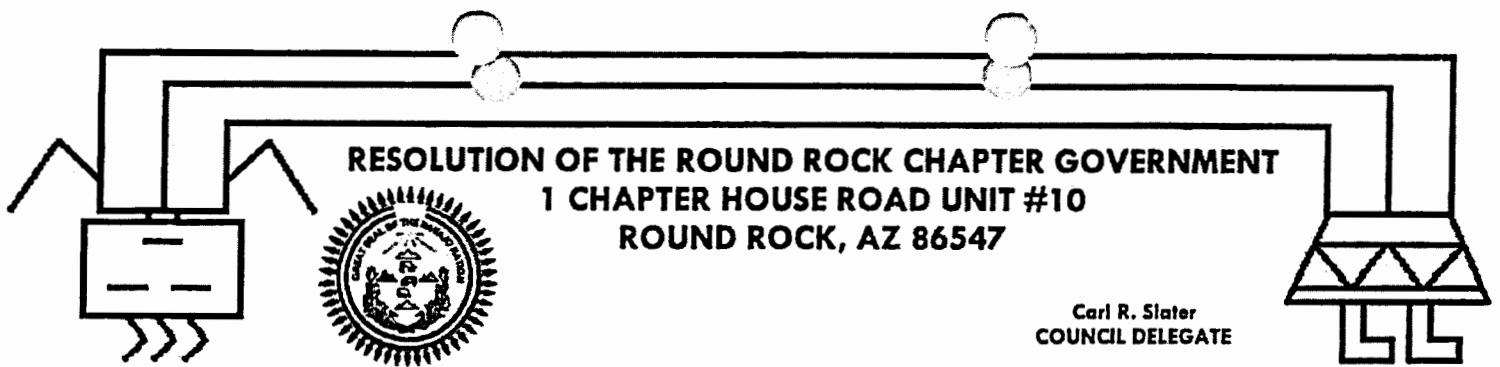
PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Round Rock Chapter - Recreation Park</u>		Division/Branch: <u>DCD/Executive</u>				
Prepared By: <u>Bernita Wheeler</u>		Phone No.: <u>(928) 787-2510</u>		Email Address: <u>bwheeler@navajochapters.org</u>				
PART II. FUNDING SOURCE(S)		Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY			
American Rescue Plan Act of 2021		04/01/23-09/30/23	700,000.00	100%	Fund Type Code	Original Budget	Proposed Budget	Difference or Total
					2001 Personnel Expenses			
					3000 Travel Expenses			
					3500 Meeting Expenses			
					4000 Supplies			
					5000 Lease and Rental			
					5500 Communications and Utilities			
					6000 Repairs and Maintenance			
					6500 Contractual Services	6	0	50,000
					7000 Special Transactions			
					8000 Public Assistance			
					9000 Capital Outlay	6	0	650,000
					9500 Matching Funds			
					9500 Indirect Cost			
					TOTAL		\$0.00	700,000.00
					PART IV. POSITIONS AND VEHICLES			
					Total # of Positions Budgeted:		(D)	
					0		0	
					Total # of Vehicles Budgeted:		(E)	
					0		0	
					TOTAL:		\$700,000.00	
					100%		100%	

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: James Adakai, Deputy Director APPROVED BY: Calvin Castillo, Executive Director
 Program Manager's Printed Name Division Director / Branch Chief's Printed Name
4-18-23 4/18/2023
 Program Manager's Signature and Date Division Director / Branch Chief's Signature and Date

PART I. PROGRAM INFORMATION:		Round Rock Chapter - Recreation Park											
Business Unit No.:	NEW	Program Name/Title:											
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:													
CAP-34-98: To direct the Chapter administration and management control system, by promoting efficiency and accountability to the chapter membership and to effectuate plans of action to improved the standards of living for the chapter membership.													
PART III. PROGRAM PERFORMANCE CRITERIA:													
1. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR					
To procure for Geotech and other studies for development of a recreation park.		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
Program Performance Measure/Objective:													
To attain reports on all Geo Tech and Arch Clearances												1	
2. Goal Statement:													
Contract for Design Build Company to complete set const documents for development													
Program Performance Measure/Objective:													
Round Rock chapter will have const documents with project specs, with proper accessibility												1	
3. Goal Statement:													
Program Performance Measure/Objective:													
4. Goal Statement:													
Program Performance Measure/Objective:													
5. Goal Statement:													
Program Performance Measure/Objective:													
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.													
										Cah'n Castillo, Executive Director			
										Division Director/Branch Chief's Printed Name			
										4-18-23			
										4/18/2023			
										Division Director/Branch Chief's Signature and Date			

PART I. PROGRAM INFORMATION:		Business Unit No.:	
Program Name/Title: Round Rock Chapter - Recreation Park		NEW	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6830	Other Technical Services 6840 - Feasibility Studies \$50,000	\$50,000	\$50,000
9050	Building Design-Build Services for the proposed Round Rock Community Park to a full complete turn-key facility 9052 - ? \$560,000	\$560,000	\$650,000
9070	CAP - Pro Tech Services 9074 - CAP Architecture/Des \$35,000 9076 - CAP Geo Tech Servic \$35,000	\$70,000	\$70,000
9140	Equipment To purchase equipment for the proposed recreation 9142 - Equipment \$20,000	\$20,000	\$20,000
TOTAL		700,000	700,000



ROUN2303-02

ROUND ROCK CHAPTER AFFIRMS THAT IT WILL ONLY USE AWARDED FISCAL RECOVERY FUNDS IN THE AMOUNT OF \$1,760,000.00 ACCORDINGLY: (\$700,000.00 RECREATION PARK; \$650,000.00 C-STORE; \$150,000.00 DIVERSION/IRRIGATION SYSTEM; \$200,000.00 CEMETERY; AND \$60,000.00 UPGRADE LAGOON) TO PERFORM THE PRELIMINARY ACTIVITIES, DESIGNS, AND IMPLEMENT THE FRF EXPENDITURE PLAN IN COMPLIANCE WITH THE ARPA REGULATIONS, AND WITH ALL APPLICABLE FEDERAL AND NAVAJO NATION LAWS, REGULATIONS, AND POLICIES

WHEREAS:

1. Pursuant to the Navajo Nation Local Governance Act (26 N.N.C.), Chapter 1, Subchapter 1, Section 3 (A) the Round Rock Chapter Government, is a certified Chapter Organization, which elects a Delegate to the Navajo Nation Council. The Chapter is also listed as being certified at Part 1, Section 10, of 11 N.N.C., the "Navajo Nation Election Code; AND
2. Pursuant to the same "Act", Chapter 1, Subchapter 1, Section 1 (B-1), the Navajo Nation Council; delegates to our Chapter, governmental authority with respect to local matters consistent with Navajo Law, including custom and tradition; AND
3. Section 1 (B-2), authorizes our Chapter, to improve Community decision making; allow us to excel and flourish; enable our Navajo Leaders to lead towards a prosperous future, and improve the strength and sovereignty of the Navajo Nation. Our Chapter is compelled to govern with responsibility and accountability to us, the Local Citizens; AND
4. Pursuant to the Federal "Indian Self-Determination and Education Assistance Act (P.L; 93-638), as amended, our Chapter Government, is entitled and supported to initiate plans and make recommendations, requests, and thus; determine the promotion, protection, and preservation of the general welfare of our Community People, as well as the Navajo Nation; AND
5. The Round Rock Chapter Government held dialogue with membership numerous times to discuss needs and effects experienced from Covid-19; AND
6. The Covid-19 Pandemic had various effects on membership, across the Navajo Nation, and many counties; AND
7. The effected we told to take walks, gatherings were disallowed unless it is open space, such as outside, a Park would benefit both and to promote the many underlying health issues raised during this trying times; AND

Ramona Rogers
PRESIDENT

Paul Jim
VICE-PRESIDENT

Bernita Wheeler
SECRETARY/TREASURER

Robert Wagner
GRAZING OFFICIAL

VACANT
COMMUNITY SERVICES
COORDINATOR

VACANT
ACCOUNT
MAINTENANCE
SPECIALIST

8. In 1989, a resolution was passed by Navajo Nation Council requesting Chapter to establish such a site in Communities, yet no funding was allocated with the request; AND
9. Such establishment will help relieve scattered developments of family plots and family division regarding such family plots; AND
10. A growing concern is Cremation, and Families are sprinkling their loved one's ashes on the grounds near homesteads or places used by the general public. Traditionally, cremation is not practiced but due to the number of individuals who did not survive Covid-19, families have resorted to this practice due to no proper burial sites. A Cemetery establishment could possibly lessen cremation options by relatives.; AND
11. With the uncertainty of where or how Covid-19 may/may not progress, having a community cemetery readily available will provide proper burial for love ones and allow families/relative to grieve and pay respect; AND
12. Covid-19 has incited all Communities the critical need to establish a cemetery for use by all; AND
13. It is this type of rescue measures that the American Rescue Plan Act (ARPA) is awarded to resolve.

NOW THEREFORE BE IT RESOLVED THAT:

1. The Round Rock Chapter Government hereby approves and requests Navajo Nation Division of Community Development sincerely consider establishing Round Rock Community Cemetery, listed in the infrastructure Capital Improvement Plans (ICIP), and to fund \$6,500,000.00 using the American Rescue Plan (ARPA).

CERTIFICATION

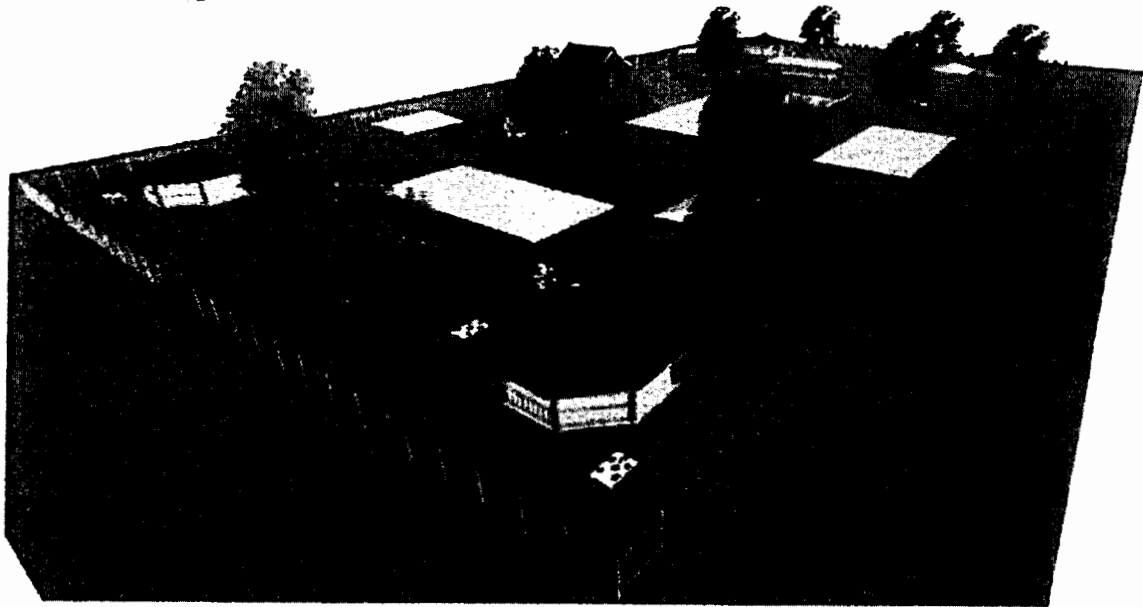
We hereby certify that the foregoing Resolution was considered by the Round Rock Chapter at a duly called Chapter Meeting at Round Rock, Navajo Nation, Arizona, at which a quorum was present and that same was passed by a vote of 06 in favor, 00 opposed, and 01 abstained, on this 11th day of May, 2021.

Motion by: Paul Jun

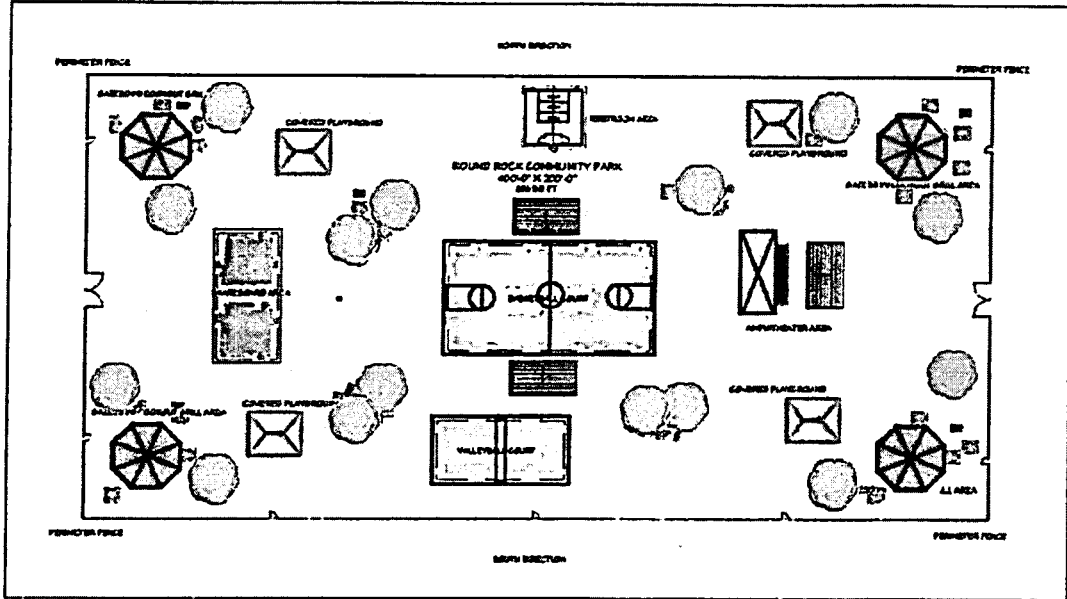
Second by: Robert Wagner

Ramona Rogers
Ramona Rogers, President

Round Rock Chapter Park 400'x 200'



Round Rock Park

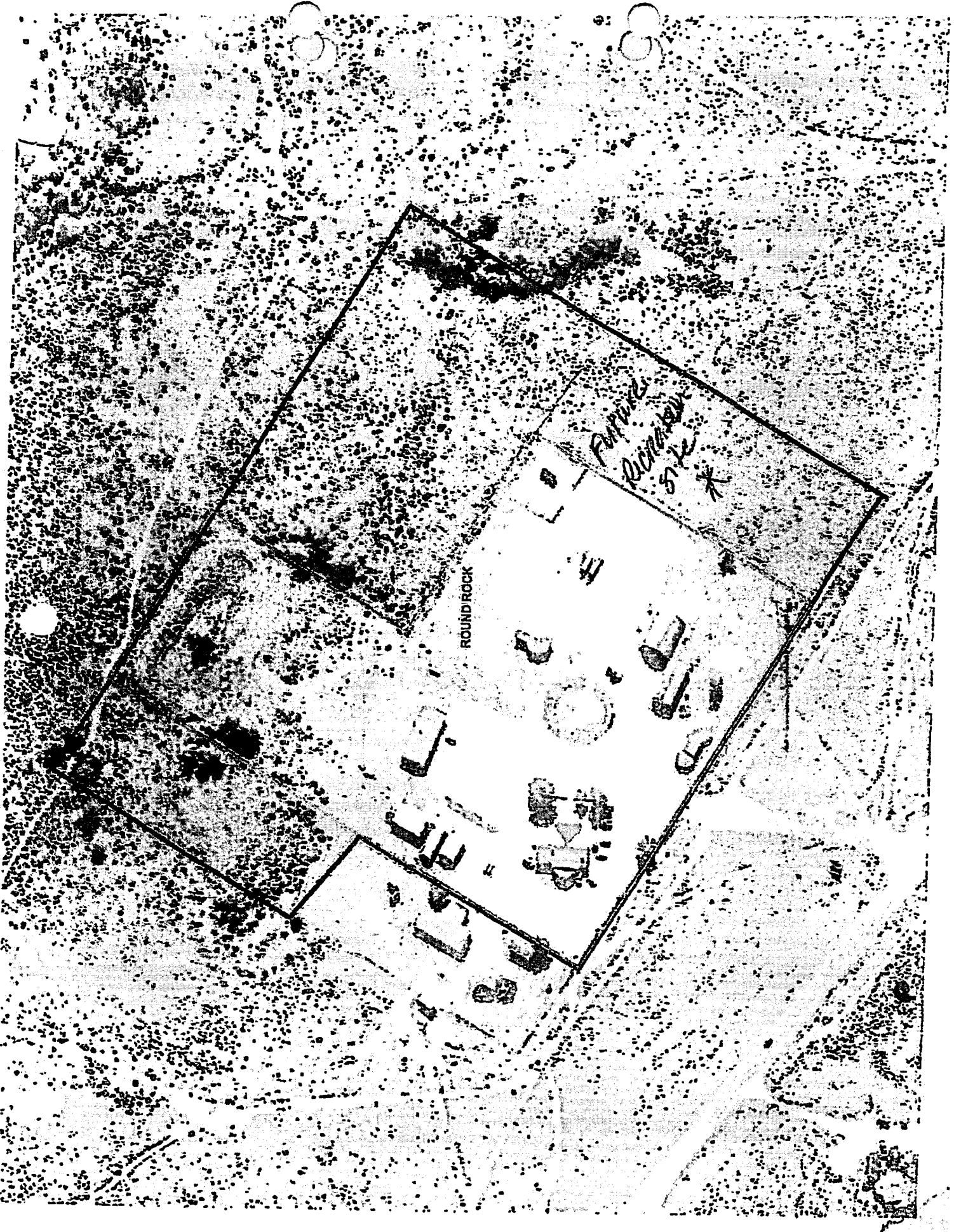


4- Gazebo
 1-Basketball Court
 1- Volleyball Court
 4- Covered Play
 Grounds

1- 900 sqft Restroom
 1- Skateboard Area
 1- Amphitheater

Perimter Fence &
 gates throughout.
 BBQ grills
 throughout
 including picnic
 tables.

Street lights &
 Parking lot.
 Landscaping &
 Bleachers



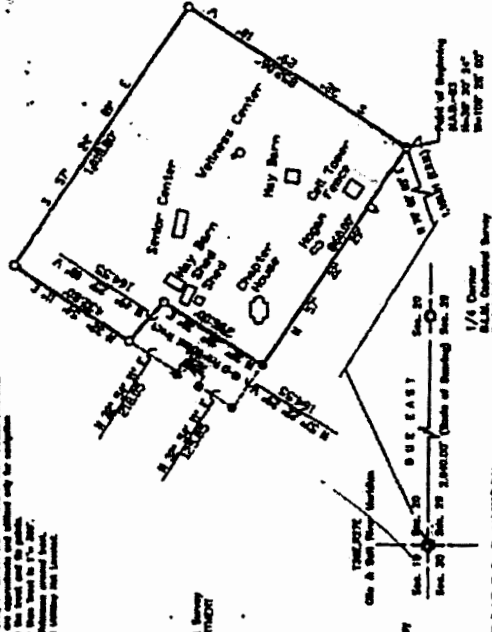
ROUND ROCK

FUTURE
ROUND ROCK
SIDE

NOTES:

1. All measurements in this plan of Survey are in feet, inches, and centimeters.
2. The survey was conducted by the U.S. Army, Indian Affairs, on July 21, 1954.
3. The survey was conducted by the U.S. Army, Indian Affairs, on July 21, 1954.
4. The survey was conducted by the U.S. Army, Indian Affairs, on July 21, 1954.
5. The survey was conducted by the U.S. Army, Indian Affairs, on July 21, 1954.

REFERENCE MADE TO THE SURVEY OF THE LANDS OF THE NAVAJO INDIAN DISTRICT BY THE U.S. ARMY, INDIAN AFFAIRS, ON JULY 21, 1954.



TRACE
 A PARCEL OF LAND SITUATE IN THE NAVAJO INDIAN DISTRICT, DISTRICT 11, NAVAJO INDIAN I SW/4 OF SECTION 20, T36N, R18E, AC. ±

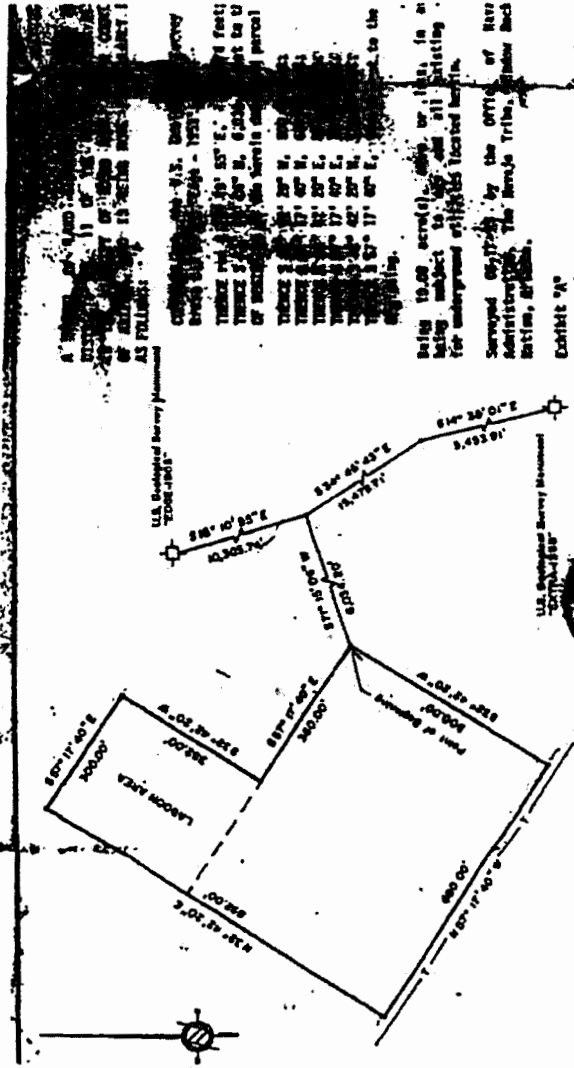
TO THE CENTER OF BEARING: N 70° 00' 00" E
 DISTANCE: 18.48 AC

THESE BEARINGS AND DISTANCES ARE THE SAME AS THOSE SHOWN ON THE ORIGINAL SURVEY OF THE LANDS OF THE NAVAJO INDIAN DISTRICT BY THE U.S. ARMY, INDIAN AFFAIRS, ON JULY 21, 1954.

ROUND ROCK, ARIZONA									
DISTRICT 11, NAVAJO INDIAN I	18.48 AC. ±								
SW/4 OF SECTION 20, T36N, R18E									
<table border="1"> <tr> <td>DATE</td> <td>BY</td> </tr> <tr> <td>APPROVED BY</td> <td></td> </tr> <tr> <td>FIELD BOOK NO.</td> <td></td> </tr> <tr> <td>NO. OF PLATS</td> <td></td> </tr> </table>		DATE	BY	APPROVED BY		FIELD BOOK NO.		NO. OF PLATS	
DATE	BY								
APPROVED BY									
FIELD BOOK NO.									
NO. OF PLATS									



U.S. ARMY
 INDIAN AFFAIRS
 SURVEYOR GENERAL'S OFFICE



ROUND ROCK CHAPTER HOUSE TRACT
 10.00 AC.

DND	
APPROVED BY	1-18
DATE	4-17
PROJECT NO.	2402
FILE NO.	100-32
CD NO.	11-18
SCALE	1" = 200'



NOTE:
 1. All bearings shown herein are in reference to TRUE NORTH.
 2. Underground utilities not located.

Being 10.00 acres, more or less, in a being subject to all rights existing for underground utilities located herein. Surveyed and approved by the Office of Nez Perce Administration, The Nez Perce Tribe, Indian Health Service, Boise, Idaho.

DISTRICT II-NAVAJO INDIAN RESERVE/
 ROUND ROCK, APACHE COUNTY, ARIZONA

**PROJECT DESCRIPTION FORM
SCOPE OF WORK (SOW)
BUDGET COST BREAKDOWN**

1. Name of Grantee/ Fiscal Agent: **Round Rock**
2. Project Title: **Round Rock Community Park Design-Build**
3. Grant Agreement Number: **#TBD**
4. Background Narrative: The selected Vendor, Design-Build Company will complete the set of construction document with Project Manual specification to allow for the development of a plan associated with the new Round Rock Community Park to designed site tract of 10 acres in Round Rock, AZ for the Round Rock chapter community. The Vendor will administer the construction Administration of the Design-Build project along with all the proper accessibility for all the community. The site is located approximately
5. Work Plan: Phase one (1) will consist of Design-Build Services funds to develop the Round Rock Community Park to a full complete turn-key facility.

Detail Scope of Work: Round Rock Chapter Park Site Development Project
Site Development on a future Community Park with parking space. The power line extension to site with a 200 amp electrical panel (SES) location, install decorative concrete sidewalk/ site concrete columns, memorial stone columns, beam, concrete sidewalk with proper drainage away from site, install ADA concrete bumpers, exterior culture stone wainscot at site patio.

6. **Budget Detail:**

Project Cost Activities <i>(These are only examples. Insert activities specific to the proposed project.)</i>	Other Funds	State Funds
Builder Vendor/Engineer-Site Plan Development & Community park Design/Build		Completed CDs (Construction Administration) \$0.00
Building Permit/Inspections		Navajo Nation
Construction Site Development Ground Breaking		
Construction		Included
Improvements for Code Compliance		Included
Equipment		
Vehicle Purchase		
Other Costs (specify)		
Totals		

7. **Time Frame/Milestones/Performance Measures:**

- D/B Solicitation: _____, 2023
- D/B Interviews _____, 2023
- Bidding on Design/Construction _____, 2023
- Bids on project /Cost Negotiation with D/B _____, 2023
- Navajo Nations Procurement Process _____, 2023
- Award D/B September 2023
- Vendor firms issued Notice to proceed to implement Plan and Design work _____, 2023
- Vendor, General Contractor, and Stakeholders kick off meeting on _____, 2023
- Construction Ground breaking on existing site with contractor _____, 2023
- Construction Starts Mid-_____, 2023
- Construction completion date – _____, 2023

8. **Results Expected:** As the Navajo Nations Lead Agency, NN CPMD will proceed as scheduled and execute the contracts and monitor the design, payments and oversee the construction of the existing facility for the community of Round Rock, Arizona.

9. **Responsible Staff (include Project Manager and Fiscal Contact):**

Name: Ramona Rogers, Chapter President

Address: PO Box 10, Round Rock, Arizona 86547

Email: roundrock@navajochapters.org

Phone: (928) 787-2510

Name: Bernita Wheeler

Title: Chapter Secretary/Treasurer

Address: P.O. Box 10, Round Rock, AZ 86535

Email: bwheeler@navajochapters.org

Phone: (928) 787-2510 chapter (928) 658-8022 (cell phone)

**CAPITAL PROJECT MANAGEMENT DEPARTMENT
COST ESTIMATE**

Date: 10/19/2022

Project No.:

Project: Round Rock Park Project



COST SUMMARY PER LOCATION 80,000 S.F.

A. ESTIMATE COST - Round Rock Chapter	Sub-Total	Total
CONSTRUCTION		
1 4,700 sqft Basketball Court	\$ 76,000.00	
2 1,800 sqft Volleyball Court	\$ 32,000.00	
3 1,800 sqft Skateboard	\$ 90,000.00	
4 Restrooms Men's & Women's	\$ 225,000.00	
5 10,000 sqft Asphalt Parking lot	\$ 400,000.00	
6 80,000 sqft Landscape	\$ 300,000.00	
7 4- Playgrounds with Canopy	\$ 400,000.00	
8 1,200 LF Fencing & Gates	\$ 90,000.00	
9 3- Bleachers 15x 33	\$ 24,000.00	
# Grills, Tables & Equipments	\$ 26,000.00	
# Gazebo 4 ea X 45,000	\$180,000	\$ -
B. CONSTRUCTION SERVICES		
1 Water, Power, Sewer Allowance	\$ 200,000.00	
2 10- Street lights	\$ 50,000.00	
3 Geotech	\$ 15,000.00	
4 Civil site Survey	\$ 10,000.00	
5 Sub-Total	\$ 1,938,000.00	
6 Contingency 10%	\$ 193,800.00	
7 NN Tax	\$ 116,280.00	
8 Total Cost	\$ 2,054,280.00	
SUBTOTAL SERVICES: NN Sr.. Demo		
NNGRT 6%		
TOTAL SERVICES:		\$ 2,350,560.00
C Miscellaneous		
1 Architecture & Engineering Services	\$90,000	\$ 90,000.00
2		
3		
Subtotal Costs:		\$ 2,350,560.00
E. TOTAL PROJECT		\$2,440,560.00
F. SUBMITTED BY Mr. Honorable Andy Thomas, CPMD		

Geotechnical Scope of Work Services

Consultants will perform geotechnical engineering and related services for the Round Rock Chapter on Navajo Nation Land Track. Consultants will be required to execute a Task Order which will specify the scope of services, task schedule for the Round Rock Chapter Park and Recreation area designated site for development. To include recommendations, reports of several construction methods options to apply for feasibility and development of a (1)-acre park located within the Navajo Nation Round Rock Chapter, Round Rock Arizona. (address) (chapter contact name & #)

Services Required are as follows:

1. Undisturbed shallow and deep soil borings sampling for 3-inch diameter samples of 5 boring samples.
2. Soil classification testing (moisture content, organic content, unit weight, limits, grain size and distribution and pH testing, etc.)
3. Strength testing (unconfined compression- UC; triaxial-UU)
4. Settlement testing (consolidation test, settling column test, low stress consolidation test, etc.)
5. Geotechnical Engineering (slope stability analysis, settlement analysis of both underlying soils and self-weight consolidation of dredge materials, settlement curves, geotechnical instrumentation, etc.)
6. Surface profiles (strength profiles, geologic profiles, etc.)
7. Detailed engineering report with analyses and recommendations.
8. Reviewing geotechnical reports, presentation of geotechnical reports.
9. Construction related geotechnical engineering services.
10. Infrastructure utility analysis (identifying locations etc.)

Geophysical & Geological Investigation:

1. Civil Survey
2. Preliminary sampling plan
3. Seismic survey
4. Evaluation of geophysical data
5. Borrow area and volume calculations
6. Technical reports and presentations
7. Boring logs and field notes
8. Laboratory analysis results
9. Geophysical survey data and interpretation
10. Quantity calculations
11. Progress reports.
12. Topo Survey at 12" increments
13. Recommendation to build facilities

All may be subject to negotiations list of deliverables, with reporting format requirements will accompany each Task Order when issued. All deliverables shall be accompanied by a letter of transmittal. All soil sampling methods under this Scope of Work services shall be performed with/or under a Licensed Technician or Licensed Engineer.

Land surveyor work will be included under this scope of work to identify all infrastructure, which includes coordination with the local utility company NTUA, IHS, Frontier, BIA, for water, power, sewer, roads, right-of-way's, communications, data, sewer lagoons, leach fields, etc. per site assessments and surveys.



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 495

Date & Time Received: 5/3/23 at 14:42

Date & Time of Response: 05/11/2023 at 1700

Entity Requesting FRF: Round Rock Chapter

Title of Project: Upgrade Sewer Lagoon

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$60,000.00

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category:
5.5 Clean Water: Other Sewer Infrastructure

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- Missing Form
- Supporting documentation missing
- Project will not be completed by 12/31/2026
- Ineligible purpose
- Submitter failed to timely submit CARES reports
- Additional information submitted is insufficient to make a proper determination

- Expenditure Plan incomplete
- Funds will not be obligated by 12/31/2024
- Incorrect Signatory
- Inconsistent with applicable NN or federal laws

Other Comments: _____

Name of DOJ Reviewer: Erika R. Pirotte

Signature of DOJ Reviewer: Erika R. Pirotte

Digitally signed by Erika R. Pirotte
Date: 2023.05.11 11:33:22 -06'00'

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: Round Rock Chapter Date prepared: 9/28/2022

Chapter's mailing address: PO Box 10 phone/email: (928) 787-2510
Round Rock, Arizona 86547 website (if any): roundrock@navajochapters.org

This Form prepared by: Bernita Wheeler phone/email: 882-658-8022, bwheeler@navajochapters.org
Ramona Rogers, Chapter President (928) 814-1344 rrchprez@gmail.com
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: Upgrade Sewer Lagoon

Chapter President: Ramona Rogers phone & email: (928) 814-1344, rrchpprz@gmail.com

Chapter Vice-President: Paul Jim phone & email: (480) 842-9901, kintower@yahoo.com

Chapter Secretary: Bernita Wheeler phone & email: (928) 658-8022, bwheeler@navajochapters.org

Chapter Treasurer: Bernita Wheeler phone & email: (928) 658-8022, bwheeler@navajochapters.org

Chapter Manager or CSC: Vacant phone & email: _____

DCD/Chapter ASO: Robert Jumbo phone & email: (928) 674-2251, rjumbo@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): Navajo Tribal Utility Authority

document attached

Amount of FRF requested: \$60,000 FRF funding period: 04/01/2023 to 09/30/2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Round Rock Chapter is located about a quarter of a mile east from the highway 191 and N12 Intersection. The chapter is situated in Central Agency and on the Navjao Nation at an elevation of 5395. The chapter would like to upgrade their wastewater treatment lagoon. The chapter resolution ROUN2104-03 includes cleaning up the lagoon, assessing for expansion and a cost estimate for the upgrade. The lagoon is located on the north side of the Chapter and Senior Center building. The lagoon site (fenced area) is approximately 1 acre. The lagoon was probably built in the 1960s to mid 1970s.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

The Round Rock Chapter consists of several buildings, the Chapter House, Senior Center, Headstart, Wellness Center, and three other buildings that not opened to the public due to virus pandemic. Future economic development includes convenience store, recreation park and a laundromat to bring essential services to primarily benefit local community members and all.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

Project is ready to be bid out once funding is secured or approved. Navajo Tribal Utility Authority has completed the assessment and cost estimates for Lagoon Project. The chapter has identified funds in the annual budget for cost sharing will continue to seek fund for completion of project. *Start date will be April 2023 and completed by September 2023.* document attached

(d) Identify who will be responsible for implementing the Program or Project:

Navajo Tribal Utility Authority, Round Rock Chapter, Navajo Nation Community Development and Office of Environmental Health Engineering Department and Navajo Engineering Construction authority (NECA) will be responsible for monitoring the implementation of the upgrades of the lagoon.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

Round Rock Chapter Government will be responsible for the operation and maintenance costs for the Lagoon Project or possibly an agreement/arrangement with Navajo Tribal Utility Authority.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

5.5 Clean Water: Other Sewer Infrastructure ?

Lagoon is not up to standard and unsafe. The lagoon needs clean out, repaired and requires expansion.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution #ROUN2209-04S, Navajo Tribal Utility Authority's Assessment Report, Preliminary Diagram Lay out Map and Cost Estimates, Resolution #ROUN2105-03,

Chapter Resolution attached

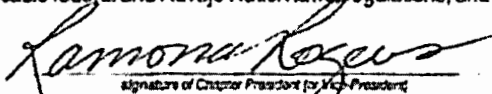
Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer:


signature of Preparer/CONTACT PERSON

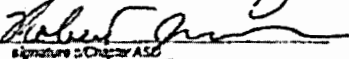
Approved by:


signature of Chapter President or Vice-President

Approved by:

VACANT
signature of CSC

Approved by:


signature of Chapter ASC

Approved to submit for Review:


signature of DCO Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Round Rock Chapter - Upgrade Sewer Lagoon</u>		Division/Branch: <u>DCD/Executive Director</u>				
Prepared By: <u>Bernita Wheeler</u>		Phone No.: <u>(928) 787-2510</u>		Email Address: <u>bwheeler@navajochapters.org</u>				
PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NMC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
	American Rescue Plan Act of 2021	04/01/23-03/30/24	60,000.00		100%	2001 Personnel Expenses		
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services	6	0	60,000	60,000
				7000 Special Transactions				
				8000 Public Assistance				
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				TOTAL		50.00	60,000.00	60,000
				PART IV. POSITIONS AND VEHICLES				
						(D)	(E)	
				TOTAL:		0	0	0
				Total # of Positions Budgeted:		0	0	0
				Total # of Vehicles Budgeted:		0	0	0
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.								
SUBMITTED BY: <u>James Adakai, Deputy Director</u>			APPROVED BY: <u>Calvin Castillo, Executive Director</u>					
Program Manager's Printed Name			Division Director / Branch Chief's Printed Name					
<u>4-18-23</u>			<u>4/18/2023</u>					
Program Manager's Signature and Date			Division Director / Branch Chief's Signature and Date					

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

PART I. PROGRAM INFORMATION:
 Business Unit No.: NEW Program Name/Title: Round Rock Chapter - Upgrade Sewer Lagoon

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:
CAP-34-98: To direct the Chapter administration and management control system, by promoting efficiency and accountability to the chapter membership and to effectuate plans of action to improved the standards of living for the chapter membership.

PART III. PROGRAM PERFORMANCE CRITERIA:

	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement: <u>Upgrade Sewer Lagoon</u>								
Program Performance Measure/Objective: <u>Completion of Upgrade</u>							1	
2. Goal Statement: <u></u>								
Program Performance Measure/Objective: <u></u>								
3. Goal Statement: <u></u>								
Program Performance Measure/Objective: <u></u>								
4. Goal Statement: <u></u>								
Program Performance Measure/Objective: <u></u>								
5. Goal Statement: <u></u>								
Program Performance Measure/Objective: <u></u>								

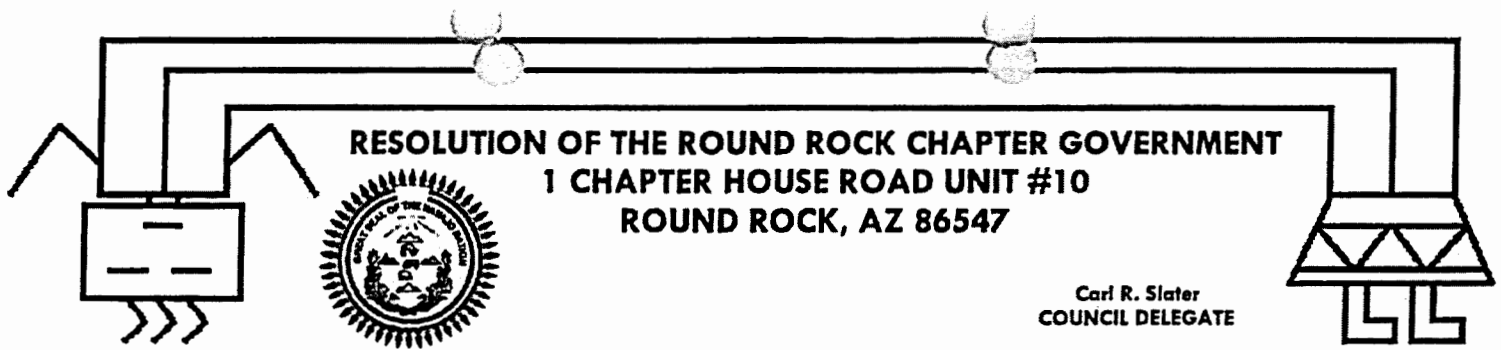
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.
 James Adaki, Deputy Director
 Program Manager's Printed Name
4-18-23
 Program Manager's Signature and Date

Cahm Castillo, Executive Director
 Division Director/Branch Chief's Printed Name
4/18/2023
 Division Director/Branch Chief's Signature and Date

PART I. PROGRAM INFORMATION:			
Program Name/Title: <u>Round Rock Chapter - Upgrade Sewer Lagoon</u>		Business Unit No.: <u>NEW</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6830	Other Technical Services 6900 - Other Contractual Services Sewer Lagoon Upgrade	\$60,000	\$60,000
TOTAL		60,000	60,000

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u> Project Title: <u>Round Rock Chapter - Upgrade Sewer Lagoon</u> Project Description: <u>Upgrade Wastewater Lagoon (Clean out)</u>		PART II. Project Type: <u>Lagoon</u> Planned Start Date: <u>4/1/2023</u> Planned End Date: <u>9/30/2026</u> Project Manager: <u>NTUA</u>									
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification											
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.		Expected Completion Date if project exceeds 8 FY Qtrs.									
Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.											
		FY 2023				FY 2024					
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	4th Qtr.	Date	
	O N D	J F M	A M A	J A S	O N D	J F M	A M A	J A S	Jul	O N D J J F M	
06/05/23 - 06/15/23 Advertise: Plan and Design				X							
06/19/23 - 6/30/23 Award Contract and process contract 164 Review Process			X								
07/24/23 - 9/29/23 Notice to Proceed/Upgrade				X			X				
PART V. Expected Quarterly Expenditures	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	PROJECT TOTAL
				60,000.00							\$60,000.00



ROUN2303-02

ROUND ROCK CHAPTER AFFIRMS THAT IT WILL ONLY USE AWARDED FISCAL RECOVERY FUNDS IN THE AMOUNT OF \$1,760,000.00 ACCORDINGLY: (\$700,000.00 RECREATION PARK; \$650,000.00 C-STORE; \$150,000.00 DIVERSION/IRRIGATION SYSTEM; \$200,000.00 CEMETERY; AND \$60,000.00 UPGRADE LAGOON) TO PERFORM THE PRELIMINARY ACTIVITIES, DESIGNS, AND IMPLEMENT THE FRF EXPENDITURE PLAN IN COMPLIANCE WITH THE ARPA REGULATIONS, AND WITH ALL APPLICABLE FEDERAL AND NAVAJO NATION LAWS, REGULATIONS, AND POLICIES

WHEREAS:

1. Pursuant to the Navajo Nation Local Governance Act (26 N.N.C.), Chapter 1, Subchapter 1, Section 3 (A) the Round Rock Chapter Government, is a certified Chapter Organization, which elects a Delegate to the Navajo Nation Council. The Chapter is also listed as being certified at Part 1, Section 10, of 11 N.N.C., the "Navajo Nation Election Code; AND
2. Pursuant to the same "Act", Chapter 1, Subchapter 1, Section 1 (B-1), the Navajo Nation Council; delegates to our Chapter, governmental authority with respect to local matters consistent with Navajo Law, including custom and tradition; AND
3. Section 1 (B-2), authorizes our Chapter, to improve Community decision making; allow us to excel and flourish; enable our Navajo Leaders to lead towards a prosperous future, and improve the strength and sovereignty of the Navajo Nation. Our Chapter is compelled to govern with responsibility and accountability to us, the Local Citizens; AND
4. Pursuant to the Federal "Indian Self-Determination and Education Assistance Act (P.L; 93-638), as amended, our Chapter Government, is entitled and supported to initiate plans and make recommendations, requests, and thus; determine the promotion, protection, and preservation of the general welfare of our Community People, as well as the Navajo Nation; AND
5. The Round Rock Chapter Government held dialogue with membership numerous times to discuss needs and effects experienced from Covid-19; AND
6. The Covid-19 Pandemic had various effects on membership, across the Navajo Nation, and many counties; AND
7. The effected we told to take walks, gatherings were disallowed unless it is open space, such as outside, a Park would benefit both and to promote the many underlying health issues raised during this trying times; AND

Ramona Rogers
PRESIDENT

Paul Jim
VICE-PRESIDENT

Bernita Wheeler
SECRETARY/TREASURER

Robert Wagner
GRAZING OFFICIAL

VACANT
COMMUNITY SERVICES
COORDINATOR

VACANT
ACCOUNT
MAINTENANCE
SPECIALIST

8. The basic food and cleaning necessities was scarce, the C-store can benefit the locals to not have to travel afar for basic needs, even travelers were restricted to travel to border towns; AND
9. The Navajo Nation Standing Committees and Grazing Representative has been discussing and passing resolution directing Chapters to establish a Community Cemetery; Lukachukai St. Isabel Catholic Church has been sending letters requesting for our Chapter to take action; AND
10. Economic Development is a must for our Community and it helps Operators/Business to establish within our Community with upgraded lagoons.

NOW THEREFORE BE IT RESOLVED THAT:

1. The Round Rock Chapter Government hereby affirms that it will only use awarded Fiscal Recovery Funds in the amount of \$1,760,000.00 accordingly: (\$700,000.00 Recreation Park; \$650,000.00 C-Store; \$150,000.00 Diversion/Irrigation system; \$200,000.00 Cemetery; and \$60,000.00 upgrade lagoon) to perform the preliminary activities, designs and implement the FRF expenditure plan in compliance with the ARPA regulations, and with all applicable federal and Navajo nation laws, regulations, and policies.

CERTIFICATION

We hereby certify that the foregoing Resolution was considered by the Round Rock Chapter at a duly called Chapter Meeting at Round Rock, Navajo Nation, Arizona, at which a quorum was present and that same was passed by a vote of 29 in favor, 00 opposed, and 01 abstained, on this 17th day of March, 2023.

Motion by: Laverne Jones

Second by: Terrelyn Johnson

Ramona Rogers
Ramona Rogers, President

**Round Rock Chapter House
Round Rock, AZ**

Wastewater Lagoon Assessment

**By: Gary Yellowhair
Navajo Tribal Utility Authority
October 1, 2021**



Round Rock Chapter Compound.

Round Rock Chapter Wastewater Lagoon.

The Round Rock Chapter is located about a quarter of a mile east from the highway 191 and N12 Intersection. The chapter is situated in Central Agency and on the Navajo Nation at an elevation of 5395. The chapter would like to upgrade their wastewater treatment lagoon. The chapter resolution ROUN2104-03 includes cleaning up the lagoon, assessing for expansion and a cost estimate for the upgrade. The lagoon is located on the north side of the Chapter and Senior Center buildings. The lagoon site (fenced area) is approximately 1 acre. The lagoon was probably built in the 1960s to mid-1970s.

The Round Rock Chapter consists of several buildings, the Chapter House, Senior Center, Headstart, Wellness Center, and three other buildings that do not appear to be opened to the public or being used. The headstart building is closed and the Wellness Center appears to be not utilized. The reasons they are closed might be because of the virus pandemic. Future development include a convenience store and a laundromat.

The purpose of this report is to evaluate the existing conditions of the lagoon site and to outline the concerns and issues and any improvements recommended for the lagoon. This assessment does not include any geotechnical site evaluations but just a visual assessment of the site. The site was visited on July 14, 2021 and September 23, 2021.

Existing Infrastructure Assessment.

The existing site is developed with buildings, paved parking area and utility infrastructure which include electricity (provided by NTUA), water (NTUA), and wastewater collection lines and a treatment lagoon (Chapter operated).

The existing one-cell wastewater lagoon is in fair to poor condition. The cell was dry. There was only a small wet spot (about 30 feet square) at the sewer line discharge point. The water could be quickly evaporating and infiltrating into the soil. Inspection at the outfall (Fig. 1) showed the wastewater flows were small and intermittent. The existing cell bottom is approximately 185 feet long, 50 feet wide and was about 6 feet deep.

The hydrologic soil group of the soil in the cell is not known. The hydrologic soil group is determined by a USDA soil assessment if the soil is well-drained or not. There is no evidence of recent water ponding in the cell. Therefore, it may be expected that the soil has a moderate to high infiltration rate. There is no evidence of the cell being lined with clay or HDPE liner to keep the wastewater from infiltrating into the soil. If the cell was lined, there might have been some standing water in the cell. The soil at the site had mostly sand and some silt, clay and pebbles. The soil may be the SM type per USDA classification system.



Figure 1. Sewer Pipe Discharge Point

Geotechnical Soil Evaluation.

A geotechnical evaluation was not conducted. This would have included soil borings to estimate soil infiltration rates, compaction, water contents and soil identification in the soil profile. An outside consultant would provide this service such as Western Technologies or Terracon Materials Testing. A geotechnical engineering firm is recommended to be retained prior to designing the upgraded lagoon treatment system to evaluate the site and soil conditions with field and laboratory testing and results. The soil evaluation will determine if the site soils are suitable for construction and the type of workmanship that is required to use the soil in constructing the cells. They would evaluate the soils and provide a report.

Site Wastewater Loadings.

The wastewater loadings from the buildings were evaluated in this report. The existing lagoon is fed from an 8" sewer line that collects wastewater from the chapter compound. A manhole was found near the chapter house, near headstart and another near the senior center building. The sewer line from the chapter house appears to go under the senior center building. The manholes appear to be in good condition as seen on the surface. The sewer line may have to be scanned with a mobile camera to see the pipe condition and to see where the connections are located. This will determine which building is connected to the sewer line.



Figure 2. Manhole by Senior Center.

The estimated average wastewater loads from the existing chapter buildings is 1,785 gallons per day (gpd). This estimate included flows from all of the buildings. With the future development of a C-Store and Laundromat, the wastewater load was estimated at 6,515 gpd. Wastewater loads from the full-build was estimated at 8,300 gpd and was used as the design flow in this assessment.

Site Evaporation.

Evaporation from Open Water Surfaces in Arizona show evaporation at Many Farms School to be about 90.77 inches per year. From figure 10, Evaporation Adjustment Factors for Arizona, for the Round Rock site is 0.72. With the adjusted evaporation, the evaporation at the site was estimated at 65 inches per year and was used in this report. For comparison, evaporation at Jeddito, AZ was 62 inches per year.

Site Precipitation.

The precipitation at Jeddito, AZ is 11.3 inches per year. For Round Rock, AZ, 11 inches was used.

Soil Seepage/Infiltration.

The soil seepage rate will be determined by the geotechnical firm's soils assessment. For Jeddito, AZ, it was determined to be 1.0×10^{-8} m/sec with SM type soils. At Dilkon lagoon, it was 1.1×10^{-5} m/sec with SM type soils. For Round Rock lagoon, it was estimated roughly at 7.0×10^{-5} cm/sec or 50 inches per year. This rate is conservative.

Recommendation: The vegetation inside the existing and new cells will need to be grubbed and removed. The cell bottom and side slopes will need to be reshaped and sloped at 3:1 slope. The cell will be expanded to the south which has plenty of room. The two treatment cells will need to be lined with HDPE liner. The disposal cell will be sized based on soil infiltration rates. The sizing of the cells will be determined by the estimated wastewater loads from the existing buildings and future developments.

The treatment and disposal lagoon system will be designed in accordance with the Navajo Area Indian Health Service Design Criteria (April 2000). The facilities will function as a total retention lagoon system consisting of 2 treatment cells and one disposal cell. The 2 treatment cells will stabilize the organic load while the third cell will receive treated (effluent) overflow from the two treatment cells and will dispose of the effluent through evaporation and seepage processes. The treatment cell floors will have dimensions of 100 feet long, 65 feet wide and 5.5 feet deep. They will be lined with a HDPE liner to prevent any seepage of raw wastewater. The disposal cell floor will be 200 feet long, 50 feet wide and 3 feet deep and will be lined with local soils. The soils will be compacted to 95% of maximum density. All three cells will have 3:1 slopes and have a 3-foot freeboard. The treatment cells should have enough capacity to treat the BOD levels. One treatment cell should be used until there is enough consistent flow (as the buildings open back up) to open the second cell. The treatment cell should never go dry which could lead to problems. The disposal cell on the other hand will be dry for more than 4 months of the year to meet requirements.

Erosion and Drainage.

The existing ground gently slopes down from the south to the north. Storm flow from the chapter compound area will flow to the north into the lagoon site. Other outside flows should not impact the lagoon site.

The site is composed of mostly sand with some fragments of rocks. There is a lot of vegetable growth (Fig. 3) inside the cell. The vegetation ranges from grass, salt bush, shrubs and to olive trees.

Recommendation: The vegetation inside the cell will need to be grubbed and removed.

The lagoon perimeter is fenced with a 6-foot high chainlink fence. The fence is in good to



Figure 3. Vegetation in Lagoon Cell



Figure 4. Lagoon Access Road and Fence Gate

fair

condition. The site has access from the south side with a dirt access road and a double fence gate at the lagoon entrance.

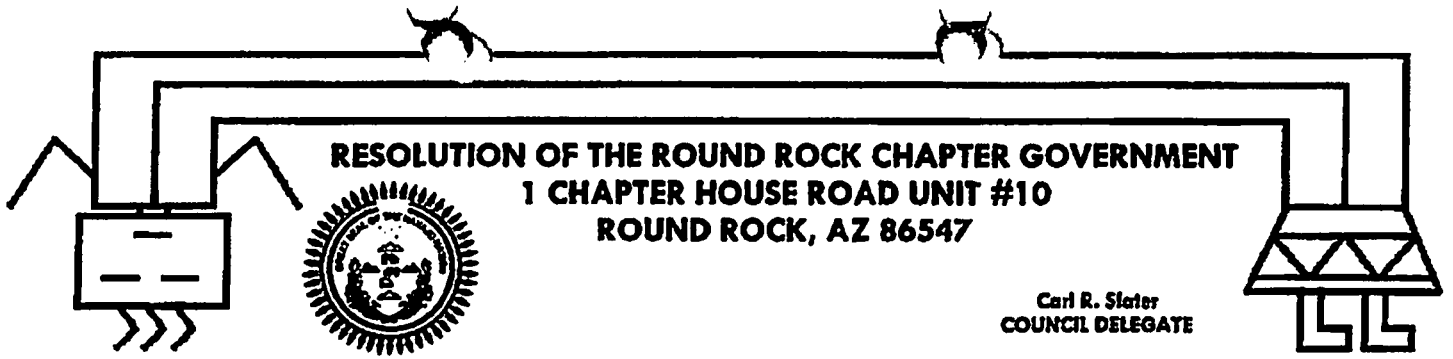
Erosion was not present at the site during the first visit. Only small rill erosion were seen on the south slope of the cell. During the second visit there was erosion along the access road (Fig. 4) and into the cell. So, there appears to be some overland sheet flow and localized flow along the road going into the lagoon. The flow mostly comes from the parking lot and within the chapter compound.

Recommendation: Install a diversion channel with berm upstream from the fence on the south side to convey flow away from the lagoon.

Cost Estimate.

The cost estimate to upgrade the Round Rock Chapter Wastewater Lagoon is provided below. This estimate may be used only for budgeting purposes. The actual cost will be determined when the contractor and engineer submits their bids for the design and construction of the project. The design data and design itself may change from this preliminary design and the cost will be different.

	<u>QTY</u>	<u>UNIT</u>	<u>UNIT COST</u>	<u>COST</u>
Mobilization/Demobilization	1	LS	15,000	15,000.
Grubbing, Sediment Control, Reseeding	1	LS	20,000	20,000.
Construction of Lagoon Cells 1, 2 and 3	1	LS	650,000	650,000.
8" Ductile Iron Pipes	200	LF	60	12,000.
1 Manhole/Distribution Box	1	EA	5,500	5,500.
Transfer Pipes	1	EA	7,000	7,000.
Siphon	2	EA	11,000	22,000.
HDPE Liner for Cell 1 and 2	10,500	SF	3.50	36,750.
Fencing	350	LF	15.00	5,250.
Miscellaneous	1	LS	10,000	10,000.
Sub Total				\$783,500.00
Engineering and Inspection				\$39,175.00
Contingency (20%)				\$156,700.00
Navajo Nation Tax				\$49,360.50
Grand Total Cost				\$1,028,735.50



**RESOLUTION OF THE ROUND ROCK CHAPTER GOVERNMENT
1 CHAPTER HOUSE ROAD UNIT #10
ROUND ROCK, AZ 86547**



**Carl R. Sletor
COUNCIL DELEGATE**

ROUN2105-03

**RESPECTFULLY REQUESTING NAVAJO NATION DIVISION OF COMMUNITY
DEVELOPMENT SINCERELY CONSIDER AS A PROJECT AND FUND \$1,560,000.00
TO EXPLAND THE CHAPTER HOUSE LAGOON, LISTED IN THE
INFRASTRUCTURE CAPITAL IMPROVEMENT PLAN (ICIP), USING THE
AMERICAN RESCUE PLAN (ARPA)**

WHEREAS:

1. Pursuant to the Navajo Nation Local Governance Act (26 N.N.C.), Chapter 1, Subchapter 1, Section 3 (A) the Round Rock Chapter Government, is a certified Chapter Organization, which elects a Delegate to the Navajo Nation Council. The Chapter is also listed as being certified at Part 1, Section 10, of 11 N.N.C., the "Navajo Nation Election Code; AND
2. Pursuant to the same "Act", Chapter 1, Subchapter 1, Section 1 (B-1), the Navajo Nation Council; delegates to our Chapter, governmental authority with respect to local matters consistent with Navajo Law, including custom and tradition; AND
3. Section 1 (B-2), authorizes our Chapter, to improve Community decision making; allow us to excel and flourish; enable our Navajo Leaders to lead towards a prosperous future, and improve the strength and sovereignty of the Navajo Nation. Our Chapter is compelled to govern with responsibility and accountability to us, the Local Citizens; AND
4. Pursuant to the Federal "Indian Self-Determination and Education Assistance Act (P.L; 93-638), as amended, our Chapter Government, is entitled and supported to initiate plans and make recommendations, requests, and thus; determine the promotion, protection, and preservation of the general welfare of our Community People, as well as the Navajo Nation; AND
5. The Round Rock Chapter Government is in support of the larger Navajo Nation's effort for Economic Development; AND
6. Round Rock Chapter lagoon has been built many years ago to serve a Chapter house building; AND
7. The Chapter compound has since established additional buildings: Senior Citizen Center, Head Start Center, Wellness Center, Blue apartment (houses Files), Twin Hogan, and double wide; AND

**Ramona Rogers
PRESIDENT**

**Paul Jim
VICE-PRESIDENT**

**Bernita Wheeler
SECRETARY/TREASURER**

**Robert Wagner
GRAZING OFFICIAL**

**Goylo James
COMMUNITY SERVICES
COORDINATOR**

**Mary L. John
ACCOUNT
MAINTENANCE
SPECIALIST**

- 8. The lagoon, however has not been improved to meet the needs of these additional building, the plan to establish a Shopping Center, Gas station, and others; AND**
- 9. The lagoon needs to be restored to compliance with current environmental and safety standards; AND**
- 10. With the growth and constant utilization by building occupants, the building needs to be expanded to the west, along the west end of current lagoon; AND**
- 11. There is sufficient space available to expand and it is within the Chapter tract and supposed to be considered a shovel ready for the expansion; AND**
- 12. This will not only adhere to the safety concerns but to prepare and be shovel ready for economic developments in the near future; AND**
- 13. The Chapter Government is concerned about the environmental, living and safety standards of the People; AND**
- 14. The lagoon has been populated with Russian Olives; AND**
- 15. The Chapter has set aside reasonable amount of Cost-sharing and ready to partnership on this project; AND**
- 16. This project has been planned in the local Chapter's strategic plan for many years and must be made a reality using the American Rescue Plan Act (ARPA) fund; AND**
- 17. The lagoon improvement will make Round Rock Community be in a Shovel-Ready phase for Economic growth; AND**
- 18. We are strongly requesting to improve economic development to bring essential services to our Dine' relatives and our Dine' people will be the primary beneficiaries; AND**
- 19. It is this type of rescue measures to heal, prevent, improve the lives of Dine' People, which the American Rescue Plan Act (ARPA) is awarded to resolve.**

NOW THEREFORE BE IT RESOLVED THAT:

- 1. The Round Rock Chapter Government hereby approves and requests Navajo Nation Division of Community Development sincerely consider as a project and fund \$1,560,000.00 to expand the Chapter House lagoon, listed in the Infrastructure Capital Improvement Plan (ICIP), using the American Rescue Plan (ARPA).**

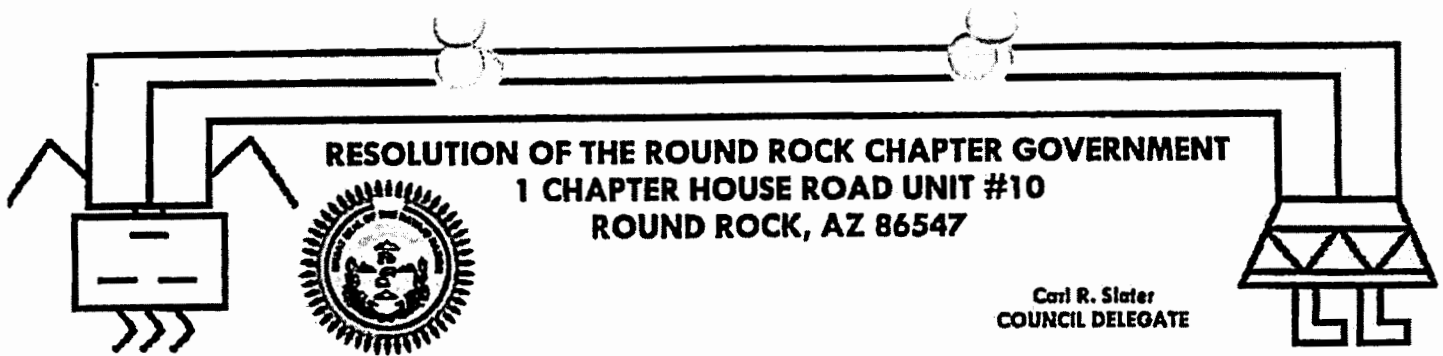
CERTIFICATION

We hereby certify that the foregoing Resolution was considered by the Round Rock Chapter at a duly called Chapter Meeting at Round Rock, Navajo Nation, Arizona, at which a quorum was present and that same was passed by a vote of 06 in favor, 00 opposed, and 01 abstained, on this 11th day of May, 2021.

Motion by: Paul Jim

Second by: Robert Wagner

Ramona Rogers
Ramona Rogers, President



ROUN2104-03

RESPECTFULLY REQUESTING THE OFFICE OF ENVIRONMENTAL HEALTH AND ENGINEERING AND THE NAVAJO TRIBAL UTILITY AUTHORITY FOR ASSISTANCE IN CLEANING OUT THE SEWER LAGOON, ASSESSING FOR EXPANSION AND PROVIDING COST ESTIMATE

WHEREAS:

1. Pursuant to the Navajo Nation Local Governance Act (26 N.N.C.), Chapter 1, Subchapter 1, Section 3 (A) the Round Rock Chapter Government, is a certified Chapter Organization, which elects a Delegate to the Navajo Nation Council. The Chapter is also listed as being certified at Part 1, Section 10, of 11 N.N.C., the "Navajo Nation Election Code; AND
2. Pursuant to the same "Act", Chapter 1, Subchapter 1, Section 1 (B-1), the Navajo Nation Council; delegates to our Chapter, governmental authority with respect to local matters consistent with Navajo Law, including custom and tradition; AND
3. Section 1 (B-2), authorizes our Chapter, to improve Community decision making; allow us to excel and flourish; enable our Navajo Leaders to lead towards a prosperous future, and improve the strength and sovereignty of the Navajo Nation. Our Chapter is compelled to govern with responsibility and accountability to us, the Local Citizens; AND
4. Pursuant to the Federal "Indian Self-Determination and Education Assistance Act (P.L; 93-638), as amended, our Chapter Government, is entitled and supported to initiate plans and make recommendations, requests, and thus; determine the promotion, protection, and preservation of the general welfare of our Community People, as well as the Navajo Nation; AND
5. Round Rock Chapter lagoon has been built many years ago to serve a Chapter house building; AND
6. The Chapter grounds has since established additional buildings: Senior Citizen Center, Head Start Center, Wellness Center, Blue apartment, Twin Hogan, and a double wide trailer; AND
7. The lagoon, however has not been improved to meet the needs of these additional building; AND
8. The sewer lagoon needs to be brought up to compliance with current environmental and safety standard; AND

Ramona Rogers
PRESIDENT

Paul Jim
VICE-PRESIDENT

Bernita Wheeler
SECRETARY/TREASURER

Robert Wagner
GRAZING OFFICIAL

Gayla James
COMMUNITY SERVICES
COORDINATOR

Mary L. John
ACCOUNT
MAINTENANCE
SPECIALIST

9. With the growth and constant utilization by building occupants, it needs to be expanded to the west; AND
10. There is sufficient space available to expand; AND
11. This will not only adhere to the safety concerns but to prepare and be shovel ready for economic development in the near future; AND
12. The Chapter is needing this accommodation to possibly take advantage of funds being available from Division of Community Development, NTUA, and other, possibly an American Rescue Plan Act (ARPA) project or any others: AND
13. The Chapter Government is concerned about the environmental, living and safety standards of the People;
14. The lagoon has been populated with Russian Olives, AKA: Monkey eggs; AND
15. The Chapter has set aside reasonable amount of cost-sharing and partnership on this project; AND
16. This project has been planned in the local Chapter budget for years, we need to be proactive to see the end product.

NOW THEREFORE BE IT RESOLVED THAT:

The Round Rock Chapter Government hereby requests the Office of Environmental Health and Engineering and the Navajo Tribal Utility Authority for Assistance in cleaning out the sewer lagoon, assessing for expansion, and providing cost estimate.

CERTIFICATION

We hereby certify that the foregoing Resolution was considered by the Round Rock Chapter at a duly called Chapter Meeting at Round Rock, Navajo Nation, Arizona, at which a quorum was present and that same was passed by a vote of 07 in favor, 00 opposed, and 01 abstained, on this 20th day of April, 2021.

Motion by: Paul Jim

Second by: Robert Wagner

Ramona Rogers

Ramona Rogers, President

**RESOLUTION OF THE
COMMUNITY LAND USE PLANNING COMMITTEE
OF THE ROUND ROCK CHAPTER
RESOLUTION NO.: RR2208-01**

ROUND ROCK COMMUNITY LAND USE PLANNING COMMITTEE SUPPORTS ROUND ROCK CHAPTER GOVERNMENT RESOLUTIONS TO 1) CONSOLIDATE TWO PARCELS OF PREVIOUSLY WITHDRAWN LANDS AND REPURPOSE FOR ECONOMIC DEVELOPMENT PROJECTS; 2) UPGRADE WATER LINES TO BUILDINGS WITHIN CHAPTER COMPOUND; 3) WITHDRAW 10 ACRES OF LAND FOR ECONOMIC DEVELOPMENT PURPOSES, AND 4) REQUEST WATER RESOURCES TO EVALUATE ROUND ROCK DAM AND IRRIGATION.

WHEREAS:

1. The Round Rock Community Land Use Planning Committee (CLUPC) was established by the Round Rock Chapter Government pursuant to 26 N.N.C. Sec. 2004, Subchapter 7 for the purposes among others of creating a community land use plan that incorporates community needs, goals and priorities, serving as a Chapter advisory committee to develop, recommend, evaluate and oversee said plan, and communicating with residents how community land use planning development projects may benefit the community; and
2. On August 19, 2022 at a duly called Round Rock Chapter meeting, a quorum being present, Resolution ROUN2208-03 passed by a vote of 22 in favor, none opposed and five abstained to approve three acres of land withdrawn for a police Sub-station to be combined with the two acres of land withdrawn for a business site lease (Leo Jim site) for a new five acre site for the purpose of economic development, including but not limited to a shopping center, gas station, C-store, house manufacturing facility, and other concepts as supported by the Chapter; and
3. On August 19, 2022 at a duly called Round Rock Chapter meeting, a quorum being present, Resolution ROUN2208-04 passed by a vote of 22 in favor, none opposed and five abstained to approve a request that the Navajo Tribal Utility Authority upgrade the main water line up to two meters and for four facilities and other proposed developments, and
4. On August 19, 2022 at a duly called Round Rock Chapter meeting, a quorum being present, Resolution ROUN2208-08 passed by a vote of 22 in favor, none opposed and five abstained to approve withdrawal of ten acres of land for the purpose of economic development, including but not limited to a shopping center, gas station, C-store, house manufacturing facility, and other concepts as supported by the Chapter; and
5. On August 19, 2022 at a duly called Round Rock Chapter meeting, a quorum being present, Resolution ROUN2208-11 passed by a vote of 22 in favor, none opposed and five abstained to request Water Resources to evaluate the Round Rock irrigation intake to the reservoir, evaluate all equipment, clean out dam and purchase parts; and
6. On August 24, 2022 at a duly called Round Rock Chapter CLUPC meeting, a quorum being present, CLUPC members passed a motion by a vote of three in favor, none opposed and one abstention in support of four Round Rock Chapter Government resolutions, ROUN2208-03, ROUN2208-04, ROUN2208-08 and ROUN2208-11; and

NOW THEREFORE BE IT RESOLVED THAT:

1. **The Round Rock Chapter Community Land Use Planning Committee supports Round Rock Chapter Resolutions ROUN2208-03, ROUN2208-04, ROUN2208-08, and ROUN2208-11 and all action needed to achieve the objectives of said resolutions, and urges approval of funds to implement listed proposed projects; and**
2. **Round Rock Chapter Community Land Use Planning Committee will continue to work with Round Rock Chapter officials and personnel to achieve the objectives of said resolutions, and fulfill its responsibilities so that Round Rock community members benefit from a comprehensive and integrated land use plan that balances economic opportunity and services and protects the land as a natural and cultural resource.**

CERTIFICATION

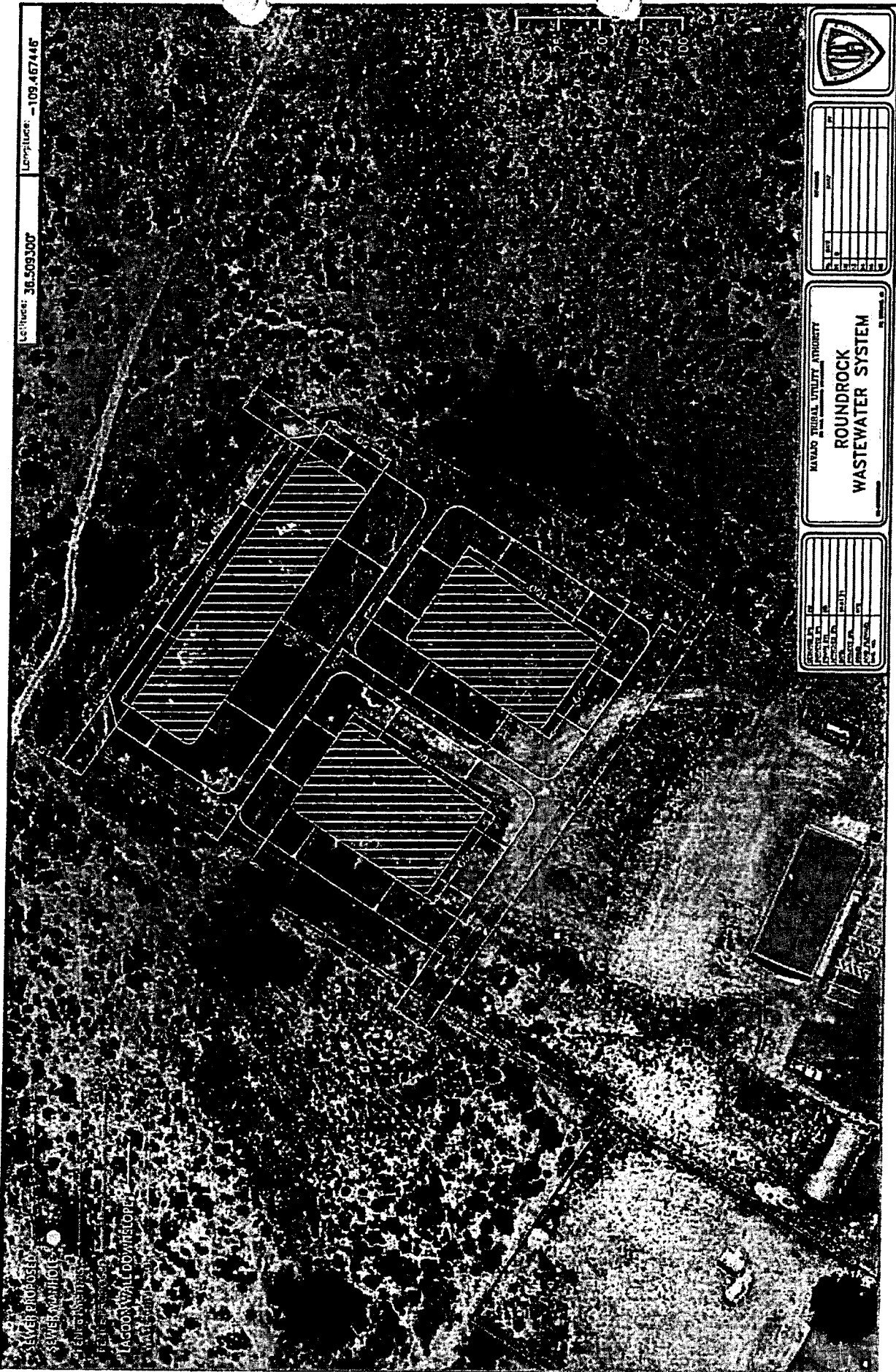
We hereby certify that the foregoing resolution was considered by the Round Rock Chapter Community Land Use Planning Committee at a duly called meeting at Round Rock, Arizona at which a quorum was present and the same was passed by a vote of three in favor, none opposed, and one abstention, on this 24th day of August 2022.

Motioned By: Dorothy Johnson, Member

Seconded By: Charleston Wagner, Vice President


Valencia Harvey, President, CLUPC

U.S. Index: 36.509300° Longitude: -109.467446°



SEWER PROPOSED
SEWER EXISTING
WATER EXISTING

LAGOON WALL DOWNSTREAM



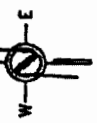
NO.	DATE	BY	REVISION

NEVADA RURAL UTILITY AUTHORITY
**ROUNDROCK
WASTEWATER SYSTEM**

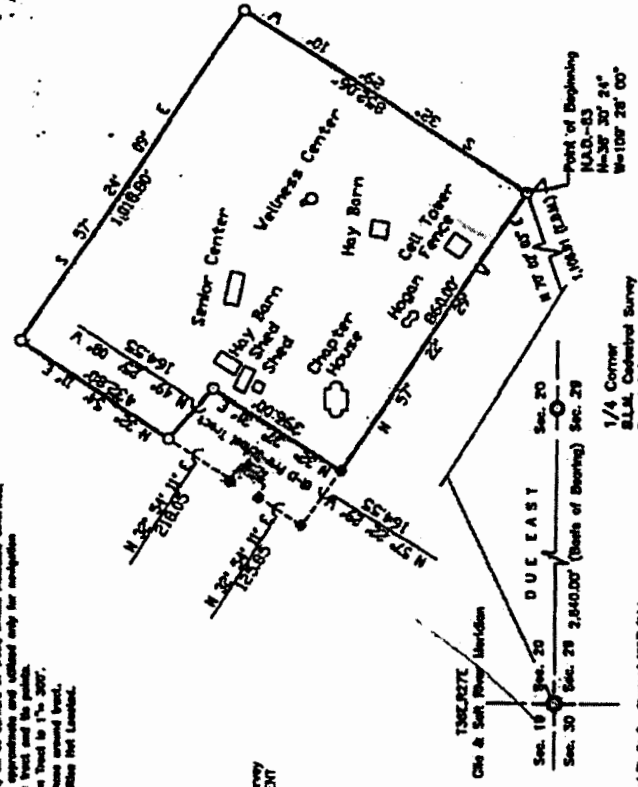
NO.	DATE	BY	REVISION

NOTES:

1. Block of Bearing in the South Line of Section 20, T36N, R27E, G&SB,4. Measurement as shown on U.S.G.S. Contour Survey and refer to Table herein.
2. 1/4" Pin Stake Survey (M&C) on all corners of tract, unless indicated otherwise.
3. Contour lines are approximate and utilized only for comparison.
4. Sheds, other than those listed in the notes.
5. All ground obstructions removed.
6. Underground Utilities Not Located.



REFERENCE USED:
 (74-1) Pin Stake Survey
 BY NAVAJO LAND DEPARTMENT
 ON JULY 21, 1983.



1/4 Corner
 B.L.M. Contour Survey
 Feat. B/C-1898
 M&S-23
 H-38' 30\"/>

BEARER

James K. Baker
 Surveyor General
 State of New Mexico
 Commission Expires 12/31/85

TRACE

A PARCEL OF LAND SITUATE IN THE SOUTH
 SECTION 20, TOWNSHIP 36 NORTH, RANGE 27
 EAST, IN THE VICINITY OF ROUND ROCK, A
 PART OF THE TRACT AND IS BEING OFFERED
 TO THE PUBLIC BY THE Southwest Section of the
 Public Lands Bureau of the U.S. DEPARTMENT OF
 THE INTERIOR.

THE POINT OF BEGINNING OF THE TRACT HEREIN IS
 THE POINT OF BEGINNING OF THE TRACT HEREIN
 THE BEARING IS N 57° 28' 29\"/>

18.44 AC. ±
 Surveyed August 1977
 The Navajo National Monument, Hesperock, Arizona

BEARER

N.L.D.		ROUND ROCK, SHAPTEF	
SURVEY BY:	TB	18.44 AC. ±	
DRAWN BY:	KT	DISTRICT 11, NAVAJO INDIAN I	
APPROVED BY:	J	SW/4 OF SECTION 20, T36N, R	
FIELD BOOK NO.:	RECON		
JOB NUMBER:	18-77A		

A PART OF LAND DISTRICT II OF THE COUNTY OF ARIZONA, AND IS BEING MORE CLARITY I AS FOLLOWS:

CORNER OF U.S. GEOLOGICAL SURVEY BRASS CAP STATION - 1955 - 1955
 THENCE N 10° 55' E, 10.303.74 feet
 THENCE S 83° 41' 03" W, 6,036.20 feet to U OF BEARINGS
 THENCE S 83° 41' 03" W, 500.00 feet
 THENCE N 83° 41' 03" W, 500.00 feet
 THENCE N 83° 41' 03" W, 500.00 feet
 THENCE S 83° 41' 03" W, 500.00 feet
 THENCE S 83° 41' 03" W, 500.00 feet
 THENCE S 83° 41' 03" W, 500.00 feet

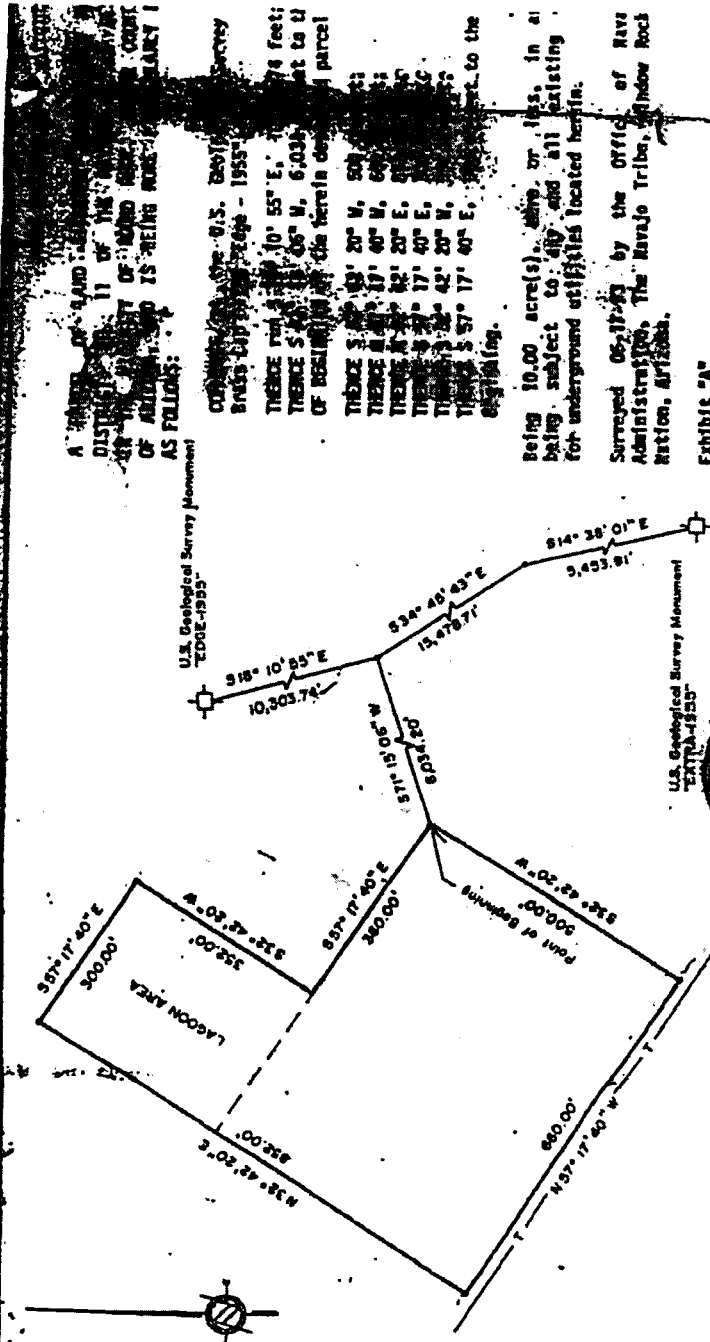
Being 10.00 acres), above or less, in a being subject to all and all existing for underground utilities located herein.

Surveyed 05-17-53 by the Office of Navy Administration, The Navajo Tribe, Window Rock Station, Arizona.

Exhibit "A"

ona	
DATE	5-17-53
BY	MD
PROJECT NO.	200-312
SCALE	1" = 200'

NOTE:
 1. All Bearings Shown hereon are in reference to TRUE NORTH.
 2. Underground Utilities Not Located.





NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK0461

Date & Time Received: 4/10/2023 at 12:13

Date & Time of Response: 04/19/2023 at 11:14

Entity Requesting FRF: Round Rock Chapter

Title of Project: Diversion/Irrigation System

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$150,000.00

Eligibility Determination:

- FRF eligible
 FRF ineligible
 Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
 (2) Premium Pay
 (3) Government Services/Lost Revenue
 (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: _____

5.11 Drinking Water: Transmission & Distribution

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: We have modified the requested expenditure code from 6.1 (Provision of Government Services) to 5.11 (Drinking Water: Transmission & Distribution). 5.11 is a more favorable category because it is not limited to the amount of the Nation's lost revenue.

Necessary investment in water infrastructure in accordance with the U.S. Environmental Protection Agency's Drinking Water State Revolving Fund (DWSRF), Part A.2 - Drought/Loss of Water Supply and Part B.2 - Water Efficiency

Name of DOJ Reviewer: Erika Pirotte

Signature of DOJ Reviewer: Erika Pirotte

Digitally signed by Erika Pirotte
Date: 2023.04.19 11:09:47 -06'00'

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: Round Rock Chapter Date prepared: 2/18/2023

Chapter's mailing address: P.O. Box 10 phone/email: 928-787-2510
Round Rock, AZ 86547 website (if any): roundrock@navajochapters.org

This Form prepared by: Bernita Wheeler phone/email: (928) 658-8022, bwheeler@navajochapters.org
Ramona Rogers, Chapter President rrchprez@gmail.com
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: Diversion/Irrigation System

Chapter President: Ramona Rogers phone & email: 928-814-1344, rrchprz@gmail.com

Chapter Vice-President: Paul Jim phone & email: 928-787-2510 pjim@naataanii.org

Chapter Secretary: Bernita Wheeler phone & email: 928-658-8022 bwheeler@navajochapters.org

Chapter Treasurer: Bernita Wheeler phone & email: 928-658-8022 bwheeler@navajochapters.org

Chapter Manager or CSC: Vacant phone & email: _____

DCD/Chapter ASO: Robert Jumbo phone & email: 928-674-2251 rjumbo@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): selection of contractor will be based on bids, Labor will be cover by the Chapter's Public Employment Program document attached

Amount of FRF requested: \$150,000. FRF funding period: 04/01/23 to 09/30/26
Indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

Round Rock Chapter is requesting ARPA funds to revitalized 42 Farm Plots with approximately 565.48 acre. There are 42 Farm Owners with acreages from 10 to 50 acres registered/titled with the Bureau of Land Administration. The Farm Plots needs to be assess and provide feasibility studies of each plots to plan for implementation of local grown produce to benefit not only consumption but for income for farmers. The Chuska Mountain water is usually diverted into the Round Rock lake. Drilling for water wells fro farming would be most beneficial and irrigating through installation of pipes will save water from evaporating and seeping into the irrigation ditches. We are strongly determined to improve economic development to bring essential services to our Dine' people and improve lives. document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Round Rock Chapter is in support of the larger Navajo Nation's effort for Economic Development. This will re-instill the traditional teachings of farming for everyone. It will teach many on self sufficiency by farming and producing corn pollen, fresh produce and hay locally and also provide education on healthy eating, cultural teaching and work ethics. Studies shows Native Food are more or the most healthiest. With this, Seasonally employment will be available. document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

2023 - Chapter will work closely with GLDD, CPMD, Grazing Committee, CLUPC. Grazing Representative has already started resurveying farm plots with Bureau of Land Department. 2024 -. Challenges - Approval of funding and disbursement, Navajo Nation Tribal Procurement and Review Processes. Weather document attached

(d) Identify who will be responsible for implementing the Program or Project:

The Round Rock Chapter, BIA and the Navajo Nation agents. document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Round Rock Chapter will own and operate the Farm Plots. The Navajo Nation will be the fiscal agent for the project. Operation and maintenance costs will be budgeted thru the chapter's annual operating budget and revenues generated through established fees with development of plan of operation for the Farms Plots. document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 - Provision of Government Services

The COVID-19 had a major impact on the community, unfortunately, causing many families to travel quite a distance (120 miles) to nearest town to get food, water, produce and hay. Most stores literally had empty shelves, out of food, water and hay. The chapter will encourage community members to focus economically on crops, support community empowerment to grown own food beyond the pandemic. Dine' (Navajo) people basic nutritional meal is corn. They will grow corn to make variety of traditional food. document attached

Part 3. Additional documents.

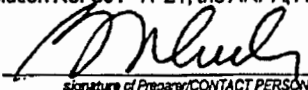
List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

1. Chapter's approved supporting resolutions: ROUN2205-07, 2, ROUN2208-01, Navajo Nation Water Resources Assessment and Scope of Work. Chapter Resolution attached

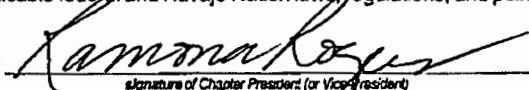
Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer:


signature of Preparer/CONTACT PERSON

Approved by:


signature of Chapter President (or Vice President)

Approved by:

VACANT
signature of CSC

Approved by:


signature of Chapter ASO

Approved to submit for Review:


signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Round Rock Chapter - Diversion/Irrigation system</u>		Division/Branch: <u>DCD/Executive</u>	
Prepared By: <u>Bernita Wheeler</u>		Phone No.: <u>(928) 787-2510</u>		Email Address: <u>bwheeler@navajochapters.org</u>	
PART II. FUNDING SOURCE(S)		Fiscal Year / Term	Amount	% of Total	
American Resue Plan Act of 2021		04/01/23-09/30/26	150,000.00	100%	
PART III. BUDGET SUMMARY					
	Fund Type Code	NNC Approved Original Budget	Proposed Budget	Difference or Total	
2001 Personnel Expenses					
3000 Travel Expenses					
3500 Meeting Expenses					
4000 Supplies					
5000 Lease and Rental					
5500 Communications and Utilities					
6000 Repairs and Maintenance					
6500 Contractual Services	6	0	150,000	150,000	
7000 Special Transactions					
8000 Public Assistance					
9000 Capital Outlay					
9500 Matching Funds					
9500 Indirect Cost					
TOTAL			50.00	150,000.00	150,000
PART IV. POSITIONS AND VEHICLES					
			(D)	(E)	
Total # of Positions Budgeted:			0	0	
Total # of Vehicles Budgeted:			0	0	
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.					
SUBMITTED BY:		APPROVED BY:			
James Adakai, Deputy Director		Calvin Castillo, Executive Director			
Program Manager's Printed Name		Division Director / Branch Chief's Printed Name			
<i>James Adakai</i>		<i>Calvin Castillo</i>			
Program Manager's Signature and Date		Division Director / Branch Chief's Signature and Date			
<i>James Adakai 4/6/23</i>		<i>Calvin Castillo 4/6/23</i>			

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

PART I. PROGRAM INFORMATION:	Business Unit No.: <u>NEW</u>	Program Name/Title: <u>Round Rock Chapter - Diversions/Irrigation system</u>								
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:	CAP-34-98: To direct the Chapter administration and management control system, by promoting efficiency and accountability to the chapter membership and to effectuate plans of action to improved the standards of living for the chapter membership.									
PART III. PROGRAM PERFORMANCE CRITERIA:			1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement: Hire a consultant to perform Engineering Assessment Program Performance Measure/Objective: Advertise bids for professional services for Engineering Assessment									1	
2. Goal Statement: Select Consultant to conduct Assessment Program Performance Measure/Objective: Consultant will submit Assessment to chapter									1	
3. Goal Statement: Hire Public Employment Program (PEP) Workers Program Performance Measure/Objective: Advertise, select and hire (PEP) 6-8 workers									1	
4. Goal Statement: Program Performance Measure/Objective:										
5. Goal Statement: Program Performance Measure/Objective:										

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

James Adakal, Deputy Director	Calvin Castillo, Executive Director
Program Manager's Printed Name	Division Director/Branch Chief's Printed Name
	4/9/23
Program Manager's Signature and Date	Division Director/Branch Chief's Signature and Date

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 2023

PART I. PROGRAM INFORMATION:		Business Unit No.:	
Program Name/Title: <u>Round Rock Chapter - Diversion/Irrigation system</u>		NEW	
PART II. DETAILED BUDGET:		(B)	(D)
(A)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6830	Other Technical Services 6840 - Feasibility Studies Hire a Consultant for Feasibility Studies	\$75,000	150,000
	6845 - Environmental Assessment/ES Hire a Consultant for Assessment	\$75,000	
TOTAL		150,000	150,000




DR. BUU NYGREN *PRESIDENT*
RICHELLE MONTOYA *VICE PRESIDENT*

The Navajo Nation | Yideeskáadi Nitsáhákees

MEMORANDUM

TO : Division/Executive Directors and Staff
Navajo Nation

FROM : 
James Adakar, Delegated Department Manager II
Capital Projects Management Department

DATE : February 1, 2023

SUBJECT: FY 2023 Standing Delegation of Authority

During the period that I am on leave or on travel from the Capital Projects Management Department, the following Individuals will be delegated in the capacity of Department Manager II (in no particular order):

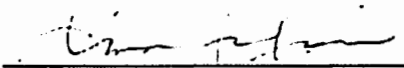
Leonard Hardy, Project Manager
Irma Julian, Project Manager

The Delegation is limited to signature authority for the time sensitive approval and emergency leave unless they feel that matters requires the attention of the Department Manager. Policy decisions are not delegated and are reserved for the Department Manger II. Other personnel related matters will remain under the purview of the Department Manager II.

Your cooperation with the aforementioned staff is appreciated.

ACKNOWLEDGEMENT:


Leonard Hardy, Project Manager
Capital Projects Management Department


Irma Julian, Project Manager
Capital Projects Management Department

Distribution

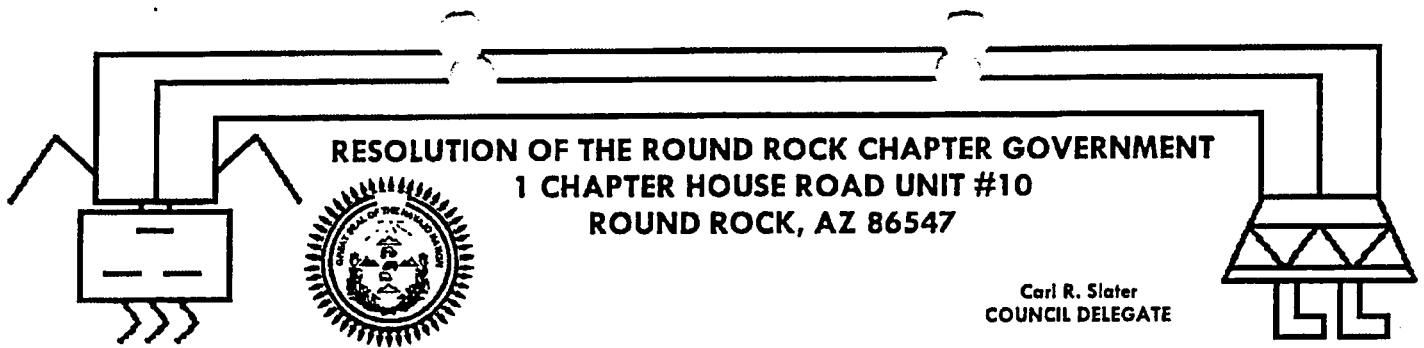
xc: Calvin Castillo, Division Director, Division of Community Development

Capital Projects Management Department
Post Office Box 1510, Window Rock, Arizona 86515

THE NAVAJO NATION
PROJECT BUDGET SCHEDULE

PART I. Business Unit No.: _____ NEW Project Title: <u>Round Rock Chapter - Diversion/Irrigation System</u> Project Description <u>Diversion/Irrigation System</u>	PART II. Project Type: <u>Irrigation</u> Planned Start Date: <u>4/1/2023</u> Planned End Date: <u>9/30/2026</u> Project Manager: <u>Navajo Nation Water Resources</u>
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification	

PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.								Expected Completion Date if project exceeds 8 FY Qtrs.	
	FY 2023				FY 2024					
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.		
06/05/2023 - 07/07/2023 Hire a Consultant	O N D	J F M A	M A M J	Jul X	O N D	J F M A M J	Jul A S	O N D J F M		
07/10/2023 - 08/31/2023 Hire PEP Workers				X						
PART V. Expected Quarterly Expenditures	\$	\$	\$	\$	\$	\$	\$	\$	\$	PROJECT TOTAL \$150,000.00



ROUN2303-02

ROUND ROCK CHAPTER AFFIRMS THAT IT WILL ONLY USE AWARDED FISCAL RECOVERY FUNDS IN THE AMOUNT OF \$1,760,000.00 ACCORDINGLY: (\$700,000.00 RECREATION PARK; \$650,000.00 C-STORE; \$150,000.00 DIVERSION/IRRIGATION SYSTEM; \$200,000.00 CEMETERY; AND \$60,000.00 UPGRADE LAGOON) TO PERFORM THE PRELIMINARY ACTIVITIES, DESIGNS, AND IMPLEMENT THE FRF EXPENDITURE PLAN IN COMPLIANCE WITH THE ARPA REGULATIONS, AND WITH ALL APPLICABLE FEDERAL AND NAVAJO NATION LAWS, REGULATIONS, AND POLICIES

WHEREAS:

1. Pursuant to the Navajo Nation Local Governance Act (26 N.N.C.), Chapter 1, Subchapter 1, Section 3 (A) the Round Rock Chapter Government, is a certified Chapter Organization, which elects a Delegate to the Navajo Nation Council. The Chapter is also listed as being certified at Part 1, Section 10, of 11 N.N.C., the "Navajo Nation Election Code; AND
2. Pursuant to the same "Act", Chapter 1, Subchapter 1, Section 1 (B-1), the Navajo Nation Council; delegates to our Chapter, governmental authority with respect to local matters consistent with Navajo Law, including custom and tradition; AND
3. Section 1 (B-2), authorizes our Chapter, to improve Community decision making; allow us to excel and flourish; enable our Navajo Leaders to lead towards a prosperous future, and improve the strength and sovereignty of the Navajo Nation. Our Chapter is compelled to govern with responsibility and accountability to us, the Local Citizens; AND
4. Pursuant to the Federal "Indian Self-Determination and Education Assistance Act (P.L; 93-638), as amended, our Chapter Government, is entitled and supported to initiate plans and make recommendations, requests, and thus; determine the promotion, protection, and preservation of the general welfare of our Community People, as well as the Navajo Nation; AND
5. The Round Rock Chapter Government held dialogue with membership numerous times to discuss needs and effects experienced from Covid-19; AND
6. The Covid-19 Pandemic had various effects on membership, across the Navajo Nation, and many counties; AND
7. The effected we told to take walks, gatherings were disallowed unless it is open space, such as outside, a Park would benefit both and to promote the many underlying health issues raised during this trying times; AND

**Ramona Rogers
PRESIDENT**

**Paul Jim
VICE-PRESIDENT**

**Bernita Wheeler
SECRETARY/TREASURER**

**Robert Wagner
GRAZING OFFICIAL**

**VACANT
COMMUNITY SERVICES
COORDINATOR**

**VACANT
ACCOUNT
MAINTENANCE
SPECIALIST**

8. The basic food and cleaning necessities was scarce, the C-store can benefit the locals to not have to travel afar for basic needs, even travelers were restricted to travel to border towns; AND
9. The Navajo Nation Standing Committees and Grazing Representative has been discussing and passing resolution directing Chapters to establish a Community Cemetery; Lukachukai St. Isabel Catholic Church has been sending letters requesting for our Chapter to take action; AND
10. Economic Development is a must for our Community and it helps Operators/Business to establish within our Community with upgraded lagoons.

NOW THEREFORE BE IT RESOLVED THAT:

1. The Round Rock Chapter Government hereby affirms that it will only use awarded Fiscal Recovery Funds in the amount of \$1,760,000.00 accordingly: (\$700,000.00 Recreation Park; \$650,000.00 C-Store; \$150,000.00 Diversion/Irrigation system; \$200,000.00 Cemetery; and \$60,000.00 upgrade lagoon) to perform the preliminary activities, designs and implement the FRF expenditure plan in compliance with the ARPA regulations, and with all applicable federal and Navajo nation laws, regulations, and policies.

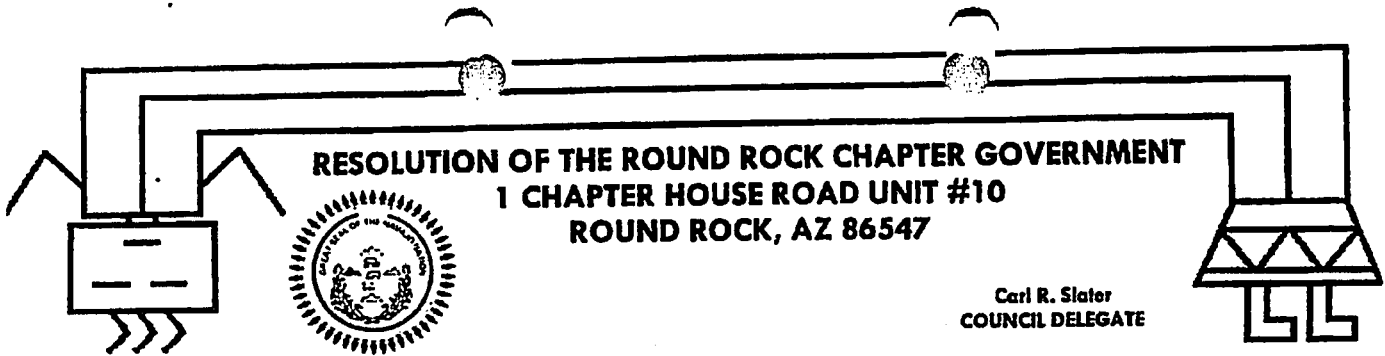
CERTIFICATION

We hereby certify that the foregoing Resolution was considered by the Round Rock Chapter at a duly called Chapter Meeting at Round Rock, Navajo Nation, Arizona, at which a quorum was present and that same was passed by a vote of 29 in favor, 00 opposed, and 01 abstained, on this 17th day of March, 2023.

Motion by: Laverne Jones

Second by: Terrilyn Johnson

Ramona Rogers
Ramona Rogers, President



ROUN2208-11

RESPECTFULLY REQUESTING THE DEPARTMENT OF WATER RESOURCES TECHNICAL, CONSTRUCTION, AND OPERATIONS BRANCH TO EVALUATE THE ROUND ROCK IRRIGATION SYSTEM FOR REHABILITATION, COST ESTIMATE AND PLAN OF ACTION

WHEREAS:

1. Pursuant to the Navajo Nation Local Governance Act (26 N.N.C.), Chapter 1, Subchapter 1, Section 3 (A) the Round Rock Chapter Government, is a certified Chapter Organization, which elects a Delegate to the Navajo Nation Council. The Chapter is also listed as being certified at Part 1, Section 10, of 11 N.N.C., the "Navajo Nation Election Code; AND
2. Pursuant to the same "Act", Chapter 1, Subchapter 1, Section 1 (B-1), the Navajo Nation Council; delegates to our Chapter, governmental authority with respect to local matters consistent with Navajo Law, including custom and tradition; AND
3. Section 1 (B-2), authorizes our Chapter, to improve Community decision making; allow us to excel and flourish; enable our Navajo Leaders to lead towards a prosperous future, and improve the strength and sovereignty of the Navajo Nation. Our Chapter is compelled to govern with responsibility and accountability to us, the Local Citizens; AND
4. Pursuant to the Federal "Indian Self-Determination and Education Assistance Act (P.L; 93-638), as amended, our Chapter Government, is entitled and supported to initiate plans and make recommendations, requests, and thus; determine the promotion, protection, and preservation of the general welfare of our Community People, as well as the Navajo Nation; AND
5. Every Spring and Summer, our main irrigation canal needs cleaning out and especially, at the location of the main diversion site; AND
6. The control tunnel needs to be leveled or sloped to downstream to prevent clogging; AND
7. The main diversion gate and two filtering gates need to be changed out; AND
8. The culverts need to be replaced and lowered; AND
9. These Culverts need to be lowered because the water upstream gets too heavy causing the canal to break; AND
10. The culvert under the Route 12 also need to be lowered but B.I.A. Roads Department *needs to do* it; AND

Ramona Rogers
PRESIDENT

Paul Jim
VICE-PRESIDENT

Berrita Wheeler
SECRETARY/TREASURER

Robert Wagner
GRAZING OFFICIAL

Goyla James
COMMUNITY SERVICES
COORDINATOR

VACANT
ACCOUNT
MAINTENANCE
SPECIALIST

11. The Whole canal needs to be widened; AND
12. The dug out silt on the north side of the canal needs to be cut down or lowered; AND
13. Moreover, we need to re-fill and restock our lake with fish; AND
14. Thousands or maybe even a million plus dollars were spent by the "Safety of Dams, to renovate the dam"; AND
15. Thousands and maybe even millions plus gallons of water just ran through our Community all these years; AND
16. This Project, Earthen Dam and Wind mill repair requests were sent to Window Rock/Ft. Defiance Water Resources Department, but to no avails, this day; AND
17. We know that funds were and still are appropriated for the aforementioned projects; AND
18. The Round Rock Community was once well-known for prosperous Agricultural activities in both the in farming and livestock managements; AND
19. We have some families that still use the water to irrigate and plant and many more voice their wishes at Chapter meetings requesting help with Irrigation; AND
20. Studies show that native food are more or the most healthy ones than the once made with preservatives; AND
21. Our Chapter doesn't only believe in, but participates in cost-sharing and partnership on Projects, especially during this "Economic Crisis Era, Inflation, and Cost-of-Living Increases; AND
22. The recent pandemic experience has taught us of food scarcity, travel restrictions, limits of food and other necessities, high cost of food, reliance on our only local food store-Bashas and thanks to the Navajo/Hopi Food Assistance Programs; AND
23. The Chapter recently received heavy equipment: Grader and backhoe/front end loader to use as cost share; AND
24. We need your expertise to evaluate, improve intake inlet from the wash and to reservoir, including the reservoir inlet (Russian Olive/Tamarack takeover).

NOW, THEREFORE BE IT RESOLVED THAT:

The Round Rock Chapter Government respectfully requesting the Department of Water Resources Technical, Construction, and Operations Branch to evaluate the Round Rock Irrigation System for rehabilitation, cost estimate and plan of action.

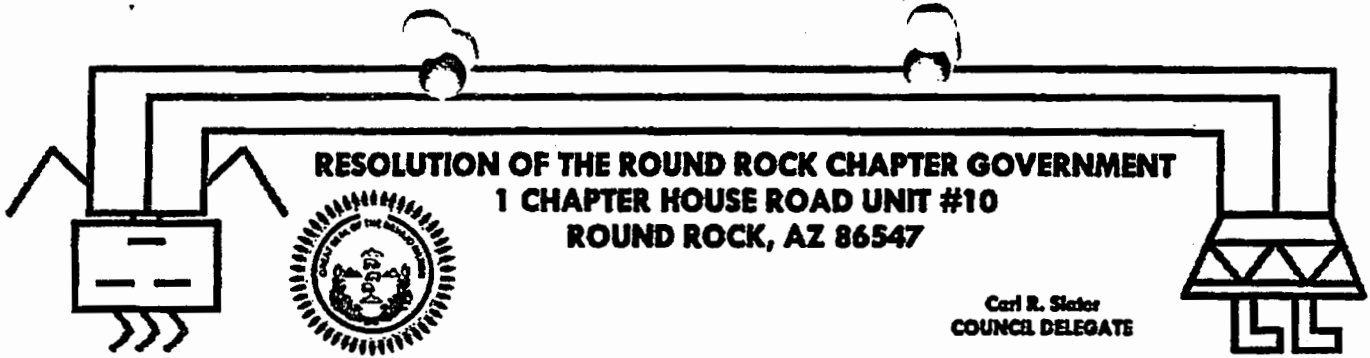
CERTIFICATION

We hereby certify that the foregoing Resolution was considered by the Round Rock Chapter at a duly called Chapter Meeting at Round Rock, Navajo Nation, Arizona, at which a quorum was present and that same was passed by a vote of 24 in favor, 00 opposed, and 02 abstained, on this 19th day of August, 2022.

Motion by: Laverne Jones

Second by: Charleston Wagner

Ramona Rogers
Ramona Rogers, President



ROUN2105-07

RESPECTFULLY REQUESTING NAVAJO NATION DIVISION OF NATURAL RESOURCES AND NAVAJO NATION AGRICULTURE TO SINCERELY CONSIDER AND FUND \$1,500,000.00 TO REVITALIZE THE 42 FARM PLOTS WITH APPROXIMATE 565.48 ACRE, USING THE AMERICAN RESCUE PLAN (ARPA), SIHASIN, AND/OR THE AGRICULTURE INFRASTRUCTURE FUND PROJECT PLAN FOR THE CROP YEAR 2021 (PASTURE LAND FORAGE)

WHEREAS:

1. Pursuant to the Navajo Nation Local Governance Act (26 N.N.C.), Chapter 1, Subchapter 1, Section 3 (A) the Round Rock Chapter Government, is a certified Chapter Organization, which elects a Delegate to the Navajo Nation Council. The Chapter is also listed as being certified at Part 1, Section 10, of 11 N.N.C., the "Navajo Nation Election Code; AND
2. Pursuant to the same "Act", Chapter 1, Subchapter 1, Section 1 (B-1), the Navajo Nation Council; delegates to our Chapter, governmental authority with respect to local matters consistent with Navajo Law, including custom and tradition; AND
3. Section 1 (B-2), authorizes our Chapter, to improve Community decision making; allow us to excel and flourish; enable our Navajo Leaders to lead towards a prosperous future, and improve the strength and sovereignty of the Navajo Nation. Our Chapter is compelled to govern with responsibility and accountability to us, the Local Citizens; AND
4. Pursuant to the Federal "Indian Self-Determination and Education Assistance Act (P.L; 93-638), as amended, our Chapter Government, is entitled and supported to initiate plans and make recommendations, requests, and thus; determine the promotion, protection, and preservation of the general welfare of our Community People, as well as the Navajo Nation; AND
5. The Round Rock Chapter Government is in support of the larger Navajo Nation's effort for Economic Development; AND
6. Round Rock Chapter Farmers miss farming and having local produced food; AND
7. The Community members are looking forward to re-instill the traditional teachings of farming to their children and grandchildren; AND

Remona Rogers
PRESIDENT

Paul Em
VICE-PRESIDENT

Bernita Wheeler
SECRETARY/TREASURER

Robert Wagner
GRAZING OFFICIAL

Gayla Jones
COMMUNITY SERVICES
COORDINATOR

Mary L. John
ACCOUNT
MAINTENANCE
SPECIALIST

8. **There are 42 Farm Owners with acreages anywhere from 10 to 50 acres; AND**
9. **The total farm land acreage registered/titled with the Bureau of Land Administration is 545.48; AND**
10. **The Division department personnel needs to assess the farm plot and provide feasibility studies of plots, collaboration for plan of action, and any recommendations for implementation; AND**
11. **Local grown produce is beneficial not only for consumption but for income by farmers; AND**
12. **The Chuska Mountain water is usually diverted into the lake, however, this has been less water each year; AND**
13. **Drilling for water wells for farming would be most beneficial and irrigating through installation of pipes will save water from evaporating and seeping into the irrigation ditch; AND**
14. **The Chapter Government is concerned about the environmental, living and safety standards of the People; AND**
15. **The corn pollen needs to be made by the Dine' People to help heal and place our Dine' People in balance with the natural livings and on the corn pollen path; AND**
16. **The Chapter members and the young need to learn to be self-sufficient by farming food and hay; AND**
17. **It teaches the young on work ethics, healthy eating, and cultural teachings; AND**
18. **The Division and Agriculture needs to educate and local and coach them on updated farming techniques, ideal seeds, updated farm equipment, as they attend various trainings; AND**
19. **This project has been planned in the local Chapter's strategic plan for many years and must be made a reality using the American Rescue Plan Act (ARPA) fund; AND**
20. **We are strongly requesting to improve economic development to bring essential services to our Dine' relatives and our Dine' people will be the primary beneficiaries; AND**
21. **It is this type of rescue measures to heal, prevent, improve the lives of Dine' People, which the American Rescue Plan Act (ARPA) is awarded to resolve.**

NOW THEREFORE BE IT RESOLVED THAT:

1. The Round Rock Chapter Government hereby approves and requests Navajo Nation Division of Natural Resources and Navajo Nation Agriculture to sincerely consider and fund \$1,500,000.00, to revitalize the 42 Farm Plots with approximately 565.48 acre using the American Rescue Plan (ARPA), Sihasin, and/or the Agriculture Infrastructure fund project plan for the Crop Year 2021 (Pasture Land Forage).

CERTIFICATION

We hereby certify that the foregoing Resolution was considered by the Round Rock Chapter at a duly called Chapter Meeting at Round Rock, Navajo Nation, Arizona, at which a quorum was present and that same was passed by a vote of 06 in favor, ~~00~~ opposed, and 01 abstained, on this 11th day of May, 2021.

Motion by: Paul Jim

Second by: Robert Wagner

Ramona Rogers
Ramona Rogers, President

NAVAJO NATION DEPARTMENT OF JUSTICE



**REQUEST
FOR
SERVICES**

RESUBMITTAL

DOJ
DATE / TIME _____
RFS #: _____
UNIT: _____

*** FOR NDOJ USE ONLY - DO NOT CHANGE OR REVISE FORM. VARIATIONS OF THIS FORM WILL NOT BE ACCEPTED. ***

CLIENT TO COMPLETE			
DATE OF REQUEST: 4/10/2023	ENTITY/DIVISION: OPVP		
CONTACT NAME: Lisa Jymm	DEPARTMENT: Fiscal Recovery Fund Office		
PHONE NUMBER: (928) 309-5535	E-MAIL: ljymm@navajo-nsn.gov		
COMPLETE DESCRIPTION OF LEGAL NEED AND SERVICES REQUESTED (Attach Documents):			
<i>Initial Eligibility Determination for Round Rock Chapter Diversion/Irrigation Project in the amount of \$150,000.00</i>			
DEADLINE:	4/24/2023	REASON:	DOJ Review
DOJ SECRETARY TO COMPLETE			
DATE/TIME IN UNIT:		REVIEWING ATTORNEY/ADVOCATE:	
DATE TIME OUT OF UNIT:		PREPARED BY (initial):	
DOJ ATTORNEY / ADVOCATE COMMENTS			
REVIEWED BY: (PRINT)		DATE / TIME:	
DOJ Secretary Called:	for Document Pick Up on	at	By:
PICKED UP BY: (PRINT)		DATE / TIME:	



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0563

Date & Time Received: 06/14/23 at 14:42

Date & Time of Response: 06/20/23 at 17:00

Entity Requesting FRF: Rock Point Chapter

Title of Project: Rock Point Community Propane Assistance

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$84,375.00

Eligibility Determination:

- FRF eligible
 FRF ineligible
 Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
 (2) Premium Pay
 (3) Government Services/Lost Revenue
 (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: _____
2.18, Housing Support: Other Housing Assistance

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- Missing Form
- Supporting documentation missing
- Project will not be completed by 12/31/2026
- Ineligible purpose
- Submitter failed to timely submit CARES reports
- Additional information submitted is insufficient to make a proper determination

- Expenditure Plan incomplete
- Funds will not be obligated by 12/31/2024
- Incorrect Signatory
- Inconsistent with applicable NN or federal laws

Other Comments: _____

Name of DOJ Reviewer: MacArthur Stant

Signature of DOJ Reviewer: MacArthur Stant

Digitally signed by MacArthur Stant
Date: 2023.06.20 12:44:21 -06'00'

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form I, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: **ROCK POINT CHAPTER**

Date prepared: **3/28/23**

Chapter's PO BOX 190
mailing address: **ROCK POINT, AZ 86545**

phone/email: **(928) 659-4350-4351**
website (if any): **rockpoint@navajochapters.org**

This Form prepared by: **CHARLENE KIRK**
COMMUNITY SERVICES COORDINATOR
CONTACT PERSON'S name and title

phone/email: **(928) 659-4350**
kirkshyenne@nnchapters.org
CONTACT PERSON'S info

Title and type of Project: **ROCK POINT COMMUNITY PROPANE ASSISTANCE**

Chapter President: **PATTERSON YAZZIE**

phone & email: **(505) 399-0414, pyazzie@naataanii.org**

Chapter Vice-President: **JANICE JIM**

phone & email: **(928) 245-7002, jimjan56@hotmail.org**

Chapter Secretary: **NANCY J. HARVEY**

phone & email: **(928) 349-2369, nancyjharvey@hotmail.org**

Chapter Treasurer: **SAME AS ABOVE**

phone & email:

Chapter Manager or CSC: **CHARLENE KIRK**

phone & email: **(928) 659-4350, kirkshyenne@nnchapters.org**

DCD/Chapter ASO: **CHINLE/EDGERTON GENE**

phone & email: **(928) 674-2251, egene@nndcd.org**

List types of Subcontractors or Subrecipients that will be paid with FRF (if known):

document attached

Amount of FRF requested: **\$84,375**

FRF funding period: **April 01, 2023 to December 13, 2026**

indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Rock Point Chapter will use the funds to purchase propane to assist 225 residents. The residents have not been able to take part of the propane distribution at the Chapter due to having a permanent tank at their residence. The Rock Point Chapter will ensure that the funds expended will address public health challenges that partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Rock Point Chapter, some residents were forced to order less propane due to rising costs. The propane will provide residents easier access to heating and cooking for their family. The Rock Point Chapter residents will directly benefit from the propane delivery.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates that the Rock Point Chapter will purchase and have delivered to 225 residences as soon as the contract is processed. The Rock Point Chapter will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

DCD will be the oversight of the sub-recipient agreement with Rock Point Chapter to complete the services and delivery needed to their residents.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Rock Point resident will ensure that the delivery is done in a timely manner and is used conservatively.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

2.2 Household Assistance: Rent, Mortgage and Utility Aid. The propane delivery addresses the conditions that contributed to poor public health and economic outcomes during the pandemic, namely concentrated areas with limited economic opportunity.

document attached

Part 3. Additional documents.


List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):


Resolution
Quote


Chapter Resolution attached

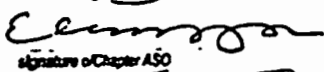
Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: 
signature of Preparer/CONTACT PERSON

Approved by: 
signature of CSC

Approved by: 
signature of Chapter President (or Vice-President)

Approved by: 
signature of Chapter ASO

Approved to submit for Review: 
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>ROCK POINT CHAPTER COMMUNITY PROPANE ASSISTANCE</u>		Division/Branch: <u>Div. of Comm. Dev/ Chinle ASC</u>		
Prepared By: <u>Charlene Kirk</u>		Phone No.: <u>(928) 659-4650</u>		Email Address: <u>kirkshyenne@nnchapters.org</u>		
Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY			
41/23-12/13/26	84,375.00	100%	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
			2001 Personnel Expenses			
			3000 Travel Expenses			
			3500 Meeting Expenses			
			4000 Supplies			
			5000 Lease and Rental			
			5500 Communications and Utilities			
			6000 Repairs and Maintenance			
			6500 Contractual Services			
			7000 Special Transactions			
			8000 Public Assistance	6	84,375	84,375
			9000 Capital Outlay			
			9500 Matching Funds			
			9500 Indirect Cost			
			TOTAL	50.00	84,375.00	84,375
			PART IV. POSITIONS AND VEHICLES			
			Total # of Positions Budgeted:		(E)	
			Total # of Vehicles Budgeted:			
TOTAL:			\$84,375.00		100%	

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: James Adakai, Deputy Director APPROVED BY: Calvin Castillo, Executive Director
 Program Manager's Printed Name Division Director / Branch Chief's Printed Name
6-13-23 06/13/2023
 Program Manager's Signature and Date Division Director / Branch Chief's Signature and Date

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

PART I. PROGRAM INFORMATION:		ROCK POINT CHAPTER COMMUNITY PROPANE ASSISTANCE												
Business Unit No.:	NEW	Program Name/Title:												
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:														
PART III. PROGRAM PERFORMANCE CRITERIA:														
1. Goal Statement:	Rock Point Chapter will assist 225 registered members with propane assistance.													
	Program Performance Measure/Objective:													
	Rock Point Chapter successfully assists the 225 household with propane delivery.													
2. Goal Statement:	Accept applications from registered households.													
	Program Performance Measure/Objective:													
	Successfully complete 2/3 of listing of 225 Rock Point registered members.										75		75	
3. Goal Statement:														
	Program Performance Measure/Objective:													
4. Goal Statement:														
	Program Performance Measure/Objective:													
5. Goal Statement:														
	Program Performance Measure/Objective:													
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.														
										James Adabal, Deputy Director		06/13/2023		
										Calvin Castillo, Executive Director				
										Division Director/Branch Chief's Printed Name		Division Director/Branch Chief's Signature and Date		

THE NAVAJO NATION
PROJECT BUDGET SCHEDULE

Page 1 of 2
PROJECT FORM

PART I. Business Unit No.: NEW Project Title: ROCK POINT CHAPTER COMMUNITY PROPANE ASSISTANCE PROGRAM Project Description: Assist 225 registered community members with propane delivery purchased by the Chapter.	PART II. Project Information Project Type: Propane Assistance Planned Start Date: 4/1/2023 Planned End Date: 12/13/2026 Project Manager: Charlene Kirk
---	---

PART III. List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.												Expected Completion Date if project exceeds 8 FY Qtrs.						
	FY 2025						FY 2026												
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.							
4/1/23 - 3/31/24 Complete documents for subcontractor 164 Process																			
4/1/23 - 9/30/23 Compile listing of qualified households within the Rock Point Chapter																			
1/1/24 - 6/30/26 Start and complete propane delivery to households.																			
7/1/26 - 12/13/26 Closeout of documents and ensuring all financial payments have been processed.																			
PART V. Expected Quarterly Expenditures	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	PROJECT TOTAL
	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	14,062.50	\$42,187.50



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0565

Date & Time Received: 06/14/23 at 14:57

Date & Time of Response: 06/20/23 at 17:00

Entity Requesting FRF: Rock Point Chapter

Title of Project: Rock Point Chapter Sewage System & Drain Fields

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$136,203.00

Eligibility Determination:

- FRF eligible
 FRF ineligible
 Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
 (2) Premium Pay
 (3) Government Services/Lost Revenue
 (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: _____
5.3, Clean Water: Decentralized Wastewater

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- Missing Form
- Supporting documentation missing
- Project will not be completed by 12/31/2026
- Ineligible purpose
- Submitter failed to timely submit CARES reports
- Additional information submitted is insufficient to make a proper determination

- Expenditure Plan incomplete
- Funds will not be obligated by 12/31/2024
- Incorrect Signatory
- Inconsistent with applicable NN or federal laws

Other Comments: _____

Name of DOJ Reviewer: Erika Pirotte

Signature of DOJ Reviewer: Erika R. Pirotte

Digitally signed by Erika R. Pirotte
Date: 2023.06.20 11:53:28 -06'00'

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: ROCK POINT CHAPTER Date prepared: 3/28/23

Chapter's mailing address: PO BOX 190
ROCK POINT, AZ 86545 phone/email: (928) 659-4350-4351
website (if any): rockpoint@navajochapters.org

This Form prepared by: CHARLENE KIRK phone/email: (928) 659-4350
COMMUNITY SERVICES COORDINATOR kirkshyenne@nnchapters.org
CONTACT PERSON'S name and title *CONTACT PERSON'S info*

Title and type of Project: ROCK POINT CHAPTER SEWAGE SYSTEM & DRAIN FIELDS

Chapter President: PATTERSON YAZZIE phone & email: (505) 399-0414, pyazzie@naataanii.org

Chapter Vice-President: JANICE JIM phone & email: (928) 245-7002, jimjan56@hotmail.org

Chapter Secretary: NANCY J. HARVEY phone & email: (928) 349-2369, nancyjharvey@hotmail.org

Chapter Treasurer: SAME AS ABOVE phone & email: _____

Chapter Manager or CSC: CHARLENE KIRK phone & email: (928) 659-4350, kirkshyenne@nnchapters.org

DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 674-2251, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____

document attached

Amount of FRF requested: \$136,203 FRF funding period: April 01, 2023 - December 13, 2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Rock Point Chapter will use the funds to build the Chapter Compound a new sewage system and drain fields. The current system is outdated and cannot be used as much due to the frailty of the system. The funds will be used to hire a contractor that will build a new sewage system AND drain fields that can be used by all buildings located on the compound. The Rock Point Chapter will ensure that the funds expended will address public health challenges that partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

At the Rock Point Chapter compound, it became more apparent that the grounds lacked a sewage system that could handle all buildings' waste water and the drain fields were not set up. Due to this, the Chapter has not been able to serve their community as well as they could.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of the sewage system and will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

DCD will be the oversight of the sub-recipient agreement with Rock Point Chapter to complete the services needed to facilitate the septic tank cleaning.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The sewage system will have a one year construction warranty and the Rock Point Chapter will adhere to regular maintenance and cleaning to ensure the longevity of the system.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

5.3 Clean Water: Decentralized Wastewater. The new sewage system addresses the conditions that contributed to poor public health and economic outcomes during the pandemic, namely concentrated areas with limited economic opportunity.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

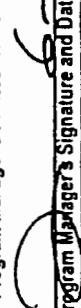

Chapter's Preparer: Charlene Kuhl Approved by: [Signature]
signature of Preparer/CONTACT PERSON signature of Chapter President or Vice-President

Approved by: Charlene Kuhl Approved by: [Signature]
signature of CSC signature of Chapter ASO

Approved to submit for Review: [Signature]
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

FY 2023

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Rock Point Chapter Sewage System & Drain Fields</u>		Division/Branch: <u>DCE/Executive</u>	
Prepared By: <u>Charlene Kirk</u>		Phone No.: <u>(928) 659-4650</u>		Email Address: <u>kirkshyenne@nnchapters.org</u>	
PART II. FUNDING SOURCE(S)		Fiscal Year Term	Amount	% of Total	
NN Fiscal Recovery Funds		4/123-12/1326	136,203.00	100%	
PART III. BUDGET SUMMARY					
	Fund Type Code	Original Budget (A)	Proposed Budget (B)	Difference or Total (C)	
2001	Personnel Expenses				
3000	Travel Expenses				
3500	Meeting Expenses				
4000	Supplies				
5000	Lease and Rental				
5500	Communications and Utilities				
6000	Repairs and Maintenance				
6500	Contractual Services	6	136,203	136,203	136,203
7000	Special Transactions				
8000	Public Assistance				
9000	Capital Outlay				
9500	Matching Funds				
9500	Indirect Cost				
TOTAL		50.00	136,203.00	136,203	
PART IV. POSITIONS AND VEHICLES					
		Total # of Positions Budgeted:			
		Total # of Vehicles Budgeted:			
PART V. THEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.					
SUBMITTED BY:		APPROVED BY:			
James Adakai, Deputy Director		Cavin Castillo, Executive Director			
Program Manager's Printed Name		Division Director / Branch Chief's Printed Name			
				06/13/2023	
Program Manager's Signature and Date		Division Director / Branch Chief's Signature and Date			

PART I. PROGRAM INFORMATION: Business Unit No.: <u>NEW</u> Program Name/Title: <u>Rock Point Chapter Sewage System & Drain Fields</u>																																																															
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM: 																																																															
PART III. PROGRAM PERFORMANCE CRITERIA:	<table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th rowspan="2"></th> <th colspan="2">1st QTR</th> <th colspan="2">2nd QTR</th> <th colspan="2">3rd QTR</th> <th colspan="2">4th QTR</th> </tr> <tr> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;"> 1. Goal Statement: Obtain contractor to build and complete new sewage system for Chapter compound. Program Performance Measure/Objective: Contractor secured. </td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>1</td> </tr> <tr> <td style="padding: 5px;"> 2. Goal Statement: Completion of new sewage system and drain fields. FY24 to FY26 Program Performance Measure/Objective: Usage of new sewage system and drain fields. </td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> <tr> <td style="padding: 5px;"> 3. Goal Statement: Program Performance Measure/Objective: </td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> <tr> <td style="padding: 5px;"> 4. Goal Statement: Program Performance Measure/Objective: </td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> <tr> <td style="padding: 5px;"> 5. Goal Statement: Program Performance Measure/Objective: </td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </tbody> </table>		1st QTR		2nd QTR		3rd QTR		4th QTR		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	1. Goal Statement: Obtain contractor to build and complete new sewage system for Chapter compound. Program Performance Measure/Objective: Contractor secured.								1	2. Goal Statement: Completion of new sewage system and drain fields. FY24 to FY26 Program Performance Measure/Objective: Usage of new sewage system and drain fields.									3. Goal Statement: Program Performance Measure/Objective:									4. Goal Statement: Program Performance Measure/Objective:									5. Goal Statement: Program Performance Measure/Objective:								
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5. Goal Statement: Program Performance Measure/Objective:																																																															
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED. _____ James Aizkal, Deputy Director Program Manager's Printed Name _____ 6-13-23 Program Manager's Signature and Date _____ Calvin Castillo, Executive Director Division Director/Branch Chief's Printed Name _____ 06/13/2023 Division Director/Branch Chief's Signature and Date																																																															

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 2023

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Rock Point Chapter Sewage System & Drain Fields</u> Business Unit No.: <u>NEW</u>			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6980	SUNCONTRACTED SERVICES	136,203	136,203
	6990- Subcontracted Services	136,203	
	TOTAL	136,203	136,203

THE NAVAJO NATION
PROJECT BUDGET SCHEDULE

PART I. Business Unit No.: NEW		PART II. Project Information	
Project Title: ROCK POINT CHAPTER Sewage System & Drain Fields		Project Type: Sewage System	
Project Description: Installation of new sewage system for the Chapter House and Administration Building.		Planned Start Date: 4/1/2023	
		Planned End Date: 12/13/2026	
Check one box:		Project Manager: Charlene Kirk	
<input checked="" type="checkbox"/> Original Budget		<input type="checkbox"/> Budget Reallocation	
<input type="checkbox"/> Budget Revision		<input type="checkbox"/> Budget Modification	

PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.												Expected Completion Date if project exceeds 8 FY Qtrs.					
	FY 2025						FY 2026											
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	Jul	A	S	O						
4/1/23 - 12/31/23 Complete paperwork for Subcontractor																		
1/1/24 - 9/30/26 Completion of new sewage system for chapter & administration building	x	x	x	x														
10/1/26 - 12/13/26 Closeout of documents and ensuring all financial payments have been processed.																		
PART V.	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Expected Quarterly Expenditures	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00	19,450.00
PROJECT TOTAL																		\$77,800.00

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0567

Date & Time Received: 06/14/23 at 15:31

Date & Time of Response: 6/21/23 at 17:00

Entity Requesting FRF: Rock Point Chapter

Title of Project: Rock Point Community Trash Bins

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$100,800.00

Eligibility Determination:

- FRF eligible
 FRF ineligible
 Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
 (2) Premium Pay
 (3) Government Services/Lost Revenue
 (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: _____
2.22, Strong Health Communities: Neighborhood Features that Promote Health and Safety

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Note that we have modified the requested expenditure code from 6.1 (Provision of Government Services) to 2.22 (Strong Healthy Communities: Neighborhood Features that Promote Health and Safety). 2.22 is a more favorable category because it is not limited to the amount of the Nation's lost revenue.

Name of DOJ Reviewer: Veronica Blackhat, Asst Attorney General, Natural Resources Unit

Signature of DOJ Reviewer: 

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: ROCK POINT CHAPTER Date prepared: 3/28/23

Chapter's mailing address: PO BOX 190
ROCK POINT, AZ 86545 phone/email: (928) 659-4350-4351
website (if any): rockpoint@navajochapters.org

This Form prepared by: CHARLENE KIRK phone/email: (928) 659-4350
COMMUNITY SERVICES COORDINATOR kirkshyenne@nnchapters.org
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: ROCK POINT COMMUNITY TRASH BINS

Chapter President: PATTERSON YAZZIE phone & email: (505) 399-0414, pyazzie@naataanii.org

Chapter Vice-President: JANICE JIM phone & email: (928) 245-7002, jimjan56@hotmail.org

Chapter Secretary: NANCY J. HARVEY phone & email: (928) 349-2369, nancyjharvey@hotmail.org

Chapter Treasurer: SAME AS ABOVE phone & email: _____

Chapter Manager or CSC: CHARLENE KIRK phone & email: (928) 659-4350, kirkshyenne@nnchapters.org

DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 674-2251, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____ document attached

Amount of FRF requested: \$100,800 FRF funding period: April 01, 2023 to December 13, 2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Rock Point Chapter will use the funds to assist the community with trash services. The funds will be used to keep two (2) large trash bins at the chapter and will be taken twice a month. The vendor will provide separate bins for larger items three (3) times annually. The Rock Point Chapter will assist their community with having this services instead of traveling long distances to throw their trash away. During the colder months, the service will be shorter. These bins being accessible should deter illegal dumping within the chapter boundaries. The Rock Point Chapter will ensure that the funds expended will address public health challenges that partly caused the unequal impact on the Navajo Nation. document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Rock Point Chapter, a high number of residents have followed Navajo Nation Covid-19 guidelines and stayed home more than ever. With this, the residents will be able to throw away their trash conveniently. Having the bins changed twice a month and the large items three times annually, the continuance of staying safe will be easier on the family. document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of approximately 4 bins a month and 3 times annually for larger items. The Rock Point Chapter will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

DCD will be the oversight of the sub-recipient agreement with **ROCK POINT** Chapter to complete the services needed to facilitate the trash bin system.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Rock Point Chapter will work to ensure that the bins will be kept on site and in working order after the ARPA funds are depleted.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 Provision of Government Services. Septic tank cleaning addresses the conditions that contributed to poor public health and economic outcomes during the pandemic, namely concentrated areas with limited economic opportunity.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution
Quotes

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: Cherlene I. Curb
Signature of Preparer/CONTACT PERSON

Approved by: [Signature]
signature of Chapter President (or Vice-President)

Approved by: Cherlene I. Curb
signature of CSC

Approved by: [Signature]
signature of Chapter ASO

Approved to submit for Review: [Signature]
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>ROCK POINT CHAPTER COMMUNITY TRASH BIN</u>		Division/Branch: <u>Div. of Comm. Dev/ Chinle ASC</u>			
Prepared By: <u>Charlene Kirk</u>		Phone No.: <u>(928) 659-4650</u>		Email Address: <u>kirkshyenne@nnchapters.org</u>			
PART II. FUNDING SOURCE(S)	Fiscal Year / Term	Amount	% of Total	PART III. BUDGET SUMMARY			
				Fund Type Code	NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
NW Fiscal Recovery Funds	4/1/23-12/13/26	100,800.00	100%				
				2001 Personnel Expenses			
				3000 Travel Expenses			
				3500 Meeting Expenses			
				4000 Supplies			
				5000 Lease and Rental			
				5500 Communications and Utilities			
				6000 Repairs and Maintenance	6	100,800	100,800
				6500 Contractual Services			
				7000 Special Transactions			
				8000 Public Assistance			
				9000 Capital Outlay			
				9500 Matching Funds			
				9500 Indirect Cost			
				TOTAL		\$0.00	100,800.00
				PART IV. POSITIONS AND VEHICLES		(E)	
		TOTAL: \$100,800.00		Total # of Positions Budgeted:			
				Total # of Vehicles Budgeted:			
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.							
SUBMITTED BY: <u>James Adakai, Deputy Director</u>		APPROVED BY: <u>Calvin Castillo, Executive Director</u>		Division Director / Branch Chief's Printed Name		06/13/2023	
Program Manager's Printed Name		Program Manager's Signature and Date		Division Director / Branch Chief's Signature and Date			

PART I. PROGRAM INFORMATION:			
Program Name/Title: _____		Business Unit No.: _____	
ROCK POINT CHAPTER COMMUNITY TRASH BIN		NEW	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6000	REPAIRS & MAINTENANCE		100,800
6200	EXTERNAL CONTRACTORS	100,800	
	6260- Waste Disposal		
		TOTAL	100,800

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: NEW													PART II. Project Information																				
Project Title: ROCK POINT CHAPTER COMMUNITY TRASH BINS													Project Type: Trash Bins																				
Project Description: Implement the Rock Point monthly trash bin and tri-annual household items trash bin for the community members.													Planned Start Date: 4/1/2023																				
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification													Planned End Date: 12/13/2026																				
PART III. List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.													Project Manager: Charlene Kirk																				
PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.													Expected Completion Date if project exceeds 8 FY Qtrs.																				
FY 2023													FY 2024																				
1st Qtr.													2nd Qtr.			3rd Qtr.			4th Qtr.			12/13/2026											
O N D J F M A M J Jul A S O N D J F M A M J Jul A S O N D J F M													J A S			Jul A S			Jul A S			Jul A S			J F M								
Complete process for securing contractor of trash disposal project.													x			x			x			x			x			x					
Compile listing of qualified households within the Rock Point Chapter													x			x			x			x			x			x					
Closeout of project, final submission for payments.													x			x			x			x			x			x					
PART V. Expected Quarterly Expenditures													\$			\$			\$			\$			\$			\$			\$		
14,400.00													7,200.00			7,200.00			14,400.00			14,400.00			14,400.00			14,400.00			14,400.00		
PROJECT TOTAL													\$			\$			\$			\$			\$			\$			\$		
\$													7,200.00			7,200.00			14,400.00			14,400.00			14,400.00			14,400.00			14,400.00		
\$													\$			\$			\$			\$			\$			\$			\$		
\$													\$			\$			\$			\$			\$			\$			\$		

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u> Project Title: <u>6.1 Government Services- Road Improvements</u> Project Description: <u>Implement the Rock Point monthly trash bin and tri-annual household items trash bin for the community members.</u> Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification	PART II. Project Information Project Type: <u>Community Trash Bins</u> Planned Start Date: <u>4/1/2023</u> Planned End Date: <u>12/13/2026</u> Project Manager: <u>DCD</u>
--	---

PART III. List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.												Expected Completion Date if project exceeds 8 FY Qtrs.						
	FY 2025						FY 2026												
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.							
4/1/23 - 12/31/23 Complete agreement with Receptacle Services	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	
1/1/24 - 9/30/26 Start and complete Trash Bin Services for Community	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	
10/1/26 - 12/13/26 Complete Closeout documents & ensuring all payments have been processed and received by vendor.																			
PART V.													PROJECT TOTAL						
Expected Quarterly Expenditures	\$ 7,200.00	\$ 7,200.00	\$ 7,200.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 14,400.00	\$ 43,200.00



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0566

Date & Time Received: 06/14/23 at 15:24

Date & Time of Response: 6/21/23 at 17:00

Entity Requesting FRF: Rock Point Chapter

Title of Project: Rock Point Water Delivery

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$172,117.00

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category:
1.14, Other Public Health Services

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Please note that we have modified the requested expenditure code from 5.15, Drinking Water - Other Infrastructure to 1.14, Other Public Health Services because it is a better fit for the Project.

Name of DOJ Reviewer: Veronica Blackhat, Asst Attorney General, Natural Resources Unit

Signature of DOJ Reviewer: 

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: ROCK POINT CHAPTER Date prepared: 3/28/23

Chapter's PO BOX 190 phone/email: (928) 659-4350-4351
mailing address: ROCK POINT, AZ 86545 website (if any): rockpoint@navajochapters.org

This Form prepared by: CHARLENE KIRK phone/email: (928) 659-4350
COMMUNITY SERVICES COORDINATOR kirkshyenne@nnchapters.org
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: ROCK POINT WATER DELIVERY

Chapter President: PATTERSON YAZZIE phone & email: (505) 399-0414, pyazzie@naataanii.org

Chapter Vice-President: JANICE JIM phone & email: (928) 245-7002, jimjan56@hotmail.com

Chapter Secretary: NANCY J. HARVEY phone & email: (928) 349-2369, nancyjharvey@hotmail.com

Chapter Treasurer: SAME AS ABOVE phone & email: _____

Chapter Manager or CSC: CHARLENE KIRK phone & email: (928) 659-4350, kirkshyenne@nnchapters.org

DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 674-2251, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____

document attached

Amount of FRF requested: \$172,117 FRF funding period: June 01, 2023 - December 13, 2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Rock Point Chapter will use the funds to assist community members who need water by delivering water directly to their residence through a contractor. The Rock Point Chapter will assist their community with having this services especially for residents who have no running water. The Rock Point Chapter will ensure that the funds expended will address public health challenges that partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Rock Point Chapter, a high number of residents lack running running water, thus have to forego certain areas of sanitation to ensure their health will be safe. The water delivery will provide residents basic needs to clean and sanitize themselves and items that need to be sanitized. The Rock Point Chapter residents will directly benefit from the water delivery.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of delivering to approximately 75 households per month. The Rock Point Chapter will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

DCD will be the oversight of the sub-recipient agreement with Rock Point Chapter to hire a contractor to complete the services needed for water delivery.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Rock Point Chapter will work with these residents on furthering their efforts to have running water to their households.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

5.15 Drinking Water- Other Water Infrastructure. Water delivery addresses the conditions that contributed to poor public health and economic outcomes during the pandemic, namely concentrated areas with limited economic opportunity.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution
Quote

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: Cherlene Kuhl Approved by: [Signature]
signature of Preparer/CONTACT PERSON signature of Chapter President or Vice-President

Approved by: Cherlene Kuhl Approved by: [Signature]
signature of CSC signature of Chapter ASO

Approved to submit for Review: [Signature]
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Rock Point Water Delivery Project</u>		Division/Branch: <u>Div. of Comm. Dev/ Chinle ASC</u>					
Prepared By: <u>Charlene Kirk</u>		Phone No.: <u>(928) 659-4350</u>		Email Address: <u>kirkshyenne@nnchapters.org</u>					
PART II. FUNDING SOURCE(S)		Fiscal Year / Term	Amount	% of Total	PART III. BUDGET SUMMARY				
NIN Fiscal Recovery Funds		6/1/23-12/31/26	172,117.00	100%	Fund Type Code	NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total	
					2001 Personnel Expenses				
					3000 Travel Expenses				
					3500 Meeting Expenses				
					4000 Supplies				
					5000 Lease and Rental				
					5500 Communications and Utilities				
					6000 Repairs and Maintenance				
					6500 Contractual Services		172,117	172,117	
					7000 Special Transactions				
					8000 Public Assistance				
					9000 Capital Outlay				
					9500 Matching Funds				
					9500 Indirect Cost				
					TOTAL		50.00	172,117.00	172,117
					PART IV. POSITIONS AND VEHICLES				
					Total # of Positions Budgeted:				
					Total # of Vehicles Budgeted:				
					TOTAL:	\$172,117.00	100%		

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: James Adakai, Deputy Director APPROVED BY: Calvin Castillo, Executive Director

Program Manager's Printed Name: James Adakai Division Director / Branch Chief's Printed Name: Calvin Castillo

Program Manager's Signature and Date: [Signature] 6-13-23 Division Director / Branch Chief's Signature and Date: [Signature] 06/13/2023

**THE NAVAJO NATION
 PROGRAM PERFORMANCE CRITERIA**

PART I. PROGRAM INFORMATION:	Business Unit No.: <u>NEW</u>	Program Name/Title: <u>Rock Point Water Delivery Project</u>								
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:										
PART III. PROGRAM PERFORMANCE CRITERIA:			1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement: Deliver drinking water to Rock Point community members who do not have running water. Program Performance Measure/Objective: Water delivery completed on a monthly basis.										75
2. Goal Statement: Program Performance Measure/Objective:										
3. Goal Statement: Program Performance Measure/Objective:										
4. Goal Statement: Program Performance Measure/Objective:										
5. Goal Statement: Program Performance Measure/Objective:										
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.										
					James Adakai, Deputy Director			Calvin Castillo, Executive Director		
Program Manager's Printed Name					Program Manager's Signature and Date			Division Director/Branch Chief's Printed Name		
					<u>6-13-23</u>			<u>06/13/2023/</u>		

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 2023

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Rock Point Water Delivery Project</u> Business Unit No.: <u>NEW</u>			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6500 6950	CONTRACTUAL SERVICES SUBCONTRACTED SERVICES 6990 Subcontracted Services	172,117	172,117
TOTAL		172,117	172,117

THE NAVAJO NATION
PROJECT BUDGET SCHEDULE

PART I. Business Unit No.: <u>NEW</u>		PART II. Project Information							
Project Title: <u>ROCK POINT CHAPTER WATER DELIVERY PROGRAM</u>		Project Type: <u>Water Delivery</u>							
Project Description <u>Assist approved water delivery for households.</u>		Planned Start Date: <u>6/1/2023</u>							
		Planned End Date: <u>12/13/2026</u>							
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification		Project Manager: <u>Charlene Kirk</u>							
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.									
PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.									
	FY 2023			FY 2024					
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	12/13/2026
5/1/23 - 9/30/23	O N D	J F M	A M J	Jul A S	O N D	J F M	A M J	Jul A S	O N D J F M
Complete 164 process for Water Warriors									
Accept applications and approve households for water delivery.									
7/1/23 - 9/30/26									
Start and complete household Water Delivery									
10/1/26 - 12/13/26									
Closeout paperwork, quality check project, final payments sent out.									
PART V. Expected Quarterly Expenditures	\$	\$	\$	\$	\$	\$	\$	\$	PROJECT TOTAL
					21,519.00	21,514.00	21,514.00	21,514.00	\$86,061.00

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____

THE NAVAJO NATION
PROJECT BUDGET SCHEDULE

PART I. Business Unit No.: <u>NEW</u>		PART II. Project Information Project Type: <u>Water Delivery</u> Planned Start Date: <u>6/1/2023</u> Planned End Date: <u>12/13/2026</u> Project Manager: <u>Charlene Kirk</u>																																																																																																																																																																																																																																				
Project Title: <u>ROCK POINT CHAPTER WATER DELIVERY PROGRAM</u> Project Description: <u>Assist approved households for water delivery.</u>		Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification																																																																																																																																																																																																																																				
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish. 10/1/24 - 4/30/25 Accept new applications and approve for water delivery NOTE: if applicable 7/1/23 - 9/30/26 Start and complete household weatherization project 10/1/26 - 12/13/26 Closeout paperwork, quality check project, final payments sent out.		PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc. <table border="1"> <thead> <tr> <th rowspan="2"></th> <th colspan="4">FY 2025</th> <th colspan="4">FY 2026</th> <th rowspan="2">Expected Completion Date if project exceeds 8 FY Qtrs.</th> </tr> <tr> <th>1st Qtr.</th> <th>2nd Qtr.</th> <th>3rd Qtr.</th> <th>4th Qtr.</th> <th>1st Qtr.</th> <th>2nd Qtr.</th> <th>3rd Qtr.</th> <th>4th Qtr.</th> </tr> </thead> <tbody> <tr> <td>O</td> <td>x</td> <td>x</td> <td>x</td> <td>x</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>N</td> <td>x</td> <td>x</td> <td>x</td> <td>x</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>D</td> <td>x</td> <td>x</td> <td>x</td> <td>x</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>J</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>F</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>M</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>A</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>M</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>J</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Jul</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>A</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>S</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>O</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>N</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>D</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>J</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>F</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>M</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="9"> <table border="1"> <tr> <td>\$</td> <td>\$</td> <td>\$</td> <td>\$</td> <td>\$</td> <td>\$</td> <td>\$</td> <td>\$</td> <td>\$</td> <td>PROJECT TOTAL</td> </tr> <tr> <td>21,514.00</td> <td>21,514.00</td> <td>21,514.00</td> <td>21,514.00</td> <td>21,514.00</td> <td></td> <td></td> <td></td> <td></td> <td>\$86,056.00</td> </tr> </table> </td> </tr> </tbody> </table>			FY 2025				FY 2026				Expected Completion Date if project exceeds 8 FY Qtrs.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	O	x	x	x	x						N	x	x	x	x						D	x	x	x	x						J										F										M										A										M										J										Jul										A										S										O										N										D										J										F										M										<table border="1"> <tr> <td>\$</td> <td>\$</td> <td>\$</td> <td>\$</td> <td>\$</td> <td>\$</td> <td>\$</td> <td>\$</td> <td>\$</td> <td>PROJECT TOTAL</td> </tr> <tr> <td>21,514.00</td> <td>21,514.00</td> <td>21,514.00</td> <td>21,514.00</td> <td>21,514.00</td> <td></td> <td></td> <td></td> <td></td> <td>\$86,056.00</td> </tr> </table>									\$	\$	\$	\$	\$	\$	\$	\$	\$	PROJECT TOTAL	21,514.00	21,514.00	21,514.00	21,514.00	21,514.00					\$86,056.00
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FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK0564

Date & Time Received: 06/14/23 at 14:49

Date & Time of Response: 6/21/23 at 1841

Entity Requesting FRF: Rock Point Chapter

Title of Project: Bathroom Renovations

Administrative Oversight: DCD

Amount of Funding Requested: \$320,000.00

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category:
1.14, Other Public Health Services

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Name of DOJ Reviewer: Rudy Anaya, Tax and Finance Unit

Signature of DOJ Reviewer: _____


Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: ROCK POINT CHAPTER Date prepared: 3/13/23

Chapter's PO BOX 190 phone/email: (928) 659-4350-4351
mailing address: ROCK POINT, AZ 86545 website (if any): rockpoint@navajochapters.org

This Form prepared by: CHARLENE KIRK phone/email: (928) 659-4350
COMMUNITY SERVICES COORDINATOR kirkshyenne@nnchapters.org
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: BATHROOM RENOVATIONS

Chapter President: PATTERSON YAZZIE phone & email: (505) 399-0414, pyazzie@naataanii.org

Chapter Vice-President: JANICE JIM phone & email: (928) 245-7002, jimjan56@hotmail.com

Chapter Secretary: NANCY J. HARVEY phone & email: (928) 349-2369, nancyjharvey@hotmail.com

Chapter Treasurer: SAME AS ABOVE phone & email: _____

Chapter Manager or CSC: CHARLENE KIRK phone & email: (505) 486-8754, kirkshyenne@nnchapters.org

DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 587-5258, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____
_____ document attached

Amount of FRF requested: \$320,000 FRF funding period: April 01, 2023 to December 13, 2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Rock Point Chapter will use the funds to renovate and ensure ADA compliant bathrooms to deter transmission of Covid-19. The funds will be used to hire a contractor that will renovate the bathrooms and ensure they are working before allowing home owners usage. The bathroom renovations will include new water heaters, wall heater(if needed), toilet, bathroom sinks, bathtub/shower combo and if possible, a utility sink. The Rock Point Chapter will ensure that the funds expended will address public health challenges that partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Rock Point Chapter, a high number of residents have bathrooms that need renov, thus have to forego certain areas of sanitation to ensure their health will be safe. The ARPA bathroom additions will provide residents updated basic needs to clean and sanitize themselves. The Rock Point Chapter residents will directly benefit from the new bathroom renovations.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of approximately 2-3 bathroom renovations a month and will obligate the funds no later than February 28, 2026 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

DCD will be the oversight of the sub-recipient agreement with Rock Point Chapter to hire a contractor and complete the services needed to facilitate the bathroom renovations.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The maintenance of the bathroom renovation will be the responsibility of the home owners after a 1 year construction warranty.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

1.14 Other Public Health Services. The interim Final Rule states that "[g]iven the exacerbation of health disparities during the pandemic and the role of the pre-existing social vulnerabilities in driving these disparate outcomes, services to address health disparities are presumed to be responsive to the public health impacts of the pandemic. Specifically, recipients may...facilitate access to resources that improve health outcomes including services that connect residents with health care resources and public assistance programs and build healthier environments such as: housing services to support healthy living environments and neighborhoods conducive to mental and physical wellness." Bathroom additions addresses the conditions that contributed to poor public health and economic outcomes during the pandemic, namely concentrated areas with limited economic opportunity. document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Chapter Resolution attached.

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.



Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: Cherokee Hub Approved by: [Signature]
signature of Preparer/CONTACT PERSON signature of Chapter President (or Vice-President)

Approved by: Cherokee Hub Approved by: [Signature]
signature of CSC signature of Chapter ASO

Approved to submit for Review: [Signature]
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Rock Point Chapter - Bathroom Renovations</u>		Division/Branch: <u>DCD/Executive</u>	
Prepared By: <u>Charlene Kirk</u>		Phone No.: <u>(928) 659-4650</u>		Email Address: <u>kirkshyenne@nnchapters.org</u>	
PART II. FUNDING SOURCE(S)		Fiscal Year / Term	Amount	% of Total	
NN Fiscal Recovery Funds		4/1/23-12/13/26	320,000.00	100%	
PART III. BUDGET SUMMARY		Fund Type Code	UNC Approved Original Budget	Proposed Budget	Difference or Total
		2001 Personnel Expenses			
		3000 Travel Expenses			
		3500 Meeting Expenses			
		4000 Supplies			
		5000 Lease and Rental			
		5500 Communications and Utilities			
		6000 Repairs and Maintenance			
		6500 Contractual Services	320,000	320,000	320,000
		7000 Special Transactions			
		8000 Public Assistance			
		9000 Capital Outlay			
		9500 Matching Funds			
		9500 Indirect Cost			
		TOTAL	50.00	320,000.00	320,000
PART IV. POSITIONS AND VEHICLES		(D)		(E)	
		Total # of Positions Budgeted:		0	
		Total # of Vehicles Budgeted:		0	
		TOTAL:		320,000.00	
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.					
SUBMITTED BY:		APPROVED BY:			
James Adakai, Deputy Director		Calvin Castillo, Executive Director			
Program Manager's Printed Name		Division Director / Branch Chief's Printed Name			
Program Manager's Signature and Date		Division Director / Branch Chief's Signature and Date			
				06/13/2023	

PART I. PROGRAM INFORMATION:	Business Unit No. <u>NEW</u>	Program Name/Title: <u>Rock Point Chapter - Bathroom Renovations</u>	
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:			
PART III. PROGRAM PERFORMANCE CRITERIA:			
1. Goal Statement: To renovate 40 bathrooms for Rock Point's residents. Program Performance Measure/Objective: Complete 40 bathroom renovations	1st QTR Goal	2nd QTR Goal	3rd QTR Goal
	Actual	Actual	Actual
2. Goal Statement:			3
3. Goal Statement:			
4. Goal Statement:			
5. Goal Statement:			
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED. James Adzaki, Deputy Director Program Manager's Signature and Date <u>6-13-23</u> Calvin Castillo, Executive Director Division Director/Branch Chief's Printed Name Division Director/Branch Chief's Signature and Date <u>06/13/2023</u>			

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

PART I. PROGRAM INFORMATION:			
Program Name/Title: _____		Rock Point Chapter - Bathroom Renovations	Business Unit No.: _____ NEW
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6980	SUNCONTRACTED SERVICES	320,000	320,000
	6990 Subcontracted Services		
TOTAL		320,000	320,000

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u>	PART II. Project Information
Project Title: <u>ROCK POINT CHAPTER BATHROOM RENOVATION PROGRAM</u>	Project Type: <u>Bathroom Renovation</u>
Project Description: <u>Renovate 40 Households bathroom and make them ADA compliant</u>	Planned Start Date: <u>4/1/2023</u>
	Planned End Date: <u>12/13/2026</u>
	Project Manager: <u>Charlene Kirk</u>
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification	

PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.												Expected Completion Date if project exceeds 8 FY Qtrs.						
	FY 2023						FY 2024												
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.							
6/1/23 - 10/31/23 Accept applications for bathroom renovations & make selection.	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	
8/1/23 - 7/31/25 Purchase bathroom supplies																			X
7/1/23 - 9/30/26 Start and complete household ADA compliant bathrooms																			X
PART V. Expected Quarterly Expenditures	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	PROJECT TOTAL \$200,000.00

FOR OMB USE ONLY: Resolution No: _____ FMS Set Up Date: _____ Company No: _____ OMB Analyst: _____

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u>		PART II. Project Information							
Project Title: <u>ROCK POINT CHAPTER BATHROOM RENOVATIONS</u>		Project Type: <u>Renovations</u>							
Project Description: <u>Renovate 40 Households bathroom and make them ADA compliant</u>		Planned Start Date: <u>4/1/2023</u>							
		Planned End Date: <u>12/31/2026</u>							
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification		Project Manager: <u>Charlene Kirk</u>							
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.									
PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.									
	FY 2025				FY 2026				
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	
	O N D	J F M	A M J	Jul A S	O N D	J F M	A M J	Jul A S	O N D
6/1/23 - 10/31/23	x	x	x						
Accept applications for bathroom renovations & make selection.									
8/1/23 - 7/31/25	x	x	x						
Purchase bathroom supplies									
7/1/23 - 9/30/26	x	x	x						
Start and complete household ADA compliant bathrooms									
10/1/26 - 12/31/26									
Closeout paperwork, quality check project, final payments sent out.									
PART V.	\$	\$	\$	\$	\$	\$	\$	\$	PROJECT TOTAL
Expected Quarterly Expenditures	40,000.00	40,000.00	40,000.00						\$120,000.00

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0569

Date & Time Received: 06/14/23 at 15:36

Date & Time of Response: 6/22/23 at 17:00

Entity Requesting FRF: Rock Point Chapter

Title of Project: Rock Point Homesite Compliance-Archeological Clearance Assistance

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$37,500.00

Eligibility Determination:

- FRF eligible
- FRF ineligible
- Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
- (2) Premium Pay
- (3) Government Services/Lost Revenue
- (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: _____
2.18, Housing Support: Other Housing Assistance


Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Note that we have modified the requested expenditure code from 6.1 (Provision of Government Services) to 2.18 (Housing Support: Other Housing Assistance). 2.18 is a more favorable category because it is not limited to the amount of the Nation's lost revenue.

Name of DOJ Reviewer: Navalyn R. Platero

Signature of DOJ Reviewer: 

Disclaimers:
If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndo.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: ROCK POINT CHAPTER Date prepared: 3/27/23
Chapter's PO BOX 190 phone/email: (928) 659-4350-4351
mailing address: ROCK POINT, AZ 86545 website (if any): rockpoint@navajochapters.org
This Form prepared by: CHARLENE KIRK phone/email: (928) 659-4350
COMMUNITY SERVICES COORDINATOR kirkshyenne@nnchapters.org
CONTACT PERSON'S name and title CONTACT PERSON'S info
Title and type of Project: ROCK POINT HOMESITE COMPLIANCE- ARCHEOLOGICAL CLEARANCE ASSISTANCE
Chapter President: PATTERSON YAZZIE phone & email: (505) 399-0414, pyazzie@naataanii.org
Chapter Vice-President: JANICE JIM phone & email: (928) 245-7002, jimjan56@hotmail.com
Chapter Secretary: NANCY J. HARVEY phone & email: (928) 349-2369, nancyharvey@hotmail.com
Chapter Treasurer: SAME AS ABOVE phone & email: _____
Chapter Manager or CSC: CHARLENE KIRK phone & email: (928) 659-4350, kirkshyenne@nnchapters.org
DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 674-2251, egene@nndcd.org
List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____

document attached

Amount of FRF requested: \$37,500 FRF funding period: April 01, 2023 to December 13, 2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Rock Point Chapter will use the funds to assist 75 households with Homesite Lease Compliance Assistance, to which the Archaeological Clearance Fee will be paid by the Chapter on their behalf. The Archaeological Inventory Report Compliance Form will be completed by the Archaeologist or Surveyor listed with the Navajo Land Department- Homesite Lease Office. The Rock Point Chapter will ensure that the funds expended will address public health challenges of multi-generational family homes, that partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Rock Point Chapter, a high number of members have more than one family living in the homes. The payment will ensure that the household will have proper documentation in obtaining their homesite lease and have their sole homeownership to a home. The Rock Point Chapter residents will directly benefit from the payments made on their behalf.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of approximately 3 a month and will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

DCD will be the oversight of the sub-recipient agreement with Rock Point Chapter to complete the services needed to ensure the Archaeological Clearances are completed.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The prospective Home owner will be responsible for the completion of the homesite lease, no later than December 31, 2026.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 Provision of Government Services. The Rock Point Chapter has multi-generational homes, to which, having the availability of funds to assist in the Homesite Lease Application, it will promote having a single family home to all who have need of homes. Not only to these families but to other community members who have wanted to move home but don't have the income to pay for the Clearances required of the Navajo Land Department's Homesite Lease Office.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution
Cultural Resource Consultants- Archaeologists & Private Surveyor Listing

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer:

Charlene Kirk
signature of Preparer-CONTACT PERSON

Approved by:

[Signature]
signature of Chapter President (or Vice-President)

Approved by:

Charlene Kirk
signature of CSC

Approved by:

[Signature]
signature of Chapter ASD

Approved to submit for Review.

[Signature]
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

FY 2023

PART I. Business Unit No.: NEW		Program Title: Rock Point Chapter <i>HomeSite Compliance Archeological Cleanene Assesment</i> Division/Branch: Div. of Comm. Dev/ Chinle ASC		Prepared By: Charlene Kirk		Phone No.: (928) 659-4650		Email Address: kirkshyenne@nnchapters.org	
PART II. FUNDING SOURCE(S)		Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY				
WIN Fiscal Recovery Funds		4/1/23-12/13/25	37,500.00	100%	Fund Type Code	NNC Approved Original Budget	Proposed Budget	Difference or Total	
					2001 Personnel Expenses				
					3000 Travel Expenses				
					3500 Meeting Expenses				
					4000 Supplies				
					5000 Lease and Rental				
					5500 Communications and Utilities				
					6000 Repairs and Maintenance				
					6500 Contractual Services				
					7000 Special Transactions				
					8000 Public Assistance	6	37,500	37,500	
					9000 Capital Outlay				
					9500 Matching Funds				
					9500 Indirect Cost				
					TOTAL		\$0.00	37,500.00	37,500
					PART IV. POSITIONS AND VEHICLES				
					Total # of Positions Budgeted:				
					Total # of Vehicles Budgeted:				
					TOTAL:		\$37,500.00	100%	
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.									
SUBMITTED BY:		James Adakai, Deputy Director		APPROVED BY:		Cavin Castillo, Executive Director		Division Director / Branch Chief's Printed Name	
		<i>[Signature]</i>				<i>[Signature]</i>		06/13/2023	
		Program Manager's Signature and Date				Division Director / Branch Chief's Signature and Date			

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

FY 2023

PART I. PROGRAM INFORMATION: Business Unit No.: NEW Program Name/Title: Rock Point Chapter Habitat Compliance - Clearance Assistance

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:

PART III. PROGRAM PERFORMANCE CRITERIA:

	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement: Assist 75 Rock Point registered members with HSL Land Surveyor Fees. Program Performance Measure/Objective: Process payment for approved Rock Point members.					9			9
2. Goal Statement: Program Performance Measure/Objective:								
3. Goal Statement: Program Performance Measure/Objective:								
4. Goal Statement: Program Performance Measure/Objective:								
5. Goal Statement: Program Performance Measure/Objective:								

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

JANUS ADALAKI, DEPUTY DIRECTOR Calvin Castillo, Director
 Program Manager's Printed Name Division Director/Branch Chief's Printed Name

-6-13-23 06/13/2023
 Program Manager's Signature and Date Division Director/Branch Chief's Signature and Date

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 2023

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Rock Point Chapter Homesite Compliance Clearance Assistance</u> Business Unit No.: <u>NEW</u>			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
8000 8705	ASSISTANCE CHAPTER 8725- Housing	37,500	37,500
TOTAL		37,500	37,500



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0570

Date & Time Received: 06/14/23 at 15:39

Date & Time of Response: 06/22/23 at 17:00

Entity Requesting FRF: Rock Point Chapter

Title of Project: Rock Point Chapter HSL Land Surveyor Fee Assistance Program

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$37,500.00

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category:
2.18, Housing Support: Other Housing Assistance

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Note that we have modified the requested expenditure code from 6.1 (Provision of Government Services) to 2.18 (Housing Support: Other Housing Assistance). 2.18 is a more favorable category because it is not limited to the amount of the Nation's lost revenue.

Name of DOJ Reviewer: Erika Pirotte

Signature of DOJ Reviewer: Erika R. Pirotte

Digitally signed by Erika R. Pirotte
Date: 2023.06.22 10:03:07 -06'00'

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. Please email your resubmission to arpa@nndoj.org. Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: ROCK POINT CHAPTER

Date prepared: 3/27/23

Chapter's PO BOX 190
mailing address: ROCK POINT, AZ 86545

phone/email: (928) 659-4350-4351
website (if any): rockpoint@navajochapters.org

This Form prepared by: CHARLENE KIRK
COMMUNITY SERVICES COORDINATOR
CONTACT PERSON'S name and title

phone/email: (928) 659-4350
kirkshyenne@nnchapters.org
CONTACT PERSON'S info

Title and type of Project: ROCK POINT CHAPTER HSL LAND SURVEYOR APP FEE ASSISTANCE PROGRAM

Chapter President: PATTERSON YAZZIE

phone & email: (505) 399-0414, nn EMAIL ONLY

Chapter Vice-President: JANICE JIM

phone & email: (928) 245-7002, jimjan56@hotmail.com

Chapter Secretary: NANCY J. HARVEY

phone & email: (928) 349-2369, nancyharvey@hotmail.com

Chapter Treasurer: SAME AS ABOVE

phone & email: _____

Chapter Manager or CSC: CHARLENE KIRK

phone & email: (928) 659-4350, kirkshyenne@nnchapters.org

DCD/Chapter ASO: CHINLE/EDGERTON GENE

phone & email: (928) 674-2251, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____

document attached

Amount of FRF requested: \$37,500

FRF funding period: April 01, 2023 to December 13, 2026

indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Rock Point Chapter will use the funds to assist 75 households with Homesite Lease Compliance Assistance, to which the Certified Legal Surveyor will be paid by the Chapter on their behalf. The Certified Legal Survey Plat and TOPO Maps will be completed by the Surveyor listed with the Navajo Land Department- Homesite Lease Office. The Rock Point Chapter will ensure that the funds expended will address public health challenges of multi-generational family homes and families that want to move back to the community, that partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Rock Point Chapter, a high number of members have more than one family living in the homes. The payment will ensure that the household will have proper documentation in obtaining their homesite lease and have their sole homeownership to a home. The Rock Point Chapter residents will directly benefit from the payments made on their behalf.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of approximately 3 a month and will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project

DCD will be the oversight of the sub-recipient agreement with Rock Point Chapter to complete the services needed to ensure the Archaeological Clearances are completed.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The prospective Home owner will be responsible for the completion of the homesite lease, no later than December 31, 2026.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 Provision of Government Services. The Rock Point Chapter has multi-generational homes, to which, having the availability of funds to assist in the Homesite Lease Application, it will promote having a single family home to all who have need of homes. Not only to these families but to other community members who have wanted to move home but don't have the income to pay for the Clearances required of the Navajo Land Department's Homesite Lease Office.

document attached

Part 3. Additional documents.



List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):


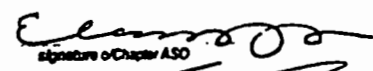
Resolution
Cultural Resource Consultants- Archaeologists & Private Surveyor Listing

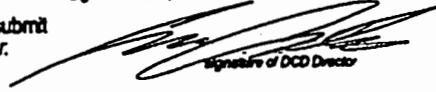
Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer:  Approved by: 
signature of Preparer-CONTACT PERSON signature of Chapter President (or Vice-President)

Approved by:  Approved by: 
signature of CSC signature of Chapter ASO

Approved to submit for Review: 
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: <u>NEW</u>		Rock Point Chapter HSL Land Surveyor Fee Assistance Program		Division/Branch: <u>Div. of Comm. Dev/ Chinle ASC</u>	
Prepared By: <u>Charlene Kirk</u>		Phone No.: <u>(928) 659-4650</u>		Email Address: <u>kirkshyenne@nnc Chapters.org</u>	
Fiscal Year /Term		Amount		% of Total	
4/1/23-12/13/25		37,500.00		100%	
PART II. FUNDING SOURCE(S)					
M/V Fiscal Recovery Funds					
PART III. BUDGET SUMMARY					
Fund Type Code	NNC Approved Original Budget	Proposed Budget	(B)	(A)	(C) Difference or Total
2001 Personnel Expenses					
3000 Travel Expenses					
3500 Meeting Expenses					
4000 Supplies					
5000 Lease and Rental					
5500 Communications and Utilities					
6000 Repairs and Maintenance					
6500 Contractual Services					
7000 Special Transactions					
8000 Public Assistance	6	37,500			37,500
9000 Capital Outlay					
9500 Matching Funds					
9500 Indirect Cost					
TOTAL		50.00	37,500.00		37,500
PART IV. POSITIONS AND VEHICLES					
Total # of Positions Budgeted:			(E)		
Total # of Vehicles Budgeted:					
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.					
SUBMITTED BY: <u>James Adakai, Deputy Director</u>		APPROVED BY: <u>Calvin Castillo, Executive Director</u>		Division Director / Branch Chief's Printed Name	
Program-Manager's Printed Name		Division Director / Branch Chief's Printed Name		06/13/2023	
Program Manager's Signature and Date		Division Director / Branch Chief's Signature and Date			

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

PART I. PROGRAM INFORMATION:		Business Unit No.:		NEW		Program Name/Title:		Rock Point Chapter HSL Land Surveyor Fee Assistance Program											
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:																			
PART III. PROGRAM PERFORMANCE CRITERIA:												1st QTR		2nd QTR		3rd QTR		4th QTR	
												Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement:																			
Assist 75 Rock Point registered members with HSL Land Surveyor Fees.																			
Program Performance Measure/Objective:																			
Process payment for approved Rock Point members.														9					9
2. Goal Statement:																			
Program Performance Measure/Objective:																			
3. Goal Statement:																			
Program Performance Measure/Objective:																			
4. Goal Statement:																			
Program Performance Measure/Objective:																			
5. Goal Statement:																			
Program Performance Measure/Objective:																			
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.																			
Program Manager's Printed Name: <u>JAMES AAKAKI, DEPUTY DIRECTOR</u>												Program Manager's Signature and Date: <u>[Signature]</u>		Division Director/Branch Chief's Printed Name: <u>Calvin Castilla, Director</u>		Division Director/Branch Chief's Signature and Date: <u>[Signature]</u>		Date: <u>06/13/2023</u>	
												Program Manager's Signature and Date: <u>[Signature]</u>		Division Director/Branch Chief's Signature and Date: <u>[Signature]</u>		Date: <u>-6-13-23</u>			

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 2023

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Rock Point Chapter HSL Land Surveyor Fee Assistance Program</u> Business Unit No.: <u>NEW</u>			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
8000 8705	ASSISTANCE CHAPTER 8725- Housing	37,500	37,500
TOTAL		37,500	37,500



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0560

Date & Time Received: 6/13/23 at 17:00

Date & Time of Response: 6/22/23 at 17:00

Entity Requesting FRF: Rock Point Chapter

Title of Project: Rock Point Community Weatherization Project

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$288,000

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category:

2.18, Housing Support: Other Housing Assistance

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: ROCK POINT CHAPTER Date prepared: 3/13/23

Chapter's PO BOX 190 phone/email: (928) 659-4350-4351
mailing address: ROCK POINT, AZ 86545 website (if any): rockpoint@navajochapters.org

This Form prepared by: CHARLENE KIRK phone/email: (928) 659-4350
COMMUNITY SERVICES COORDINATOR CHARLENE KIRK
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: ROCK POINT COMMUNITY WEATHERIZATION PROJECT

Chapter President: PATTERSON YAZZIE phone & email: (505) 399-0414, pyazzie@naataanii.org

Chapter Vice-President: JANICE JIM phone & email: (928) 245-7002, jimjan56@hotmail.com

Chapter Secretary: NANCY J. HARVEY phone & email: (928) 349-2369, nancyjharvey@hotmail.com

Chapter Treasurer: SAME AS ABOVE phone & email: _____

Chapter Manager or CSC: CHARLENE KIRK phone & email: (505) 486-8754, kirkshyenne@nnchapters.org

DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 587-5258, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____
 document attached

Amount of FRF requested: \$288,000 FRF funding period: May 1, 2023 - December 13, 2026
Indicate Project starting and ending/leadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Rock Point Chapter will use the funds to assist households with new metal rooftops. The Rock Point Chapter will hire a contractor to complete the replacements. The Rock Point Chapter will ensure funds expended will address public health challenges of homes and families who are in need of new rooftops. Due to high rising cost of construction materials during Covid-19, the community members could not afford to repair or replace their rooftops, which partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Rock Point Chapter, there are homes that are in dire need of new rooftops. The contractor ensures the replacements will be done in a timely manner. Once work is completed, the homes will be in good condition to live in. The Rock Point Chapter residents will directly benefit from the purchase made on their behalf.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of approximately 5 rooftops per month and will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

DCD will be the oversight of the sub-recipient agreement with Rock Point Chapter to ensure the metal rooftops is done in a timely manner.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Home owner will be responsible for the upkeep after the completion is done.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 Provision of Government Services. The Rock Point Chapter has had families in dire need of new rooftops that had to forego due to the high rise of construction supplies across the Navajo Nation. Due to this expensive rise in cost, the families were not able to purchase building supplies to make repairs to their homes. Some families have had to live in deplorable conditions and make do with temporary repairs that didn't last long with the extreme weather and following all CDC/NN Covid-19 guidelines.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: Charlene Kurb Approved by: [Signature]
signature of Preparer/CONTACT PERSON signature of Chapter President (or Vice-President)


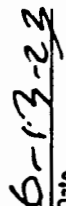

Approved by: Charlene Kurb Approved by: [Signature]
signature of CSC signature of Chapter ASO

Approved to submit for Review: [Signature]
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

FY 2023

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Rock Point Weatherization Project</u>		Division/Branch: <u>Div. of Comm. Dev/ Chinle ASC</u>	
Prepared By: <u>Charlene Kirk</u>		Phone No.: <u>(928) 659-4350</u>		Email Address: <u>kirkshvenne@nnchapters.org</u>	
PART II. FUNDING SOURCE(S)		Fiscal Year	Amount	% of Total	
NN Fiscal Recovery Funds		<u>8/1/23 - 12/31/24</u>	288,000.00	100%	
PART III. BUDGET SUMMARY					
	Fund Type Code	NMC Approved Original Budget	Proposed Budget	Difference or Total	
2001	Personnel Expenses				
3000	Travel Expenses				
3500	Meeting Expenses				
4000	Supplies				
5000	Lease and Rental				
5500	Communications and Utilities				
6000	Repairs and Maintenance				
6500	Contractual Services		288,000	288,000	
7000	Special Transactions				
8000	Public Assistance				
9000	Capital Outlay				
9500	Matching Funds				
9500	Indirect Cost				
TOTAL		\$0.00	288,000.00	288,000	
PART IV. POSITIONS AND VEHICLES					
TOTAL:		S288,000.00		100%	
		Total # of Positions Budgeted:			
		Total # of Vehicles Budgeted:			
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.					
SUBMITTED BY: <u>James Adakai, Deputy Director</u>		APPROVED BY: <u>Calvin Castillo, Executive Director</u>		Division Director / Branch Chief's Printed Name	
Program Manager's Signature and Date		Division Director / Branch Chief's Signature and Date		06/13/2023	

PART I. PROGRAM INFORMATION:		Business Unit No.: <u>NEW</u>		Program Name/Title: <u>Rock Point Weatherization Project</u>	
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:					
PART III. PROGRAM PERFORMANCE CRITERIA:					
1. Goal Statement:	To hire a contractor oversee the weatherization project.	1st QTR	2nd QTR	3rd QTR	4th QTR
	Program Performance Measure/Objective: Contractor hired and begin year 1 of project.	Goal	Actual	Goal	Actual
					1
2. Goal Statement:	Weatherization/renovation of year 1 approved community members				
	Program Performance Measure/Objective: Contractor completes site visits and has supply lists for each home.				64
3. Goal Statement:					
	Program Performance Measure/Objective:				
4. Goal Statement:					
	Program Performance Measure/Objective:				
5. Goal Statement:					
	Program Performance Measure/Objective:				
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.					
		James Adakai, Deputy Director		Calvin Castillo, Executive Director	
Program Manager's Printed Name		Program Manager's Printed Name		Division Director/Branch Chief's Printed Name	
Program Manager's Signature and Date		Program Manager's Signature and Date		Division Director/Branch Chief's Signature and Date	
					
6-13-23		6-13-23		06/13/2023	

PART I. PROGRAM INFORMATION:		Business Unit No.: <u>NEW</u>	
Program Name/Title: <u>Rock Point Weatherization Project</u>			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6500 6960	CONTRACTUAL SERVICES SUBCONTRACTED SERVICES 6950 Subcontracted Services	288,000	288,000
TOTAL		288,000	288,000

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: NEW	PART II. Project Information
Project Title: ROCK POINT CHAPTER WEATHERIZATION PROGRAM	Project Type: Weatherization
Project Description: Assist 64 homes with weatherizing their home.	Planned Start Date: 4/1/2023
	Planned End Date: 12/13/2026
	Project Manager: Charlene Kirk
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification	

PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.												Expected Completion Date if project exceeds 8 FY Qtrs.										
	FY 2025						FY 2026																
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.											
10/124 - 4/30/25 Accept new applications and approve 64 homes for weatherization	O x	N x	D x	J x	F x	M x	A x	M x	J x	A x	M x	J x	A x	S x	O x	N x	D x	J x	F x	M x	12/13/2026		
10/123 - 9/30/26 Contractor starts project for ADA compliant bathrooms																							
10/126 - 12/13/26 Closeout paperwork, quality check project, final payments sent out.																							
PART V.																							
Expected Quarterly Expenditures	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 24,000.00	\$ 192,000.00	

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0568

Date & Time Received: 6/14/23 at 15:33

Date & Time of Response: 6/22/23 at 1200

Entity Requesting FRF: Rock Point Chapter

Title of Project: Rock Point Community Septic Cleaning Assistance

Administrative Oversight: DCD

Amount of Funding Requested: \$135,150.00

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category:
5.5 Clean Water: Other Sewer Infrastructure

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

We have modified the requested expenditure code from 6.1, Provision of Government Services to 5.5 (Clean Water: Other Sewer Infrastructure) to be consistent with other approved Projects.

Name of DOJ Reviewer: Rudy Anaya, Tax and Finance Unit

Signature of DOJ Reviewer: 

Disclaimers:
If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: ROCK POINT CHAPTER Date prepared: 3/28/23

Chapter's mailing address: PO BOX 190
ROCK POINT, AZ 86545 phone/email: (928) 659-4350-4351
website (if any): rockpoint@navajochapters.org

This Form prepared by: CHARLENE KIRK phone/email: (928) 659-4350
COMMUNITY SERVICES COORDINATOR kirkshyenne@nnchapters.org
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: ROCK POINT COMMUNITY SEPTIC CLEANING ASSISTANCE

Chapter President: PATTERSON YAZZIE phone & email: (505) 399-0414, pyazzie@naataanii.org

Chapter Vice-President: JANICE JIM phone & email: (928) 245-7002, jimjan56@hotmail.com

Chapter Secretary: NANCY J. HARVEY phone & email: (928) 349-2369, nancyjharvey@hotmail.com

Chapter Treasurer: SAME AS ABOVE phone & email: _____

Chapter Manager or CSC: CHARLENE KIRK phone & email: (928) 659-4350, kirkshyenne@nnchapters.org

DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 674-2251, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____
 document attached

Amount of FRF requested: \$135,150 FRF funding period: April 01, 2023 to December 13, 2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Rock Point Chapter will use the funds to assist 500 households with septic cleaning. The Rock Point Chapter will receive applications from households needing septic tank cleaning. Once households are approved, the chosen vendor will travel to the house and do the services for the community member. The funds will be used to clean out household septic tanks that are in dire need of this service. The Rock Point Chapter will ensure that the funds expended will address public health challenges that partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Rock Point Chapter, a high number of residents have followed Navajo Nation Covid-19 guidelines and stayed home more than ever. With this, their septic tanks filled up quicker as the family stayed safe at home. Having the septic tank cleaned, the continuance of staying safe will be easier on the family.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of approximately 20 a month and will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

Rock Point

DCD will be the oversight of the sub-recipient agreement with Chapter to complete the services needed to facilitate the septic tank cleaning.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The home owner will be responsible for all preventive measures to ensure their septic tank cleaning lasts.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 Provision of Government Services. Septic tank cleaning addresses the conditions that contributed to poor public health and economic outcomes during the pandemic, namely concentrated areas with limited economic opportunity.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

N/A

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: *Cherlean Kirk*
signature of Preparer/CONTACT PERSON

Approved by: *[Signature]*
signature of Chapter President (or Vice-President)

Approved by: *Cherlean Kirk*
signature of CSC

Approved by: *[Signature]*
signature of Chapter ASO

Approved to submit for Review: *[Signature]*
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Rock Point Chapter SEPTIC TANK CLEANING</u>		Division/Branch: <u>Div. of Comm. Dev/ Chinle ASC</u>					
Prepared By: <u>Charlene Kirk</u>		Phone No.: <u>(928) 659-4650</u>		Email Address: <u>kirkshyenne@nncnchapters.org</u>					
PART II. FUNDING SOURCE(S)		Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY				
NN Fiscal Recovery Funds		4/123-12/1326	135,150.00	100%	Fund Type Code	NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total	
					2001 Personnel Expenses				
					3000 Travel Expenses				
					3500 Meeting Expenses				
					4000 Supplies				
					5000 Lease and Rental				
					5500 Communications and Utilities				
					6000 Repairs and Maintenance	6	135,150	135,150	
					6500 Contractual Services				
					7000 Special Transactions				
					8000 Public Assistance				
					9000 Capital Outlay				
					9500 Matching Funds				
					9500 Indirect Cost				
					TOTAL		50.00	135,150.00	135,150
					PART IV. POSITIONS AND VEHICLES				
					Total # of Positions Budgeted:		(D)	(E)	
					Total # of Vehicles Budgeted:				
					TOTAL:	\$135,150.00	100%		

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: James Adakai, Deputy Director APPROVED BY: Calvin Castillo, Executive Director

Program Manager's Printed Name Division Director / Branch Chief's Printed Name

Program Manager's Signature and Date 06/13/2023

Division Director / Branch Chief's Signature and Date

PART I. PROGRAM INFORMATION:		Business Unit No.: <u>NEW</u>		Program Name/Title: <u>Rock Point Chapter SEPTIC TANK CLEANING</u>	
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:					
PART III. PROGRAM PERFORMANCE CRITERIA:					
1. Goal Statement:		1st QTR	2nd QTR	3rd QTR	4th QTR
Assist registered voters in Rock Point Community with Septic Tank Cleaning		Goal	Actual	Goal	Actual
Program Performance Measure/Objective:					
Complete septic tank cleaning at the residents.				6	6
2. Goal Statement:					
Program Performance Measure/Objective:					
3. Goal Statement:					
Program Performance Measure/Objective:					
4. Goal Statement:					
Program Performance Measure/Objective:					
5. Goal Statement:					
Program Performance Measure/Objective:					
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.					
<u>JAMES ADANKAI DADAY DIVECHDY</u> Program Manager's Printed Name		<u>Calvin Castillo, Director</u> Division Director/Branch Chief's Printed Name			
<u>6-13-23</u> Program Manager's Signature and Date		<u>06/13/2023</u> Division Director/Branch Chief's Signature and Date			

PART I. PROGRAM INFORMATION:			
Program Name/Title: <u>Rock Point Chapter SEPTIC TANK CLEANING</u>		Business Unit No.: <u>NEW</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6000 6200	REPAIRS & MAINTENANCE EXTERNAL CONTRACTORS 6250 Waste Disposal	135,150	135,150
TOTAL		135,150	135,150

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u>		PART II. Project Information			
Project Title: <u>ROCK POINT CHAPTER COMMUNITY SEPTIC TANK CLEANING</u>		Project Type: <u>Septic Cleaning</u>			
Project Description: <u>Complete 300 Septic Cleaning for registered Lukachukai Residents.</u>		Planned Start Date: <u>4/1/2023</u>			
		Planned End Date: <u>12/13/2026</u>			
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification		Project Manager: <u>Charlene Kirk</u>			
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.					
PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.		Expected Completion Date if project exceeds 8 FY Qtrs.			
	FY 2023			FY 2024	
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	4th Qtr.
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N D
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	O N D	J F M	A M J	J A S	O N D
	J A S	J F M	A M J	J A S	O N



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0573

Date & Time Received: 06/15/23 at 10:55

Date & Time of Response: 06/26/23 at 17:00

Entity Requesting FRF: Rock Point Chapter

Title of Project: Rock Point - Dump Truck Project

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$200,000.00

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category:
6.1, Provision of Government Services

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Name of DOJ Reviewer: MacArthur Stant

Signature of DOJ Reviewer: MacArthur Stant

Digitally signed by MacArthur Stant
Date: 2023.06.26 10:41:48 -06'00'

Disclaimers:
If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: **ROCK POINT CHAPTER**

Date prepared: **3/13/23**

Chapters mailing address: **PO BOX 190
ROCK POINT AZ 86545**

phone email: **(928) 659-4350-4351**
website if any: **rock.point@navajochapters.org**

This Form prepared by: **CHARLENE KIRK
COMMUNITY SERVICES COORDINATOR
CONTACT PERSONS ONLY**

phone email: **(928) 659-4350**
**CHARLENE KIRK
CONTACT PERSONS ONLY**

Title and type of Project: **ROCK POINT-DUMP TRUCK PROJECT**

Chapter President: **PATTERSON YAZZIE**

phone & email: **(505) 399-0414, pyazzie@naataanii.org**

Chapter Vice-President: **JANICE JIM**

phone & email: **(928) 245-7002 jimjan56@hotmail.com**

Chapter Secretary: **NANCY J. HARVEY**

phone & email: **(928) 349-2366, nancyharvey@hotmail.com**

Chapter Treasurer: **SAME AS ABOVE**

phone & email:

Chapter Manager or CSC: **CHARLENE KIRK**

phone & email: **(505) 456-8754 kirkstienne@nncchapters.org**

DCD Chapter ASO: **CHINLE/EDGERTON GENE**

phone & email: **(928) 587-5258, egene@nndcd.org**

List types of Subcontractors or Subrecipients that will be paid with FRF (if known)

document attached

Amount of FRF requested: **\$200,000**

FRF funding period: **June 01, 2023 to December 13, 2026**

Include FRF start and ending dates on site

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed.

The Rock Point Chapter will use the funds to purchase a new dump truck. The equipment will be used for removing, transporting and other usage for Rock Point Chapter community members. This will include removing and delivering grade soil for deceased community members for their services. This purchase will allow ease of mind for community members and provide end of life services for community members.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People.

The Rock Point Chapter will be able to provide services for their community members using the dump truck. On the Navajo Nation, heavy equipment is difficult to find especially for funeral services. Having this available will allow community members less worry and stress while they take care of their loved ones.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: ROCK POINT CHAPTER

Date prepared: 3/13/23

Chapter's mailing address: PO BOX 190
ROCK POINT, AZ 86545

phone/email: (928) 659-4350-4351
website (if any): rockpoint@navajochapters.org

This Form prepared by: CHARLENE KIRK
COMMUNITY SERVICES COORDINATOR
CONTACT PERSON'S name and title

phone/email: (928) 659-4350
CHARLENE KIRK
CONTACT PERSON'S info

Title and type of Project: ROCK POINT-DUMP TRUCK PROJECT

Chapter President: PATTERSON YAZZIE phone & email: (505) 399-0414, pyazzie@naataanii.org

Chapter Vice-President: JANICE JIM phone & email: (928) 245-7002, jimjan56@hotmail.com

Chapter Secretary: NANCY J. HARVEY phone & email: (928) 349-2369, nancyjharvey@hotmail.com

Chapter Treasurer: SAME AS ABOVE phone & email:

Chapter Manager or CSC: CHARLENE KIRK phone & email: (505) 486-8754, kirkshyenne@nnchapters.org

DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 587-5258, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known):

document attached

Amount of FRF requested: \$200,000 FRF funding period: June 01, 2023 to December 13, 2026

indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Rock Point Chapter will use the funds to purchase a new dump truck. The equipment will be used for removing, transporting and other usage for Rock Point Chapter community members. This will include removing and delivering grade soil for deceased community members for their services. This purchase will allow ease of mind for community members and provide end of life services for community members.

document attached

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The Rock Point Chapter will be able to provide services for their community members using the dump truck. On the Navajo Nation, heavy equipment is difficult to find especially for funeral services. Having this available will allow community members less worry and stress while they take care of their loved ones.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of the warehouse and will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026. Challenges would be Navajo Nation review timeline.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

DCD will be the oversight of the sub-recipient agreement with Rock Point Chapter to ensure the dump truck is purchased in a timely manner.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Rock Point Chapter will be responsible for the maintenance, upkeep and security dump truck.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 PROVISION OF GOVERNMENT SERVICES

The Rock Point Chapter will utilize this equipment to remove debris and deliver grade soil for graveside services. Along with this service, they will be able to help their community members by taking away unsanitary debris and ensuring the residence is as safe as possible.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution and other documents as they are available.

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer:

Charlene Kuhl
signature of Preparer-CONTACT PERSON

Approved by:

[Signature]
signature of Chapter President for Vice-President

Approved by:

Charlene Kuhl
signature of CSC

Approved by:

[Signature]
signature of Chapter ASO

Approved to submit for Review:

[Signature]
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Rock Point Dump Truck Project</u>		Division/Branch: <u>Div. of Comm. Dev/ Chinle ASC</u>	
Prepared By: <u>Charlene Kirk</u>		Phone No.: <u>(928) 659-4350</u>		Email Address: <u>kirkshyenne@nnc Chapters.org</u>	
Fiscal Year / Term	Amount	% of Total	PART III. BUDGET SUMMARY		
6/23-12/13/26	200,000.00	100%	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget
					(C) Difference or Total
			2001 Personnel Expenses		
			3000 Travel Expenses		
			3500 Meeting Expenses		
			4000 Supplies		
			5000 Lease and Rental		
			5500 Communications and Utilities		
			6000 Repairs and Maintenance		
			6500 Contractual Services		
			7000 Special Transactions		
			8000 Public Assistance		
			9000 Capital Outlay	200,000	200,000
			9500 Matching Funds		
			9500 Indirect Cost		
			TOTAL	\$0.00	200,000.00
			PART IV. POSITIONS AND VEHICLES		
			Total # of Positions Budgeted: _____		
			Total # of Vehicles Budgeted: _____		
			(D) _____ (E) _____		
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.					
SUBMITTED BY: <u>James Adakai, Deputy Director</u>		APPROVED BY: <u>Calvin Castillo, Executive Director</u>		Division Director / Branch Chief's Printed Name	
Program Manager's Printed Name		Division Director / Branch Chief's Printed Name		Signature and Date	
<u>6-14-23</u>		<u>6-14-23</u>		<u>06/14/2023</u>	
Program Manager's Signature and Date		Division Director / Branch Chief's Signature and Date		Signature and Date	

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

FY 2023

PART I. PROGRAM INFORMATION:	Business Unit No.: <u>NEW</u>	Program Name/Title: <u>Rock Point Dump Truck Project</u>																																																															
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:																																																																	
PART III. PROGRAM PERFORMANCE CRITERIA:	<table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th rowspan="2"></th> <th colspan="2">1st QTR</th> <th colspan="2">2nd QTR</th> <th colspan="2">3rd QTR</th> <th colspan="2">4th QTR</th> </tr> <tr> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td>1. Goal Statement: <u>To purchase dump truck.</u> Program Performance Measure/Objective: <u>Purchase of dump truck completed.</u></td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> <tr> <td>2. Goal Statement: <u>To provide services to Rock Point community for funeral services, debris removal, etc.</u> Program Performance Measure/Objective: <u>To provide the Rock Point Chapter for essential services</u></td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>1</td> </tr> <tr> <td>3. Goal Statement: <u></u> Program Performance Measure/Objective: <u></u></td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>5</td> </tr> <tr> <td>4. Goal Statement: <u></u> Program Performance Measure/Objective: <u></u></td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> <tr> <td>5. Goal Statement: <u></u> Program Performance Measure/Objective: <u></u></td> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </tbody> </table>				1st QTR		2nd QTR		3rd QTR		4th QTR		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	1. Goal Statement: <u>To purchase dump truck.</u> Program Performance Measure/Objective: <u>Purchase of dump truck completed.</u>									2. Goal Statement: <u>To provide services to Rock Point community for funeral services, debris removal, etc.</u> Program Performance Measure/Objective: <u>To provide the Rock Point Chapter for essential services</u>								1	3. Goal Statement: <u></u> Program Performance Measure/Objective: <u></u>								5	4. Goal Statement: <u></u> Program Performance Measure/Objective: <u></u>									5. Goal Statement: <u></u> Program Performance Measure/Objective: <u></u>								
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PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.	<table style="width: 100%;"> <tr> <td style="width: 50%;"><u>James Adakai, Deputy Director</u> Program Manager's Printed Name</td> <td style="width: 50%;"><u>Calvin Castillo, Executive Director</u> Division Director/Branch Chief's Printed Name</td> </tr> <tr> <td style="width: 50%;"><u>6-14-23</u> Program Manager's Signature and Date</td> <td style="width: 50%;"><u>06/14/2023</u> Division Director/Branch Chief's Signature and Date</td> </tr> </table>			<u>James Adakai, Deputy Director</u> Program Manager's Printed Name	<u>Calvin Castillo, Executive Director</u> Division Director/Branch Chief's Printed Name	<u>6-14-23</u> Program Manager's Signature and Date	<u>06/14/2023</u> Division Director/Branch Chief's Signature and Date																																																										
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NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0596

Date & Time Received: 6/14/23 at 14:39

Date & Time of Response: 7/14/23 at 17:00 pm

Entity Requesting FRF: Rock Point Chapter

Title of Project: Rock Point Community Warehouse

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$200,000.00

Eligibility Determination:

- FRF eligible
 FRF ineligible
 Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
 (2) Premium Pay
 (3) Government Services/Lost Revenue
 (4) Water, Sewer, Broadband Infrastructure


U.S. Department of Treasury Reporting Expenditure Category: _____
1.14, Other Public Health Services

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____
We have modified the requested expenditure code from 2.35 (Aid to Tourism, Travel or Hospitality) to 1.14 (Other Public Health Services).

Name of DOJ Reviewer: Navalyn R. Platero

Signature of DOJ Reviewer: 

Disclaimers:
If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. Please email your resubmission to arpa@nndoj.org. Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: ROCK POINT CHAPTER

Date prepared: 3/13/23

Chapter's PO BOX 190
mailing address: ROCK POINT, AZ 86545

phone/email: (928) 659-4350-4351
website (if any): rockpoint@navajochapters.org

This Form prepared by: CHARLENE KIRK
COMMUNITY SERVICES COORDINATOR
CONTACT PERSON'S name and title

phone/email: (928) 659-4350
CHARLENE KIRK
CONTACT PERSON'S info

Title and type of Project: ROCK POINT COMMUNITY WAREHOUSE

Chapter President: PATTERSON YAZZIE phone & email: (505) 399-0414, pyazzie@naataanii.org

Chapter Vice-President: JANICE JIM phone & email: (928) 245-7002, jimjan56@hotmail.com

Chapter Secretary: NANCY J. HARVEY phone & email: (928) 349-2369, nancyjharvey@hotmail.com

Chapter Treasurer: SAME AS ABOVE phone & email: _____

Chapter Manager or CSC: CHARLENE KIRK phone & email: (505) 486-8754, kirkshyenne@nnchapters.org

DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 587-5258, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____

document attached

Amount of FRF requested: \$200,000 FRF funding period: May 01, 2023 to December 13, 2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Rock Point Chapter will use the funds to construct a new warehouse. The funds will be used to prepare the project site, purchase a building and the construction of the building. The warehouse will be located on the Chinle Chapter compound. The proposed use of the warehouse is to assist our community members with their health, safety and welfare concerns: to house construction supplies for community (bathroom or weatherization) projects, heavy equipment storage, firewood, hay, grain, tools, small equipment and refrigeration unit for community food supply. Also to store PPE, antibacterial sanitizers and other supplies needed to curtail Covid-19.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

The Rock Point Chapter warehouse project will benefit the community by providing a safe solution and alternative for emergency responses. What will be stored within the warehouse will make distribution, organization and delivery of materials done in a safe manner. The warehouse will save time and money by having a storage that can provide in any emergency.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of the warehouse and will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026. Challenges would be Navajo Nation review timeline.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

DCD will be the oversight of the sub-recipient agreement with Rock Point Chapter to ensure the warehouse is done in a timely manner.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Rock Point Chapter will be responsible for the maintenance, upkeep and security after the completion is done.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

Aid to Tourism, Travel or Hospitality^ 2.35 During the height of the pandemic, supplies were hard to store and the chapter received assistance from another chapter with storing and support. Due to this, the Chapter has taken this opportunity to build a warehouse to ensure their community is assisted to the best of their ability. The immediate availability of PPE, sanitizing supplies, food supplies and other supplies would greatly benefit the Rock Point community. Along with this, the warehouse would help in streamlining, organizing and having a safe place to serve the community. This warehouse would add additional space, in which, the community will have access to assistance when they need it.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution, warehouse location and other documents as they are available.

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: Charles Kuhl Approved by: [Signature]
signature of Preparer/CONTACT PERSON signature of Chapter President (or Vice-President)

Approved by: Charles Kuhl Approved by: [Signature]
signature of CSC signature of Chapter ASO

Approved to submit for Review: [Signature]
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

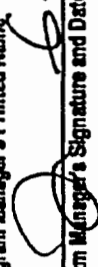
PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Rock Point Warehouse Project</u>		Division/Branch: <u>Div. of Comm. Dev/ Chinle ASC</u>				
Prepared By: <u>Charlene Kirk</u>		Phone No.: <u>(928) 859-4350</u>		Email Address: <u>kirkshyenne@nnchapters.org</u>				
PART II. FUNDING SOURCE(S)	Fiscal Year	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
	Item							
52123-121326		200,000.00	100%	2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services				
				7000 Special Transactions				
				8000 Public Assistance				
				9000 Capital Outlay	6		200,000	200,000
				9500 Matching Funds				
				9500 Indirect Cost				
TOTAL:					TOTAL	50.00	200,000.00	200,000
PART IV. POSITIONS AND VEHICLES				(D)				
TOTAL:				(E)				
TOTAL: \$200,000.00				Total # of Positions Budgeted:				
100%				Total # of Vehicles Budgeted:				

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: James Adakai, Deputy Director APPROVED BY: Calvin Castillo, Executive Director

Program Manager's Printed Name: James Adakai Division Director / Branch Chief's Printed Name: Calvin Castillo

Program Manager's Signature and Date: [Signature] 06-13-23 Division Director / Branch Chief's Signature and Date: [Signature] 06/13/2023

PART I. PROGRAM INFORMATION:		Business Unit No.: <u>NEW</u>		Program Name/Title: <u>Rock Point Warehouse Project</u>	
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:					
PART III. PROGRAM PERFORMANCE CRITERIA:					
		1st QTR	2nd QTR	3rd QTR	4th QTR
		Goal	Actual	Goal	Actual
1. Goal Statement:					
New Warehouse to protect equipment that will serve the Rock Point community.					
Program Performance Measure/Objective:					
To complete construction of warehouse.					
2. Goal Statement:					
Program Performance Measure/Objective:					
3. Goal Statement:					
Program Performance Measure/Objective:					
4. Goal Statement:					
Program Performance Measure/Objective:					
5. Goal Statement:					
Program Performance Measure/Objective:					
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.					
		James Adakad, Deputy Director		Calvin Castillo, Executive Director	
Program Manager's Printed Name		6-13-23		Division Director/Branch Chief's Printed Name	
Program Manager's Signature and Date				Division Director/Branch Chief's Signature and Date	
		06/13/2023			

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 2023

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Rock Point Warehouse Project</u> Business Unit No.: <u>NEW</u>			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
9000 9050	CAPITAL OUTLAY BUILDING 9052 Buildings	200,000	200,000
TOTAL			200,000

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u>		PART II. Project Information									
Project Title: <u>ROCK POINT CHAPTER WAREHOUSE PROJECT</u>		Project Type: <u>Warehouse</u>									
Project Description: <u>Build Rock Point Chapter a functioning enclosed warehouse.</u>		Planned Start Date: <u>5/8/1/2023</u>									
		Planned End Date: <u>12/13/2026</u>									
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification		Project Manager: <u>Charlene Kirk</u>									
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.		FY 2025		FY 2026							
		Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.		Expected Completion Date if project exceeds 8 FY Qtrs.							
		1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.						
		O N D	J F M	A M J	Jul A S	J M A	Jul A S	O N D	J F M		
5/1/23 - 2/28/23	General Requirements Construction supplies	x	x	x	x						
3/1/23 - 9/30/26	Start and complete building of chapter warehouse	x	x	x	x						
10/1/26 - 12/13/26	Closeout paperwork, quality check project, final payments sent out.										
PART V. Expected Quarterly Expenditures		\$	\$	\$	\$	\$	\$	\$	\$	\$	PROJECT TOTAL
											\$0.00

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0613

Date & Time Received: 07/03/23 at 11:45

Date & Time of Response: 7/8/23 at 9:50 am

Entity Requesting FRF: Tse Ch'izhi Chapter

Title of Project: Community Trash Bins

Administrative Oversight: DCD

Amount of Funding Requested: \$81,600.00

Eligibility Determination:

- FRF eligible
- FRF ineligible
- Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
- (2) Premium Pay
- (3) Government Services/Lost Revenue
- (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: _____
2.22, Strong Health Communities: Neighborhood Features that Promote Health and Safety

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

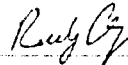
- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Note that we have modified the requested expenditure code from 6.1 (Provision of Government Services) to 2.22 (Strong Healthy Communities: Neighborhood Features that Promote Health and Safety). 2.22 is a more favorable category because it is not limited to the amount of the Nation's lost revenue.

Name of DOJ Reviewer: Rudy Anaya, Tax and Finance Unit

Signature of DOJ Reviewer: _____



Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: TSE CH'IZHI CHAPTER Date prepared: 3/19/23

Chapter's mailing address: PO BOX 4344 CHINLE, AZ 86503 phone/email: (928) 728-3361
website (if any): roughrock@navajochapters.org

This Form prepared by: SYLVIA HADLEY, SECRETARY/TREASURER phone/email: (928) 206-9356
CONTACT PERSON'S name and title shadley@navajochapters.org
CONTACT PERSON'S info

Title and type of Project: COMMUNITY TRASH BINS

Chapter President: BETTY DAILEY phone & email: (505) 408-3292, daileyb628@gmail.com
Chapter Vice-President: JAY R. NEZ phone & email: (505) 908-7773, jrnez@naataanii.org
Chapter Secretary: SYLVIA HADLEY phone & email: (928) 206-9356, shadley@navajochapters.org
Chapter Treasurer: SAME AS ABOVE phone & email: _____
Chapter Manager or CSC: VACANT phone & email: _____
DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 674-2251, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____
 document attached

Amount of FRF requested: \$81,600 FRF funding period: 4/1/23 - 12/13/26
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Tse Ch'izhi Chapter will use the funds to assist the community with trash services. The funds will be used to keep two (2) large trash bins at the chapter and will be taken twice a month. The Tse Ch'izhi Chapter will assist their community with having this services instead of traveling long distances to throw their trash away. These bins being accessible should deter illegal dumping within the chapter boundaries. The Tse Ch'izhi Chapter will ensure that the funds expended will address public health challenges that partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Tse Ch'izhi Chapter, a high number of residents have followed Navajo Nation Covid-19 guidelines and stayed home more than ever. With this, the residents will be able to throw away their trash conveniently. Having the bins changed twice a month the continuance of staying safe will be easier on the family.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of approximately 4 bins a month. The Tse Ch'izhi Chapter will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(c) Identify who will be responsible for implementing the Program or Project:

DCD will be the oversight of the sub-recipient agreement with Tse Ch'izhi Chapter to ensure the trash bins are available.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Tse Ch'izhi Chapter will work to ensure that the bins will be kept on site and in working order after the ARPA funds are depleted.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 Provision of Government Services. Providing trash bins to the community addresses the conditions that contributed to poor public health and economic outcomes during the pandemic, namely concentrated areas with limited economic opportunity. Having the trash bins in the community will deter illegal trash dumping within the community.

document attached

Part 3. Additional documents.

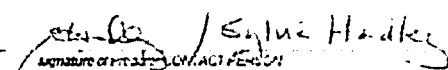
List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Chapter Resolution attached

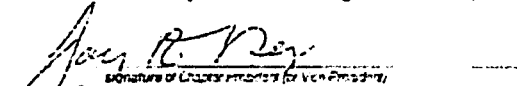
Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

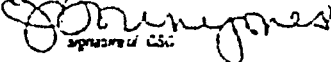
Chapter's Preparer:


signature of Chapter Preparer (or Vice President)

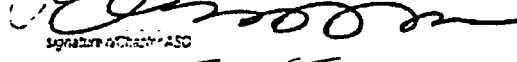
Approved by:


signature of Chapter President (or Vice President)

Approved by:


signature of CSC

Approved by:


signature of Chapter ASO

Approved to submit for Review:


signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Tse' Ch'izhi' Chapter - Community Trash Bins</u>		Division/Branch: <u>DCD/Executive</u>					
Prepared By: <u>Sylvia Hadley</u>		Phone No.: <u>(928) 206-9356</u>		Email Address: <u>shadley@navajochapters.org</u>					
PART II. FUNDING SOURCE(S)		Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY				
NN Fiscal Recovery Funds		4/1/23-12/13/26	81,600.00	100%	Fund Type Code	NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total	
					2001 Personnel Expenses				
					3000 Travel Expenses				
					3500 Meeting Expenses				
					4000 Supplies				
					5000 Lease and Rental				
					5500 Communications and Utilities				
					6000 Repairs and Maintenance				
					6500 Contractual Services	6	81,600	81,600	
					7000 Special Transactions				
					8000 Public Assistance				
					9000 Capital Outlay				
					9500 Matching Funds				
					9500 Indirect Cost				
					TOTAL		\$0.00	81,600.00	81,600
PART IV. POSITIONS AND VEHICLES		(D)		(E)					
TOTAL:		\$81,600.00	100%	Total # of Positions Budgeted:	0				
				Total # of Vehicles Budgeted:	0				

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: James Adakai, Deputy Director APPROVED BY: Calvin Castillo, Executive Director
Program Manager's Printed Name Division Director / Branch Chief's Printed Name
06/30/2023 6-30-23
Program Manager's Signature and Date Division Director / Branch Chief's Signature and Date

PART I. PROGRAM INFORMATION:		Tse' Ch'izhi' Chapter - Community Trash Bins											
Business Unit No.:	NEW	Program Name/Title:											
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:													
PART III. PROGRAM PERFORMANCE CRITERIA:													
		1st QTR		2nd QTR		3rd QTR		4th QTR					
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual				
1. Goal Statement:													
Implement Trash Bin program for Tse Chizhi Community, rotated out twice a month.													
Program Performance Measure/Objective:													
Successfully provide Trash service to the Tse Chizhi Community.						2							
2. Goal Statement:													
Program Performance Measure/Objective:													
3. Goal Statement:													
Program Performance Measure/Objective:													
4. Goal Statement:													
Program Performance Measure/Objective:													
5. Goal Statement:													
Program Performance Measure/Objective:													
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.													
										James Adakai, Deputy Director			
										06/30/2023			
										Cabin Castillo, Executive Director			
										6-30-23			
										Division Director/Branch Chief's Signature and Date			

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 2023

PART I. PROGRAM INFORMATION:			
Program Name/Title: _____		Business Unit No.: _____	
Tse' Ch'izhi' Chapter - Community Trash Bins		NEW	
PART II. DETAILED BUDGET:			
(B)			
(A)	(C)	(D)	
Object Code (LOD 6)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)	
6910	81,600	81,600	
OTHER CONTRACTUAL SERVICES			
6918 Collection Service			
Community trash bins provided form community members			
TOTAL			81,600

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u>	PART II. Project Information
Project Title: <u>Tse Ch'izhi Community Trash Bin Program</u>	Project Type: <u>Community Trash Bins</u>
Project Description: <u>Provide Community Trash Bins for Tse Ch'izhi Community with collection twice a month</u>	Planned Start Date: <u>4/1/2023</u>
	Planned End Date: <u>12/13/2026</u>
Check one box:	Project Manager: <u>DCD</u>
<input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification	

PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.												Expected Completion Date if project exceeds 8 FY Qtrs.										
	FY 2023						FY 2024																
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.											
4/1/23 - 12/31/23 Complete agreement with Receptacle Services																							
1/1/24 - 10/31/26 Start and complete Trash Bin Services for Community																							
10/1/26 - 12/13/26 Complete Closeout documents & ensuring all payments have been processed and received by vendor.																							
PART V.																							PROJECT TOTAL
Expected Quarterly Expenditures																							\$43,200.00

FOR OMB USE ONLY: Resolution No. _____ FMIS Set Up Date: _____ Company No. _____ OMB Analyst: _____



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0591

Date & Time Received: 6/21/23 at 14:21

Date & Time of Response: 6/26/23 at 17:00

Entity Requesting FRF: Tsaile/Wheatfields Chapter

Title of Project: Community Housing Weatherization and Renovation

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$460,468

Eligibility Determination:

- FRF eligible
 FRF ineligible
 Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
 (2) Premium Pay
 (3) Government Services/Lost Revenue
 (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: _____
2.18, Housing Support: Other Housing Assistance

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: **TSAILE/WHEATFIELDS CHAPTER** Date prepared: **3/19/23**

Chapter's PO BOX C18 phone/email: **(928) 724-2220**
mailing address: TSAILE, AZ 86556 website (if any): **tsaille@navajochapters.org**

This Form prepared by: **DARICE NEWMAN** phone/email: **(928) 724-2220**
 DARICE NEWMAN, COMMUNITY SVC COORDINATOR **dnewman@nnchapters.org**
CONTACT PERSON'S name and title *CONTACT PERSON'S info*

Title and type of Project: **Community Housing Weatherization and Renovation**

Chapter President: **DEVON BEGAY** phone & email: **(505) 399-0414, dbegay@naataanii.org**

Chapter Vice-President: **STANLEY KEDELTY** phone & email: **(505) 860-9798, skedelty@naataanii.org**

Chapter Secretary: **MARINDA DECHEE** phone & email: **(928) 797-6569, mdechee@navajochapters.org**

Chapter Treasurer: **SAME AS ABOVE** phone & email:

Chapter Manager or CSC: **DARICE NEWMAN** phone & email: **(928) 724-2220, dnewman@nnchapters.org**

CCD/Chapter ASO: **CHINLE/EDGERTON GENE** phone & email: **(928) 674-2251, egene@nndcd.org**

List types of Subcontractors or Subrecipients that will be paid with FRF (if known):

document attached

Amount of FRF requested: **\$460,468** FRF funding period: **April 1, 2023 - Decemeber 13, 2026**
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Tsaille/Wheatfields Chapter will use the funds to assist households with home weatherization or renovations. The homes that need these will apply with the chapter and show proof/quotes of what is needed to ensure the safety and well-being of the household members. The Tsaille/Wheatfields Chapter will ensure that the funds expended will address public health challenges of homes and families who are in need of these renovations/weatherizations, which partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Tsaille/Wheatfields Chapter, there are homes that are in dire need of weatherization or renovations. The purchase of supplies will ensure that the renovations/weatherization will be done in a timely manner. Once work is completed, the homes will be in good condition to live in. The Tsaille/Wheatfields Chapter residents will directly benefit from the purchase made on their behalf.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of the weatherization/renovations and will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

DCD will be the oversight of the sub-recipient agreement with Tsaille/Wheatfields Chapter to complete the purchases needed to ensure the renovations or weatherization are completed.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively.

The Homeowner will be responsible for the upkeep after the completion is done.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 Provision of Government Services. The Tsaille/Wheatfields Chapter has families in dire need of renovations or weatherization that had to forego due to the high rise of lumber and building supplies across the Navajo Nation. Due to this expensive rise in cost, the families were not able to purchase building supplies to make repairs to their homes. Some families have had to live in deplorable conditions and make do with temporary repairs that didn't last long with the extreme weather and following all CDC/NN Covid-19 guidelines.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

N/A

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: *On Neuman, CSC*
signature of Preparer: CONTACT PERSON



Approved by: *Devon Begay*
signature of Chapter President (or Vice-President)

Approved by: *On Neuman, CSC*
signature of CSC

Approved by: *[Signature]*
signature of County ASB

Approved to submit for Review: *[Signature]*
signature of DCD Director

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

PART I. PROGRAM INFORMATION:		Business Unit No.: <u>NEW</u>		Program Name/Title: <u>Tsalle/Wheatfields Community Housing Weatherizations and Renovation</u>					
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:									
PART III. PROGRAM PERFORMANCE CRITERIA:									
1. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR	
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
To provide housing renovations assistance to registered community members.									
Program Performance Measure/Objective:									
Assist approximately 95 homes up to \$5,000.00									
2. Goal Statement:									
Program Performance Measure/Objective:									
3. Goal Statement:									
Program Performance Measure/Objective:									
4. Goal Statement:									
Program Performance Measure/Objective:									
5. Goal Statement:									
Program Performance Measure/Objective:									
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.									
Program Manager's Printed Name		James Adakai, Deputy Director		Division Director/Branch Chief's Printed Name		Calvin Casullo, Executive Director			
Program Manager's Signature and Date		 6-15-23		Division Director/Branch Chief's Signature and Date		 06/15/2023			

THE NAVAJO NATION
 DETAILED BUDGET AND JUSTIFICATION

FY 2023

PART I. PROGRAM INFORMATION:		Business Unit No.: NEW	
Program Name/Title: Tsalle/Wheatfields Community Housing Weatherizations and Renovation			
PART II. DETAILED BUDGET:		(C)	(D)
(A)	(B)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
8000 8705	ASSISTANCE CHAPTER 8725 Housing Purchase supplies for household with cap of \$5,000 for renovations to existing home.	406,468	460,468
TOTAL		406,468	460,468



Tsaiile/Wheatfields Chapter
Post Office Box C18
Tsaiile, Arizona 86556
Phone: (928) 724-2220 Fax: (928) 724-2223

Tsééhilí

TóDzis'á

Tsézhine

Devon Begay, President
Charles R. Choe, Grazing Committee

Stanley Kedyly, Vice President

Mamda-Dee Lee, Secretary/Treasurer
Carl Reussel Slater, Council-Delegate

TWfy23-045

**RESOLUTION OF THE
TSAILE/WHEATFIELDS CHAPTER #038**

**SUPPORTING, RECOMMENDING, AND APPROVAL OF THE TSAILE/WHEATFIELDS
CHAPTER AMERICAN RESCUE PLAN ACT (ARPA) PRIORITY PROJECT LISTING IN THE
AMOUNT OF \$1,760,468.00.**

WHEREAS:

1. Pursuant to Navajo Nation Council Resolution No. CJ-20-55, dated December 2, 1955, the Tsaiile/Wheatfields Chapter is vested with authority and charged with the responsibility to promote, protect and preserve the interest and general welfare, including the health and safety of its community people; and
2. The Indian Self-Determination Act (P.L. 93-638) of the U. S. Congress and Local Governance Initiatives entitles and support us, Navajo Indians, in initiating plans making decisions, recommendation, request, etc.. according to our actual needs and desires; and
3. To support the efforts of the Navajo Nation to mitigate the effects of the COVID-19 Pandemic, the American Rescue Plan Act (ARPA) Fiscal Recovery Funds were allocated to develop basic infrastructure to improve lives of people who have lived without water, electricity and "basic services to support their daily activities, health, and welfare"; and
4. The Navajo Nation Council approved CJN-29-22, in response to the COVID-19 Pandemic in the best interest of the people: "An Action Relating to the Naabik'iyati" Committee and Navajo Nation Council allocation \$1,070,298,867.00 of Navajo Nation Fiscal Recovery Funds: Approving the Navajo Nation Fiscal Recovery Fund Expenditure Plans for Chapter Assistance: Public Safety Emergency Communications; E911 and Rural Addressing Projects; Cyber Security; Public Health Projects; Economic Development Projects; Hardship Assistance; Water and Waste Water Projects; Broadband Projects; Home Electricity Connection and Electricity Compacity Projects; Housing Projects and Manufactured Housing Facilities; Bathroom Addition Projects; Construction Contingency Funding; and Reduced Administration Funding"; and
5. The Tsaiile/Wheatfields Chapter selected three eligible projects a. The Housing Renovation project; b. PEP Funding; and c. Road Improvement Project to be included in the funding allocated to Tsaiile/Wheatfields Chapter; and
6. The total amount allocated under Navajo Nation Council Delegate Carl R. Slater for Tsaiile/Wheatfields Chapter is \$1,760,468.00.

NOW THEREFORE BE IT RESOLVED THAT:

1. The Tsaiile/Wheatfields Chapter hereby approves and accepts the ARPA fundings to be utilized in accordance with the following chapter project priorities.
 - a. Housing Renovation in the amount of \$460,468.00.
 - b. PEP Funding in the amount of \$205,938.00
 - c. Road Improvements in the amount of \$1,094,062.00
2. The Tsaiile/Wheatfields Chapter affirms that the Chapter will only use the awarded Fiscal Recovery Funds and Implement this FRF Expenditure Plan in compliance with the ARPA, ARPA Regulations, and with all applicable Federal and Navajo Nation Laws, Regulations and Policies.

CERTIFICATION

I, hereby certify the foregoing resolution was duly considered by the Tsaile/Wheatfields Chapter at a duly called Meeting at Wheatfields, Arizona at which a quorum was present and the same was passed by a vote of 12 in favor, 0 opposed, and 1 abstained on this 19th day of March, 2023.

Motion by: Ernest Yazzie

Second by: Lucinda Davis

Devon Begay
Devon Begay, President



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK0592

Date & Time Received: 6/21/2023 at 14:23

Date & Time of Response: 7/10/2023 at 17:00

Entity Requesting FRF: Tsaille / Wheatfields Chapter

Title of Project: Tsaille / Wheatfields Public Employment Program

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$205,938.00

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category:
2.18, Housing Support: Other Housing Assistance

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

We have modified the requested expenditure code from 6.1 (Provision of Government Services) to expenditure code 2.18, Housing Support: Other Housing Assistance. 2.18 is a more favorable category because it is not limited to the amount of the Nation's lost revenue.

Name of DOJ Reviewer: Mel M. Rodis, Assistant Attorney General, Tax & Finance Unit

Signature of DOJ Reviewer: *MRodis*

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: **TSAILE/WHEATFIELDS CHAPTER** Date prepared: **3/18/23**

Chapter's mailing address: **PO BOX C18 TSAILE, AZ 86556** phone/email: **(928) 724-2220**
website (if any): **tsaile@navajochapters.org**

This Form prepared by: **DARICE NEWMAN** phone/email: **(928) 724-2220**
DARICE NEWMAN, COMMUNITY SVC COORDINATOR **dnewman@nnchapters.org**
CONTACT PERSON'S name and title *CONTACT PERSON'S info*

Title and type of Project: **Tsaile/Wheatfields Public Employment Program**

Chapter President: **DEVON BEGAY** phone & email: **(505) 399-0414, dbegay@naataanii.org**

Chapter Vice-President: **STANLEY KEDELTY** phone & email: **(505) 860-9798, skedelty@naataanii.org**

Chapter Secretary: **MARINDA DECHEE** phone & email: **(928) 797-6569, mdechec@navajochapters.org**

Chapter Treasurer: **SAME AS ABOVE** phone & email:

Chapter Manager or CSC: **DARICE NEWMAN** phone & email: **(928) 724-2220, dnewman@nnchapters.org**

DCD/Chapter ASO: **CHINLE/EDGERTON GENE** phone & email: **(928) 674-2251, egene@nndcd.org**

List types of Subcontractors or Subrecipients that will be paid with FRF (if known):

document attached

Amount of FRF requested: **\$205,938** FRF funding period: **April 1, 2023 - December 31, 2026**
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Tsaile/Wheatfields Chapter will use the funds to hire temporary staff to assist the chapter and the residents in completing projects, renovations and other areas that the chapter staff and officials need completed. The temporary staff will be registered voters within the Tsaile/Wheatfields Chapter and the work experience gained can be used to gain full time employment outside of the chapter.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Tsaile/Wheatfields Chapter, there are projects that need to be completed in a timely manner. Having the temporary staff available, the projects will be completed. The work experience gained will enhance the skills of temporary worker. The Tsaile/Wheatfields Chapter residents will directly benefit from the work experience and completion of projects.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of the weatherization/renovations and will obligate the funds no later than September 30, 2026 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

DCD will be the oversight of the sub-recipient agreement with Tsaile/Wheatfields Chapter to hire temporary staff and ensure accuracy in time, wages and payment.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Chapter will be responsible for any amount over the allocated \$205,938 for temporary staff.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

6.1 Provision of Government Services. The Tsaile/Wheatfields Chapter has community members who have been unemployed and some lacking in work experience. Because of this, finding a job has been difficult especially during the Covid-19 pandemic. The community members were not able to provide for themselves and/or their family. Providing the temporary hire to complete projects allows community members to learn new skills, improve their skills and be able to secure permanent positions, possibly with the Navajo Nation.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: *De Neumein, CSC*
signature of Preparer/CONTACT PERSON

Approved by: *De Neumein, CSC*
signature of CSC

Approved by: *Devon Buzing*
signature of Chapter President (or Vice-President)

Approved by: *[Signature]*
signature of Chapter ASO

Approved to submit for Review: *[Signature]*
signature of DCD Director

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

PART I. PROGRAM INFORMATION:	Business Unit No.: <u>NEW</u>	Program Name/Title: <u>Tsailer/Wheatfields Chapter - Public Employment Program</u>																																																																			
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:																																																																					
PART III. PROGRAM PERFORMANCE CRITERIA:	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2"></th> <th colspan="2">1st QTR</th> <th colspan="2">2nd QTR</th> <th colspan="2">3rd QTR</th> <th colspan="2">4th QTR</th> </tr> <tr> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td>1. Goal Statement: <u>Advertise and hire 3 Carpenters/Laborer to perform housing renovations using ARPA funding.</u> Program Performance Measure/Objective: <u>Hire 3 Carpenters/Laborers</u></td> <td>3</td> <td></td> <td>3</td> <td></td> <td>3</td> <td></td> <td>3</td> <td></td> </tr> <tr> <td>2. Goal Statement: <u>Advertise and hire 1 Supervisor to oversee projects utilizing ARPA funding.</u> Program Performance Measure/Objective: <u>Successfully hire the Supervisor</u></td> <td>1</td> <td></td> <td>1</td> <td></td> <td>1</td> <td></td> <td>1</td> <td></td> </tr> <tr> <td>3. Goal Statement: <u></u> Program Performance Measure/Objective: <u></u></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>4. Goal Statement: <u></u> Program Performance Measure/Objective: <u></u></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>5. Goal Statement: <u></u> Program Performance Measure/Objective: <u></u></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>								1st QTR		2nd QTR		3rd QTR		4th QTR		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	1. Goal Statement: <u>Advertise and hire 3 Carpenters/Laborer to perform housing renovations using ARPA funding.</u> Program Performance Measure/Objective: <u>Hire 3 Carpenters/Laborers</u>	3		3		3		3		2. Goal Statement: <u>Advertise and hire 1 Supervisor to oversee projects utilizing ARPA funding.</u> Program Performance Measure/Objective: <u>Successfully hire the Supervisor</u>	1		1		1		1		3. Goal Statement: <u></u> Program Performance Measure/Objective: <u></u>									4. Goal Statement: <u></u> Program Performance Measure/Objective: <u></u>									5. Goal Statement: <u></u> Program Performance Measure/Objective: <u></u>								
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	<u>James Adakai, Deputy Director</u> Program Manager's Printed Name		<u>Calvin Castillo, Executive Director</u> Division Director/Branch Chief's Printed Name		<u>6-15-23</u> Program Manager's Signature and Date		<u>06/15/2023</u> Division Director/Branch Chief's Signature and Date																																																														

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 2023

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Tsaile/Wheatfields Chapter Public Employment Program</u> Business Unit No.: <u>NEW</u>	
PART II. DETAILED BUDGET:	
(A)	(B)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)
8000 8705	ASSISTANCE CHAPTER 8710- PEP Hire 4 Supervisors @ \$15,00/hour @ 1,040 hours = \$62,400.00 FICA: 6.2% x \$62,400.00 = \$3,868.80 Medicare: 1.45% x \$62,400.00 = \$904.80 Workman's Compensation: 0.53% x \$62,400.00 = \$330.72 Unemployment: 1.83% x \$62,400.00 = \$1,141.92 TOTAL: \$68,645.44 [\$68,646.00]
	8710- PEP Hire 12 Carpenters/Laborers @ \$10.00/hour @ 1,040 hours = \$124,800.00 FICA: 6.2% x \$124,800.00 = \$7,737.60 Medicare: 1.45% x \$62,400.00 = \$1,809.60 Workman's Compensation: 0.53% x \$124,800.00 = \$661.44 Unemployment: 1.83% x \$124,800.00 = \$2,283.84 TOTAL: \$137,292.48 [\$137,293.00]
	Total by DETAILED Object Code (LOD 6) 205,938
	Total by MAJOR Object Code (LOD 4) 205,938
TOTAL	205,938

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u> Project Title: <u>Tsailer/Wheatfields Chapter- Public Employment Program</u> Project Description: <u>Hiring of PEP workers to complete projects within the Tsailer/Wheatfields Chapter.</u>	PART II. Project Information Project Type: <u>PEP</u> Planned Start Date: <u>4/1/2023</u> Planned End Date: <u>12/13/2026</u> Project Manager: <u>Darice Newman</u>
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification	

PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.												Expected Completion Date if project exceeds 8 FY Qtrs.			
	FY 2025						FY 2026									
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.				
5/1/23-9/1/23 Advertise and complete all paperwork for new PEP workers	x	x	x	x												
10/1/23 - 9/30/26 Start employment for PEP workers																
10/1/26 - 12/31/26 Finalize all documents to terminate workers and payout of wages, submissions of required documents to departments and close outs.																
PART V. Expected Quarterly Expenditures	\$ 25,742.25	\$ 25,742.25	\$ 25,742.25	\$ 25,742.25	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	PROJECT TOTAL \$102,969.00



Tsaile/Wheatfields Chapter
Post Office Box C18
Tsaile, Arizona 86556
Phone: (928) 724-2220 Fax: (928) 724-2223

Tsééhilí

TóDzis'á

Tsézhine

Decon Regay, President
Charles R. Alice, Training Committee

Stanley Keshiy, Vice President

Mamuda DeChée, Secretary/Treasurer
Carl Roessel Slater, Council Delegate

TWFFY23-045

**RESOLUTION OF THE
TSAILE/WHEATFIELDS CHAPTER #038**

**SUPPORTING, RECOMMENDING, AND APPROVAL OF THE TSAILE/WHEATFIELDS
CHAPTER AMERICAN RESCUE PLAN ACT (ARPA) PRIORITY PROJECT LISTING IN THE
AMOUNT OF \$1,760,468.00.**

WHEREAS:

1. Pursuant to Navajo Nation Council Resolution No. CJ-20-55, dated December 2, 1955, the Tsaile/Wheatfields Chapter is vested with authority and charged with the responsibility to promote, protect and preserve the interest and general welfare, including the health and safety of its community people, and
2. The Indian Self-Determination Act (P.L. 93-638) of the U. S. Congress and Local Governance Initiatives entitles and support us, Navajo Indians, in initiating plans making decisions, recommendation, request, etc., according to our actual needs and desires; and
3. To support the efforts of the Navajo Nation to mitigate the effects of the COVID-19 Pandemic, the American Rescue Plan Act (ARPA) Fiscal Recovery Funds were allocated to develop basic infrastructure to improve lives of people who have lived without water, electricity and "basic services to support their daily activities, health, and welfare"; and
4. The Navajo Nation Council approved CJN-29-22, in response to the COVID-19 Pandemic in the best interest of the people: "An Action Relating to the Naabik'iyati" Committee and Navajo Nation Council allocation \$1,070,298,867.00 of Navajo Nation Fiscal Recovery Funds; Approving the Navajo Nation Fiscal Recovery Fund Expenditure Plans for Chapter Assistance; Public Safety Emergency Communications; E911 and Rural Addressing Projects; Cyber Security; Public Health Projects; Economic Development Projects; Hardship Assistance; Water and Waste Water Projects; Broadband Projects; Home Electricity Connection and Electricity Compacity Projects; Housing Projects and Manufactured Housing Facilities; Bathroom Addition Projects; Construction Contingency Funding; and Reduced Administration Funding"; and
5. The Tsaile/Wheatfields Chapter selected three eligible projects a. The Housing Renovation project; b. PEP Funding; and c. Road Improvement Project to be included in the funding allocated to Tsaile/Wheatfields Chapter; and
6. The total amount allocated under Navajo Nation Council Delegate Carl R. Slater for Tsaile/Wheatfields Chapter is \$1,760,468.00.

NOW THEREFORE BE IT RESOLVED THAT:

1. The Tsaile/Wheatfields Chapter hereby approves and accepts the ARPA fundings to be utilized in accordance with the following chapter project priorities.
 - a. Housing Renovation in the amount of \$460,468.00.
 - b. PEP Funding in the amount of \$205,938.00
 - c. Road Improvements in the amount of \$1,094,062.00
2. The Tsaile/Wheatfields Chapter affirms that the Chapter will only use the awarded Fiscal Recovery Funds and Implement this FRF Expenditure Plan in compliance with the ARPA, ARPA Regulations, and with all applicable Federal and Navajo Nation Laws, Regulations and Policies.

CERTIFICATION

I, hereby certify the foregoing resolution was duly considered by the Tsaille/Wheatfields Chapter at a duly called Meeting at Wheatfields, Arizona at which a quorum was present and the same was passed by a vote of 12 in favor, 0 opposed, and 1 abstained on this 19th day of March, 2023.

Motion by: Ernest Yazzie

Second by: Lucinda Davis

Devon Begay
Devon Begay, President



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK0587

Date & Time Received: 6/21/23 at 10:22

Date & Time of Response: 6/27/23 at 17:00

Entity Requesting FRF: Lukachukai Chapter

Title of Project: Renovation of Chapter House Roof

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$43,900.00

Eligibility Determination:

- FRF eligible
 FRF ineligible
 Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
 (2) Premium Pay
 (3) Government Services/Lost Revenue
 (4) Water, Sewer, Broadband Infrastructure


U.S. Department of Treasury Reporting Expenditure Category: 2.22 Strong Healthy Communities: Neighborhood Features that Promote Health and Safety

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Name of DOJ Reviewer: Veronica Blackhat, Asst Attorney General, Natural Resources Unit

Signature of DOJ Reviewer: 

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

APPENDIX A

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: LUKACHUKAI CHAPTER Date prepared: 3/24/23

Chapter's PO BOX 248 phone/email: (928) 787-2500
mailing address: LUKACHUKAI, AZ 86507 website (if any): lukachukai@navajochapters.org

This Form prepared by: PAULA S. BEGAY phone/email: (928) 266-7426
CHAPTER PRESIDENT psbegay@naataanli.org
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: RENOVATION OF CHAPTER HOUSE ROOF

Chapter President: PAULA S. BEGAY phone & email: (928) 266-7426, psbegay@naataanli.org

Chapter Vice-President: CONNETTE BLAIR phone & email: (505) 860-8757, cblair@naataanli.org

Chapter Secretary: MARY ANN LEONARD phone & email: (928) 787-1081, mleonard@navajochapters.org

Chapter Treasurer: SAME AS ABOVE phone & email: _____

Chapter Manager or CSC: VACANT phone & email: _____

DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 674-2251, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____
_____ document attached

Amount of FRF requested: \$43,900.00 FRF funding period: 4/1/23 - 12/13/26
Indicate Project starting and ending/ending date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Lukachukai Chapter will use the funds to renovate the debilitating roof of the Chapter house. The Lukachukai Chapter will demolish the old roofing, clean up debris and rebuild the roof using various equipment and labor. The Lukachukai Chapter will ensure that the funds expended will address public health challenges that partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Lukachukai Chapter, they worked tirelessly to assist their community by having events that would keep their residents safe. The Chapter house was not in use due to the leaking roof, that posed a hazard to visitors and staff. Having the roof rebuilt means the chapter has the ability to conduct business as usual, which would be beneficial to staff and the community.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026

This project estimates the successful completion of the new roof and will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project

DCD will be the oversight of the sub-recipient agreement with Lukachukai Chapter to complete the services needed to facilitate the roof renovation.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively.

After the initial construction warranty, the Chapter will assume all routine maintenance to ensure the viability of the warehouse.

document attached

(f) State which of the 65 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why

2.23 STRONG HEALTHY COMMUNITIES: Demolition and Rehabilitation of Properties. The Lukachukai Chapter falls under this as the demolition of the roof and building the new roof will allow the community to use the chapter house for meetings, trainings and other activities that would promote a healthy community.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution
Quote

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies

Chapter's Preparer: *Paulas Begay*
Signature of Preparer

Approved by: *Paulas Begay*
Signature of Chapter Preparer

Approved by: *[Signature]*
Signature of DCD

Approved by: *[Signature]*
Signature of Chapter

Approved to submit for Review: *[Signature]*
Signature of DCD Director

FY 2023

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: NEW		Program Title: LUKACHUKAI CHAPTER ROOF		Division/Branch: Div. of Comm. Dev/ Chinle ASC		
Prepared By: PAULA S. BEGAY		Phone No.: (928) 266-7426		Email Address: psbegay@naataamni.org		
PART II. FUNDING SOURCE(S)		Fiscal Year Term	Amount	% of Total		
2023 Fiscal Recovery Funds		4/1/23-12/31/26	43,900.00	100%		
PART III. BUDGET SUMMARY		Fund Type Code	(A) NNC Original Budget	(B) Proposed Budget	(C) Difference or Total	
2001 Personnel Expenses						
3000 Travel Expenses						
3500 Meeting Expenses						
4000 Supplies						
5000 Lease and Rental						
5500 Communications and Utilities						
6000 Repairs and Maintenance						
6500 Contractual Services						
7000 Special Transactions						
8000 Public Assistance						
9000 Capital Outlay		6		43,900		43,900
9500 Matching Funds						
9500 Indirect Cost						
TOTAL			\$0.00	43,900.00		43,900
PART IV. POSITIONS AND VEHICLES		(D)		(E)		
Total # of Positions Budgeted:						
Total # of Vehicles Budgeted:						
TOTAL:		\$43,900.00				100%

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: James Adakai, Deputy Director
 Program Manager's Printed Name
 Program Manager's Signature and Date: 06/15/23

APPROVED BY: Calvin Castillo, Executive Director
 Division Director / Branch Chief's Printed Name
 Division Director / Branch Chief's Signature and Date

PART I. PROGRAM INFORMATION:																									
Business Unit No: <u>NEW</u>	Program Name/Title: <u>LUKACHUKAI CHAPTER ROOF</u>																								
PART II. PLAN OF OPERATION AND BUDGET RESOURCE USE OF PROGRAM:																									
PART III. PROGRAM PERFORMANCE CRITERIA:																									
<p>1. Goal Statement: Replacement of Lukachukai Chapter roof Program Performance Measure/Objective: Complete of roof replacement.</p>	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">1st QTR</th> <th colspan="2">2nd QTR</th> <th colspan="2">3rd QTR</th> <th colspan="2">4th QTR</th> </tr> <tr> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>1</td> </tr> </tbody> </table>	1st QTR		2nd QTR		3rd QTR		4th QTR		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual								1
1st QTR		2nd QTR		3rd QTR		4th QTR																			
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual																		
							1																		
<p>2. Goal Statement: Program Performance Measure/Objective:</p>	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>																								
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<p>4. Goal Statement: Program Performance Measure/Objective:</p>	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>																								
<p>5. Goal Statement: Program Performance Measure/Objective:</p>	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>																								
PART IV. THE NAVAJO NATION PROGRAM PERFORMANCE INFORMATION HAS BEEN THOROUGHLY REVIEWED.																									
Program Manager's Signature and Date <u>James Adakai, Deputy Director</u>	Division Director/Branch Chief's Signature and Date <u>Calvin Castillo, Division Director</u> 06/15/2023																								

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 2023

PART I. PROGRAM INFORMATION:		Business Unit No.: <u>NEW</u>	
Program Name/Title: <u>LUKACHUKAI CHAPTER ROOF</u>			
PART II. DETAILED BUDGET:		(C)	(D)
(A)	(B)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
	Object Code Description and Justification (LOD 7)		
9000	CAPITAL OUTLAY		43,900
9050	BUILDING IMPROVEMENTS	43,900	
	9054 Building Improvements		
TOTAL		43,900	43,900

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: NEW	PART II. Project Information
Project Title: LUKACHUKAI CHAPTER HOUSE ROOF REPLACEMENT	Project Type: Chapter Roof Replacement
Project Description: Replacement of Chapter House Roof.	Planned Start Date: 4/1/2023
	Planned End Date: 12/13/2026
	Project Manager: DCD

PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.												Expected Completion Date if project exceeds 6 FY Qtrs.						
	FY 2023						FY 2024												
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.							
4/1/23 - 9/30/24 Purchase of Roofing Supplies	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	
10/1/23 - 9/30/26 Demolishing and replacement of Chapter House Roof																			
PART V.													PROJECT TOTAL						
Expected Quarterly Expenditures	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$43,910.00

Check one box: Original Budget Budget Revision Budget Reallocation Budget Modification

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____

THE NAVAJO NATION
PROJECT BUDGET SCHEDULE

PART I. Business Unit No.: <u>NEW</u>		PART II. Project Information																
Project Title: <u>LUKACHUKAI CHAPTER HOUSE ROOF REPLACEMENT</u>		Project Type: <u>Chapter Roof Replacement</u>																
Project Description: <u>Replacement of Chapter House Roof.</u>		Planned Start Date: <u>4/1/2023</u>																
		Planned End Date: <u>12/13/2026</u>																
Check one box:		Project Manager: <u>DCD</u>																
<input checked="" type="checkbox"/> Original Budget		<input type="checkbox"/> Budget Reallocation																
<input type="checkbox"/> Budget Revision		<input type="checkbox"/> Budget Modification																
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.																		
PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct., N = Nov., D = Dec., etc.																		
	FY 2025								FY 2026								Expected Completion Date if project exceeds 8 FY Qtrs.	
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.		
	O N D	J F M	A M J	J A S	O N D	J F M	A M J	J A S	O N D	J F M	A M J	J A S	O N D	J F M	A M J	J A S		
4/1/23 - 9/30/24 Purchase of Roofing Supplies	x	x	x	x														
10/1/23 - 9/30/26 Demolishing and replacement of Chapter House Roof																		
10/1/26 - 12/13/26 Close out of project, financial documents Final Inspection																		
PART V.																		
Expected Quarterly Expenditures																		
PROJECT TOTAL																		

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____



LUKACHUKAI CHAPTER
P. O. Box 248 Lukachukai, Arizona 86507
Telephone: (928)787-2500 Fax: (928) 787-2332
Email: lukachukai@navajochapters.org

Carl R. Slater, Council Delegate Paula S. Begay, President Connette Blair, Vice-President Mary Ann Leonard, Sec./Treasurer Larsen Nez, Grazing Comm.

LUK23-26

**RESOLUTION OF THE
LUKACHUKAI CHAPTER #036.**

**APPROVING THE LUKACHUKAI CHAPTER AMERICAN RESCUE PLAN ACT PROJECTS AND
BUDGET IN ACCORDANCE WITH DELEGATE REGION EQUAL DISTRIBUTION OF
\$1,760,468.00.**

WHEREAS:

1. Pursuant to Navajo Nation Council Resolution No. CJ-20-55, dated November 14, 1955, the Lukachukai Chapter is vested with authority and charged with the responsibility to promote, protect and preserve the interest and general welfare, including the health and safety of its community people; AND
2. The Indian Self-Determination Act (P.L. 93-638) of the U. S. Congress and Local Governance Initiatives entitles and support us, Navajo Indians, in initiating plans making decisions, recommendation, request, etc., according to our actual needs and desires; AND
3. The Lukachukai Chapter received confirmation from its Navajo Nation Council Delegate Carl R. Slater that the five (5) Chapters under his leadership will equally distribute the \$8,802,340.00, with Lukachukai Chapter allocation of \$1,760,468.00 for projects using the ARPA funds with budget amounts as follows:

PROJECTS DESCRIPTION	AMOUNT
a. Chapter Field House Renovation/Replacement Roof	\$ 87,150.00
b. Chapter House Renovation Roof	\$ 43,900.00
c. Public Employment Program/Illegal Trash Dumping Cleanup	\$ 400,000.00
d. Community Septic Tank Cleaning	\$ 135,150.00
e. Chapter Enclosed Track	\$ 449,685.00
f. Senior Center Renovation	\$ 107,767.66
g. Police Housing Water & Sewer Line Extension	\$ 144,000.00
h. Police Housing Powerline Extension	\$
i. Agriculture Building Water & Sewer Line Extension	\$ 419,848.00
j. Agriculture Building Powerline Extension	\$

NOW THEREFORE BE IT RESOLVED THAT:

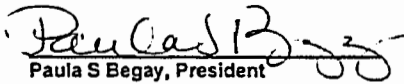
1. The Lukachukai Chapter hereby approves the American Rescue Plan Act projects and budget in accordance with Delegate Region Equal Distribution of \$1,760,468.00.
2. The Lukachukai Chapter affirms that the Chapter will only use awarded Fiscal Recovery Funds and implement this FRF Expenditure Plan in compliance with the ARPA, ARPA Regulations, and with all applicable Federal and Navajo Nation Laws, Regulations, and Policies.

CERTIFICATION

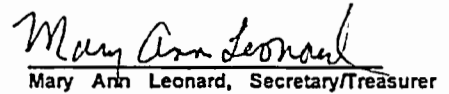
We, hereby certify the foregoing resolution was duly considered by the Lukachukai Chapter at a duly called Chapter Meeting at Lukachukai, Arizona at which a quorum was present and the same was passed by a vote of 17 in favor, 0 opposed, and 0 abstained on this 16th day of March, 2023.

Motion by: Miranda Haskie

Second by: Ervin Begay


Paula S Begay, President


Connette Blair, Vice-President


Mary Ann Leonard, Secretary/Treasurer



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK0586

Date & Time Received: 6/21/23 at 10:17

Date & Time of Response: 6/27/23 at 17:00

Entity Requesting FRF: Lukachukai Chapter

Title of Project: Chapter Track Construction

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$449,685.00

Eligibility Determination:

- FRF eligible
- FRF ineligible
- Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
- (2) Premium Pay
- (3) Government Services/Lost Revenue
- (4) Water, Sewer, Broadband Infrastructure


U.S. Department of Treasury Reporting Expenditure Category: 2.22 Strong Healthy Communities: Neighborhood Features that Promote Health and Safety

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Name of DOJ Reviewer: Navalyn R. Platero

Signature of DOJ Reviewer: 

Disclaimers:
If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

APPENDIX A

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: LUKACHUKAI CHAPTER Date prepared: 3/24/23

Chapter's mailing address: PO BOX 248 LUKACHUKAI, AZ 86507 phone/email: (928) 787-2500 website (if any): lukachukai@navajochapters.org

This Form prepared by: PAULA S. BEGAY phone/email: (928) 266-7426
CHAPTER PRESIDENT psbegay@naataanli.org
CONTACT PERSON'S name and title CONTACT PERSON'S title

Title and type of Project: CHAPTER TRACK CONSTRUCTION

Chapter President: PAULA S. BEGAY phone & email: (928) 266-7426, psbegay@naataanli.org

Chapter Vice-President: CONNETTE BLAIR phone & email: (505) 362-8757, cblair@naataanli.org

Chapter Secretary: MARY ANN LEONARD phone & email: (928) 797-1081, mleonard@navajochapters.org

Chapter Treasurer: SAME AS ABOVE phone & email: _____

Chapter Manager or CSC: VACANT phone & email: _____

DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 674-2251, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____
_____ document attached

Amount of FRF requested: \$449,685 FRF funding period: 4/1/23 - 12/13/26
Indicate Project starting and ending baseline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Lukachukai Chapter will use the funds to construct a new track for community usage. The Lukachukai Chapter will hire a constructor to build the new track using various equipment and labor. The Lukachukai Chapter will ensure that the funds expended will address public health challenges that partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Lukachukai Chapter, the community had to do without means to relieve stress, increase healthy exercises in a safe environment during the pandemic. The Lukachukai Chapter will provide a safe environment for its residents to exercise and increase the healthiness of their community members, which was curtailed during the pandemic.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

This project estimates the successful completion of the new track and will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project

DCD will be the oversight of the sub-recipient agreement with Lukachukai Chapter to complete the services needed to facilitate the track construction.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively

After the initial construction warranty, the Chapter will assume all routine maintenance to ensure the track's sustainability.

document attached

(f) State which of the 65 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why

2.22 STRONG HEALTHY COMMUNITIES: Neighborhood Features that Promote Health and Safety
With the chapter having the enclosed track on their property, this ensures safety for all who use it. Along with this, the track's accessibility will have community members use it more often and they wouldn't have to use trails that are unreliable and unsafe. It will be a place to stay healthy and promote safety.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution
Quotes

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: *Paula Sizoo*
signature of Primary CONTACT PERSON

Approved by: *Paula Sizoo*
signature of Chapter President for Navajo

Approved by: *E. Sizoo*
signature of [unclear]

Approved by: *E. Sizoo*
signature of CONTACT PERSON

Approved to submit for Review: *[Signature]*
signature of [unclear]

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

FY 2023

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>LUKACHUKAI COMMUNITY ENCLOSED TRACK</u>		Division/Branch: <u>Div. of Comm. Dev/ Chinte ASC</u>	
Prepared By: <u>Paula S. Begay</u>		Phone No.: <u>(928) 266-7426</u>		Email Address: <u>psbegay@naataani.org</u>	
Fiscal Year / Term	Amount	% of Total	PART III. BUDGET SUMMARY		
4/1/23-12/31/26	449,685.00	100%	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget
			2001 Personnel Expenses		
			3000 Travel Expenses		
			3500 Meeting Expenses		
			4000 Supplies		
			5000 Lease and Rental		
			5500 Communications and Utilities		
			6000 Repairs and Maintenance		
			6500 Contractual Services	449,685	449,685
			7000 Special Transactions		
			8000 Public Assistance		
			9000 Capital Outlay		
			9500 Matching Funds		
			9500 Indirect Cost		
			TOTAL	\$0.00	449,685.00
			PART IV. POSITIONS AND VEHICLES		
TOTAL: \$449,685.00			Total # of Positions Budgeted: _____		
			Total # of Vehicles Budgeted: _____		
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.					
SUBMITTED BY: <u>James Adakai, Deputy Director</u>		APPROVED BY: <u>Calvin Castillo, Executive Director</u>			
Program Manager's Printed Name		Division Director / Branch Chief's Printed Name			
<u>James Adakai</u>		<u>Calvin Castillo</u>			
Program Manager's Signature and Date		Division Director / Branch Chief's Signature and Date			
<u>[Signature]</u>		<u>[Signature]</u>			
		06/15/2023			

**THE NAVAJO NATION
 PROGRAM PERFORMANCE CRITERIA**

FY 2023

PART I. PROGRAM INFORMATION:		Business Unit No.: <u>NEW</u>		Program Name/Title: <u>LUKACHUKAI COMMUNITY ENCLOSED TRACK</u>	
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:					
PART III. PROGRAM PERFORMANCE CRITERIA:					
1. Goal Statement:	<u>Assist registered voters in Rock Point Community with Septic Tank Cleaning</u>				
	<u>Program Performance Measure/Objective:</u>				
	<u>Complete septic tank cleaning at the residents.</u>				
2. Goal Statement:					
	<u>Program Performance Measure/Objective:</u>				
3. Goal Statement:					
	<u>Program Performance Measure/Objective:</u>				
4. Goal Statement:					
	<u>Program Performance Measure/Objective:</u>				
5. Goal Statement:					
	<u>Program Performance Measure/Objective:</u>				
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.					
		James Adakai, Deputy Director		Calvin Castillo, Division Director	
		Program Manager's Printed Name		Division Director/Branch Chief's Printed Name	
		<u>6-15-23</u>		<u>06/15/2023</u>	
		Program Manager's Signature and Date		Division Director/Branch Chief's Signature and Date	

THE NAVAJO NATION
 DETAILED BUDGET AND JUSTIFICATION

PART I. PROGRAM INFORMATION: Program Name/Title: <u>LUKACHUKAI COMMUNITY ENCLOSED TRACK</u> Business Unit No.: <u>NEW</u>			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6500 6910	CONTRACTUAL SERVICES OTHER CONTRACTUAL SERVICES 6921 Other Services	449,685	449,685
TOTAL		449,685	449,685

PROJECT FORM

PROJECT BUDGET SCHEDULE

PART I. Business Unit No.: NEW Project Title: LUKACHUKAI CHAPTER COMMUNITY ENCLOSED TRACK Project Description: Complete Enclosed Track on Chapter grounds for community usage.		PART II. Project Information Project Type: ENCLOSED TRACK Planned Start Date: 4/1/2023 Planned End Date: 12/13/2026 Project Manager: DCD																	
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification																			
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.																			
PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.																			
	FY 2025				FY 2026				Expected Completion Date if project exceeds 8 FY Qtrs.										
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.											
4/1/23 - 3/31/24	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	
Complete documents for subcontractor 164 Process	x	x	x	x	x	x	x	x											
4/1/24 - 9/30/26																			
Enclosed Track construction within the Lukachukai Chapter																			
10/1/26-12/13/26																			
Close out of financial documents and other paperwork needed to ensure enclosed track is ready to use.																			
PART V.													PROJECT TOTAL						
Expected Quarterly Expenditures	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$337,263.75

FOR OMB USE ONLY: Resolution No: _____ FIMS Set Up Date: _____ Company No: _____ OMB Analyst: _____



LUKACHUKAI CHAPTER
P. O. Box 248 Lukachukai, Arizona 86507
Telephone: (928)787-2500 Fax: (928) 787-2332
Email: lukachukai@navajochapters.org

Carl R. Slater, Council Delegate Paula S. Begay, President Connette Blair, Vice-President Mary Ann Leonard, Sec./Treasurer Larsen Nez, Grazing Comm.

LUK23-26

**RESOLUTION OF THE
LUKACHUKAI CHAPTER #036.**

**APPROVING THE LUKACHUKAI CHAPTER AMERICAN RESCUE PLAN ACT PROJECTS AND
BUDGET IN ACCORDANCE WITH DELEGATE REGION EQUAL DISTRIBUTION OF
\$1,760,468.00.**

WHEREAS:

1. Pursuant to Navajo Nation Council Resolution No. CJ-20-55, dated November 14, 1955, the Lukachukai Chapter is vested with authority and charged with the responsibility to promote, protect and preserve the interest and general welfare, including the health and safety of its community people; AND
2. The Indian Self-Determination Act (P.L. 93-638) of the U. S. Congress and Local Governance Initiatives entitles and support us, Navajo Indians, in initiating plans making decisions, recommendation, request, etc., according to our actual needs and desires; AND
3. The Lukachukai Chapter received confirmation from its Navajo Nation Council Delegate Carl R. Slater that the five (5) Chapters under his leadership will equally distribute the \$8,802,340.00, with Lukachukai Chapter allocation of \$1,760,468.00 for projects using the ARPA funds with budget amounts as follows:

PROJECTS DESCRIPTION	AMOUNT
a. Chapter Field House Renovation/Replacement Roof	\$ 87,150.00
b. Chapter House Renovation Roof	\$ 43,900.00
c. Public Employment Program/Illegal Trash Dumping Cleanup	\$ 400,000.00
d. Community Septic Tank Cleaning	\$ 135,150.00
e. Chapter Enclosed Track	\$ 449,685.00
f. Senior Center Renovation	\$ 107,767.66
g. Police Housing Water & Sewer Line Extension	\$ 144,000.00
h. Police Housing Powerline Extension	\$
i. Agriculture Building Water & Sewer Line Extension	\$ 419,848.00
j. Agriculture Building Powerline Extension	\$

NOW THEREFORE BE IT RESOLVED THAT:

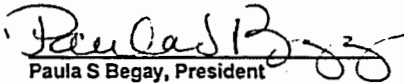
1. The Lukachukai Chapter hereby approves the American Rescue Plan Act projects and budget in accordance with Delegate Region Equal Distribution of \$1,760,468.00.
2. The Lukachukai Chapter affirms that the Chapter will only use awarded Fiscal Recovery Funds and implement this FRF Expenditure Plan in compliance with the ARPA, ARPA Regulations, and with all applicable Federal and Navajo Nation Laws, Regulations, and Policies.

CERTIFICATION

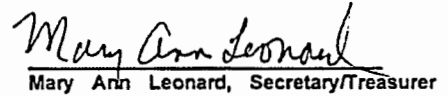
We, hereby certify the foregoing resolution was duly considered by the Lukachukai Chapter at a duly called Chapter Meeting at Lukachukai, Arizona at which a quorum was present and the same was passed by a vote of 17 in favor, 0 opposed, and 0 abstained on this 16th day of March, 2023.

Motion by: Miranda Haskie

Second by: Ervin Begay


Paula S Begay, President


Connette Blair, Vice-President


Mary Ann Leonard, Secretary/Treasurer



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK0588

Date & Time Received: 6/21/23 at 10:29

Date & Time of Response: 6/30/23 at 17:00

Entity Requesting FRF: Lukachukai Chapter

Title of Project: Renovation/Replacement of Chapter Fieldhouse Roof

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$87,150.00

Eligibility Determination:

- FRF eligible
 FRF ineligible
 Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
 (3) Government Services/Lost Revenue
 (2) Premium Pay
 (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: 2.22 Strong Healthy Communities: Neighborhood Features that Promote Health and Safety

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Name of DOJ Reviewer: MacArthur Stant

Signature of DOJ Reviewer: MacArthur Stant

Digitally signed by MacArthur Stant
Date: 2023.06.30 12:09:28 -06'00'

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

APPENDIX A

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: LUKACHUKAI CHAPTER Date prepared: 3/24/23

Chapter's mailing address: PO BOX 248 LUKACHUKAI, AZ 86507 phone/email: (928) 787-2500
website (if any): lukachukai@navajochapters.org

This Form prepared by: PAULA S. BEGAY phone/email: (928) 266-7426
CHAPTER PRESIDENT psbegay@naataanil.org
CONTACT PERSON'S name and title CONTACT PERSON'S title

Title and type of Project: RENOVATION/REPLACEMENT OF CHAPTER FIELDHOUSE ROOF

Chapter President: PAULA S. BEGAY phone & email: (928) 266-7426, psbegay@naataanil.org

Chapter Vice-President: CONNETTE BLAIR phone & email: (505) 860-8757, cblair@naataanil.org

Chapter Secretary: MARY ANN LEONARD phone & email: (928) 797-1081, mleonard@navajochapters.org

Chapter Treasurer: SAME AS ABOVE phone & email: _____

Chapter Manager or CSC: VACANT phone & email: _____

DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 674-2251, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____

document attached

Amount of FRF requested: \$87,150.00 FRF funding period: 4/1/23 - 12/13/26
Indicate Project starting and ending/budgeting date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Lukachukai Chapter will use the funds to renovate the debilitating roof of the Chapter Fieldhouse. The Lukachukai Chapter will demolish the old roofing, clean up debris and rebuild the roof using various equipment and labor. The Lukachukai Chapter will ensure that the funds expended will address public health challenges that partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Lukachukai Chapter, they worked tirelessly to assist their community by having events that would keep their residents safe. The fieldhouse was not in use due to the despair it was in. Having the roof rebuilt means the chapter has the ability to house more supplies along with having a place available for emergency usage.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026.

This project estimates the successful completion of the new roof and will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project.

DCD will be the oversight of the sub-recipient agreement with Lukachukai Chapter to complete the services needed to facilitate the roof renovation.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively

After the initial construction warranty, the Chapter will assume all routine maintenance to ensure the viability of the warehouse.

document attached

(f) State which of the 65 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

2.23 STRONG HEALTHY COMMUNITIES: Demolition and Rehabilitation of Properties. The Lukachukai Chapter Fieldhouse falls under this as the demolition of the roof and building the new roof will allow the community to use the chapter fieldhouse for activities that would promote a healthy community and a healthy family.

document attached

Part 3. Additional documents.

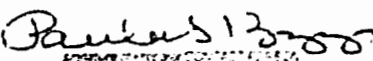
List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

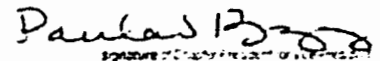
Resolution
Quote

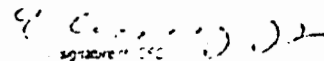
Chapter Resolution attached


Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies

Chapter's Preparer: 
signature of state and contract officer

Approved by: 
signature of Chapter President or alternate

Approved by: 
signature of DCD

Approved by: 
signature of DCD

Approved to submit for Review: 
signature of DCD



THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

FY 2023

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>LUKACHUKAI CHAPTER FIELDHOUSE ROOF</u>		Division/Branch: <u>Div. of Comm. Dev./ Chinle ASC</u>	
Prepared By: <u>PAULA S. BEGAY</u>		Phone No.: <u>(928) 266-7426</u>		Email Address: <u>psbegay@nantaanm.org</u>	
PART II. FUNDING SOURCE(S)		Fiscal Year Term	Amount	% of Total	
4/1/23-12/31/26		4/1/23-12/31/26	87,150.00	100%	
PART III. BUDGET SUMMARY		Fund Type Code	Original Budget	Proposed Budget	Difference or Total
2001 Personnel Expenses					
3000 Travel Expenses					
3500 Meeting Expenses					
4000 Supplies					
5000 Lease and Rental					
5500 Communications and Utilities					
6000 Repairs and Maintenance					
6500 Contractual Services					
7000 Special Transactions					
8000 Public Assistance					
9000 Capital Outlay		6		87,150	87,150
9500 Matching Funds					
9500 Indirect Cost					
TOTAL			50.00	87,150.00	87,150
PART IV. POSITIONS AND VEHICLES			(D)	(E)	
Total # of Positions Budgeted:					
Total # of Vehicles Budgeted:					
TOTAL:			\$87,150.00	100%	
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.					
SUBMITTED BY:		APPROVED BY:			
James Adakai, Deputy Director		Calvin Castillo, Executive Director		Division Director / Branch Chief's Printed Name	
Program Manager's Printed Name		Division Director / Branch Chief's Signature		06/15/2023	
Program Manager's Signature and Date		Division Director / Branch Chief's Signature and Date			

**THE NAVAJO NATION
 PROGRAM PERFORMANCE CRITERIA**

FY 2023

PART I. PROGRAM INFORMATION:		Business Unit No.:		Program Name/Title:		LUKACHUKAI CHAPTER FIELDHOUSE ROOF					
PART II. PURPOSE OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:											
PART III. PROGRAM PERFORMANCE CRITERIA:		1st QTR		2nd QTR		3rd QTR		4th QTR			
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement:											
Renovation of Lukachukai Chapter Fieldhouse roof											
Program Performance Measure/Objective:											
Complete the renovation of the fieldhouse roof										1	
2. Goal Statement:											
Program Performance Measure/Objective:											
3. Goal Statement:											
Program Performance Measure/Objective:											
4. Goal Statement:											
Program Performance Measure/Objective:											
5. Goal Statement:											
Program Performance Measure/Objective:											
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.											
Program Manager's Printed Name		James Adakai, Deputy Director		Program Manager's Printed Name		Calvin Castillo, Division Director		Division Director/Branch Chief's Printed Name			
Program Manager's Signature and Date		 6-15-23		Program Manager's Signature and Date				06/15/2023			

THE NAVAJO NATION
 DETAILED BUDGET AND JUSTIFICATION

FY 2023

PART I. PROGRAM INFORMATION:		Business Unit No.:	
Program Name/Title:		NEW	
LUXACHUKAI CHAPTER FIELDHOUSE ROOF			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
5300	CAPITAL OUTLAY		87,150
5350	BUILDING IMPROVEMENTS	87,150	
	9054 Building Improvements		
TOTAL		87,150	87,150

THE NAVAJO NATION
PROJECT BUDGET SCHEDULE

PART I. Business Unit No.: NEW		PART II. Project Information	
Project Title: LUKACHUKAI CHAPTER FIELDHOUSE ROOF RENOVATION		Project Type: Chapter Fieldhouse Roof Reno	
Project Description: Renovation of Chapter Fieldhouse Roof.		Planned Start Date: 4/1/2023	
		Planned End Date: 12/13/2026	
Check one box <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification		Project Manager: DCD	
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.		Expected Completion Date if project exceeds 8 FY Qtrs.	
		12/13/2026	
		O N D J F M A M J J A S O N D J F M	
		1st Qtr. 2nd Qtr. 3rd Qtr. 4th Qtr.	
		FY 2025 FY 2026	
		O N D J F M A M J J A S O N D J F M	
		1st Qtr. 2nd Qtr. 3rd Qtr. 4th Qtr.	
		\$ \$ \$ \$	
		PROJECT TOTAL	
PART V. Expected Quarterly Expenditures			

FOR OMB USE ONLY: Resolution No: _____ FIMS Set Up Date: _____ Company No: _____ OMB Analyst: _____



LUKACHUKAI CHAPTER
P. O. Box 248 Lukachukai, Arizona 86507
Telephone: (928)787-2500 Fax: (928) 787-2332
Email: lukachukai@navajochapters.org

Carl R. Slater, Council Delegate Paula S. Begay, President Connette Blair, Vice-President Mary Ann Leonard, Sec./Treasurer Larsen Nez, Grazing Comm.

LUK23-26

**RESOLUTION OF THE
LUKACHUKAI CHAPTER #036.**

**APPROVING THE LUKACHUKAI CHAPTER AMERICAN RESCUE PLAN ACT PROJECTS AND
BUDGET IN ACCORDANCE WITH DELEGATE REGION EQUAL DISTRIBUTION OF
\$1,760,468.00.**

WHEREAS:

1. Pursuant to Navajo Nation Council Resolution No. CJ-20-55, dated November 14, 1955, the Lukachukai Chapter is vested with authority and charged with the responsibility to promote, protect and preserve the interest and general welfare, including the health and safety of its community people; AND
2. The Indian Self-Determination Act (P.L. 93-638) of the U. S. Congress and Local Governance Initiatives entitles and support us, Navajo Indians, in initiating plans making decisions, recommendation, request, etc., according to our actual needs and desires; AND
3. The Lukachukai Chapter received confirmation from its Navajo Nation Council Delegate Carl R. Slater that the five (5) Chapters under his leadership will equally distribute the \$8,802,340.00, with Lukachukai Chapter allocation of \$1,760,468.00 for projects using the ARPA funds with budget amounts as follows:

PROJECTS DESCRIPTION	AMOUNT
a. Chapter Field House Renovation/Replacement Roof	\$ 87,150.00
b. Chapter House Renovation Roof	\$ 43,900.00
c. Public Employment Program/Illegal Trash Dumping Cleanup	\$ 400,000.00
d. Community Septic Tank Cleaning	\$ 135,150.00
e. Chapter Enclosed Track	\$ 449,685.00
f. Senior Center Renovation	\$ 107,767.66
g. Police Housing Water & Sewer Line Extension	\$ 144,000.00
h. Police Housing Powerline Extension	\$
i. Agriculture Building Water & Sewer Line Extension	\$ 419,848.00
j. Agriculture Building Powerline Extension	\$

NOW THEREFORE BE IT RESOLVED THAT:

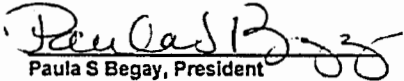
1. The Lukachukai Chapter hereby approves the American Rescue Plan Act projects and budget in accordance with Delegate Region Equal Distribution of \$1,760,468.00.
2. The Lukachukai Chapter affirms that the Chapter will only use awarded Fiscal Recovery Funds and implement this FRF Expenditure Plan in compliance with the ARPA, ARPA Regulations, and with all applicable Federal and Navajo Nation Laws, Regulations, and Policies.

CERTIFICATION

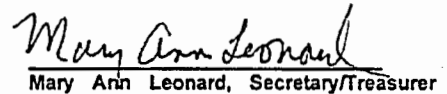
We, hereby certify the foregoing resolution was duly considered by the Lukachukai Chapter at a duly called Chapter Meeting at Lukachukai, Arizona at which a quorum was present and the same was passed by a vote of 17 in favor, 0 opposed, and 0 abstained on this 16th day of March, 2023.

Motion by: Miranda Haskie

Second by: Ervin Begay


Paula S Begay, President


Connette Blair, Vice-President


Mary Ann Leonard, Secretary/Treasurer



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK0589

Date & Time Received: 6/21/2023 at 10:36

Date & Time of Response: 7/10/2023 at 17:00

Entity Requesting FRF: Lukachukai Chapter

Title of Project: Public Employment Program & Illegal Trash Dump Clean-up

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$400,000.00

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category:
1.14, Other Public Health Services

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: We have modified the requested expenditure code from 6.1 (Provision of Government Services) to expenditure code 1.14 (Other Public Health Services). 1.14 is a more favorable category because it is not limited to the amount of the Nation's lost revenue.

Name of DOJ Reviewer: Mel M. Rodis, Assistant Attorney General, Tax & Finance Unit

Signature of DOJ Reviewer: *MRodis*

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

APPENDIX A

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: LUKACHUKAI CHAPTER Date prepared: 3/24/23

Chapter's mailing address: PO BOX 248 LUKACHUKAI, AZ 86507 phone/email: (928) 787-2500
website (if any): lukachukai@navajochapters.org

This Form prepared by: PAULA S. BEGAY phone/email: (928) 266-7426
CHAPTER PRESIDENT psbegay@naataanil.org
CONTACT PERSON'S name and title CONTACT PERSON'S title

Title and type of Project: PUBLIC EMPLOYMENT PROGRAM & ILLEGAL TRASH DUMP CLEAN-UP

Chapter President: PAULA S. BEGAY phone & email: (928) 266-7426, psbegay@naataanil.org

Chapter Vice-President: CONNETTE BLAIR phone & email: (505) 362-8757, cblair@naataanil.org

Chapter Secretary: MARY ANN LEONARD phone & email: (928) 797-1081, mleonard@navajochapters.org

Chapter Treasurer: SAME AS ABOVE phone & email: _____

Chapter Manager or CSC: VACANT phone & email: _____

DCD/Chapter ASO: CHINLE/EDGERTON GENE phone & email: (928) 674-2251, egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____

document attached

Amount of FRF requested: \$400,000.00 FRF funding period: 4/1/23 - 12/13/26
Indicate Project starting and ending/estimated date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Lukachukai Chapter will use the funds to assist the community with illegal trash dumping cleanups. The funds will be used to purchase supplies, safety equipment and hire personnel. The Lukachukai Chapter will assist their community with having a cleaner community to live in and assist the chapter with other projects. The illegal trash dumps will be blocked so that is no longer in use. The blockades will deter illegal dumping within the chapter boundaries. The Lukachukai Chapter will ensure that the funds expended will address public health challenges that partly caused the unequal impact on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Within the Lukachukai Chapter, a high number of residents have followed Navajo Nation Covid-19 guidelines and stayed home more than ever. The clean up will allow the community a safer environment to live in along with beautifying the land. The PEP workers will assist the chapter with other projects.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026

This project estimates the successful completion of the community clean up completed on a quarterly basis. The PEP workers will complete projects as assigned. The Lukachukai Chapter will obligate the funds no later than December 31, 2024 and will fully expend the funds no later than December 13, 2026.

document attached

(d) Identify who will be responsible for implementing the Program or Project.

DCD will be the oversight of the sub-recipient agreement with Lukachukai Chapter to complete the services needed to facilitate the illegal trash clean up along with other projects the chapter has planned..

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively.

The Lukachukai Chapter will work to ensure that the illegal trash clean up will be completed and will work with the community to ensure that these dumps are cut off from public access and ensure preventative maintenance is completed after the ARPA funds are depleted.

document attached

(f) State which of the 65 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why.

6.1 Provision of Government Services. The clean up of illegal trash dumping sites addresses the conditions that contributed to poor public health and economic outcomes during the pandemic, namely concentrated areas with limited economic opportunity. The Chapter will employ community members with enhances skills to ensure their future economic opportunity is heightened.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations and with all applicable federal and Navajo Nation laws, regulations, and policies.

Chapter's Preparer: *Paula S. Ziegler*
signature of Preparer/CONTACT PERSON

Approved by: *Paula S. Ziegler*
signature of Chapter President or Vice President

Approved by: *E. Johnson*
signature of ESC

Approved by: *E. Johnson*
signature of Chapter ESC

Approved to submit for Review: *[Signature]*
signature of DCD Director

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

FY 2023

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Lukachukai Public Employment Program</u>		Division/Branch: <u>Div. of Comm. Dev/ Chinle ASC</u>	
Prepared By: <u>Paula Begay</u>		Phone No.: <u>(928) 787-2500</u>		Email Address: <u>psbegay@naaiaamu.org</u>	
Fiscal Year	Amount	% of Total	PART III. BUDGET SUMMARY		
<u>4/1/23 12/31/26</u>	<u>400,000.00</u>	<u>100%</u>	Fund Type Code	(A) NNC Original Budget	(B) Proposed Budget
			2001 Personnel Expenses		
			3000 Travel Expenses		
			3500 Meeting Expenses		
			4000 Supplies		29,309
			5000 Lease and Rental		
			5500 Communications and Utilities		
			6000 Repairs and Maintenance		
			6500 Contractual Services		
			7000 Special Transactions		
			8000 Public Assistance		370,691
			9000 Capital Outlay		
			9500 Matching Funds		
			9500 Indirect Cost		
			TOTAL	\$0.00	400,000.00
			PART IV. POSITIONS AND VEHICLES		
			Total # of Positions Budgeted:		
			Total # of Vehicles Budgeted:		
TOTAL:	\$400,000.00	100%	(D)	(E)	

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: James Adakai, Deputy Director APPROVED BY: Calvin Castillo, Executive Director
Program Manager's Printed Name 6-15-23 06/15/2023
Program Manager's Signature and Date Division Director/Branch Chief's Signature and Date

**THE NAVAJO NATION
 PROGRAM PERFORMANCE CRITERIA**

PART I. PROGRAM INFORMATION:		Lukachukal Public Employment Program								
Business Unit No.:	NEW	Program Name/Title:								
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:										
PART III. PROGRAM PERFORMANCE CRITERIA:										
1. Goal Statement:										
Advise and hire 6 Carpenters/Laborers to perform housing renovations using ARPA funding.										
Program Performance Measure/Objective: Hiring of 3 Carpenters/Laborers										
	1st QTR	2nd QTR	3rd QTR	4th QTR	Goal	Actual	Goal	Actual	Goal	Actual
	3	3	3	3						
2. Goal Statement:										
Advise and hire 1 FEP Supervisor to oversee projects using ARPA funding.										
Program Performance Measure/Objective: Hiring of 1 Supervisor										
	1	1	1	1						
3. Goal Statement:										
Program Performance Measure/Objective:										
4. Goal Statement:										
Program Performance Measure/Objective:										
5. Goal Statement:										
Program Performance Measure/Objective:										
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.										
James Adakai, Deputy Director					Caitlin Castillo, Executive Director					
Program Manager's Printed Name <u>James Adakai</u>					Division Director/Branch Chief's Printed Name <u>Caitlin Castillo</u>					
Program Manager's Signature and Date <u>[Signature]</u> 06-15-23					Division Director/Branch Chief's Signature and Date <u>[Signature]</u> 06/15/2023					

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 202B

PART I. PROGRAM INFORMATION:		Business Unit No.:	NEW	
Program Name/Title: <u>Lukachukai Public Employment Program</u>				
PART II. DETAILED BUDGET:		(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)	
4000	SUPPLIES	29,309	29,309	
4410	OPERATING SUPPLIES			
	4420 General Operating Supplies			
8000	ASSISTANCE			
8705	CHAPTER			
	8710- PEP Hire 4 Supervisors @ \$15.00/hour @ 1,040 hours = \$62,400.00			
	FICA: 6.2% x \$62,400.00 = \$3,868.80			
	Medicare: 1.45% x \$62,400.00 = \$904.80			
	Workman's Compensation: 0.53% x \$62,400.00 = \$330.72			
	Unemployment: 1.83% x \$62,400.00 = \$1,141.92			
	TOTAL: \$68,646.24 [\$68,647.00]			
	8710- PEP Hire 22 Carpenters/Laborers @ \$12.00/hour @ 1,040 hours = \$274,560.00			
	FICA: 6.2% x \$274,560.00 = \$17,022.72			
	Medicare: 1.45% x \$274,560.00 = \$3,981.12			
	Workman's Compensation: 0.53% x \$274,560.00 = \$1,455.17			
	Unemployment: 1.83% x \$274,560.00 = \$5,024.45			
	TOTAL: \$302,043.46 [\$302,044.00]			
	TOTAL	400,000	400,000	400,000



LUKACHUKAI CHAPTER
P. O. Box 248 Lukachukai, Arizona 86507
Telephone: (928)787-2500 Fax: (928) 787-2332
Email: lukachukai@navajochapters.org

Carl R. Slater, Council Delegate Paula S. Begay, President Connette Blair, Vice-President Mary Ann Leonard, Sec./Treasurer Larsen Nez, Grazing Comm.

LUK23-26

**RESOLUTION OF THE
 LUKACHUKAI CHAPTER #036.**

APPROVING THE LUKACHUKAI CHAPTER AMERICAN RESCUE PLAN ACT PROJECTS AND BUDGET IN ACCORDANCE WITH DELEGATE REGION EQUAL DISTRIBUTION OF \$1,760,468.00.

WHEREAS:

1. Pursuant to Navajo Nation Council Resolution No. CJ-20-55, dated November 14, 1955, the Lukachukai Chapter is vested with authority and charged with the responsibility to promote, protect and preserve the interest and general welfare, including the health and safety of its community people; AND
2. The Indian Self-Determination Act (P.L. 93-638) of the U. S. Congress and Local Governance Initiatives entitles and support us, Navajo Indians, in initiating plans making decisions, recommendation, request, etc., according to our actual needs and desires; AND
3. The Lukachukai Chapter received confirmation from its Navajo Nation Council Delegate Carl R. Slater that the five (5) Chapters under his leadership will equally distribute the \$8,802,340.00, with Lukachukai Chapter allocation of \$1,760,468.00 for projects using the ARPA funds with budget amounts as follows:

PROJECTS DESCRIPTION	AMOUNT
a. Chapter Field House Renovation/Replacement Roof	\$ 87,150.00
b. Chapter House Renovation Roof	\$ 43,900.00
c. Public Employment Program/Illegal Trash Dumping Cleanup	\$ 400,000.00
d. Community Septic Tank Cleaning	\$ 135,150.00
e. Chapter Enclosed Track	\$ 449,685.00
f. Senior Center Renovation	\$ 107,767.66
g. Police Housing Water & Sewer Line Extension	\$ 144,000.00
h. Police Housing Powerline Extension	\$
i. Agriculture Building Water & Sewer Line Extension	\$ 419,848.00
j. Agriculture Building Powerline Extension	\$

NOW THEREFORE BE IT RESOLVED THAT:

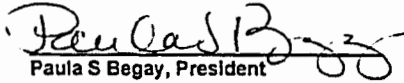
1. The Lukachukai Chapter hereby approves the American Rescue Plan Act projects and budget in accordance with Delegate Region Equal Distribution of \$1,760,468.00.
2. The Lukachukai Chapter affirms that the Chapter will only use awarded Fiscal Recovery Funds and implement this FRF Expenditure Plan in compliance with the ARPA, ARPA Regulations, and with all applicable Federal and Navajo Nation Laws, Regulations, and Policies.

CERTIFICATION

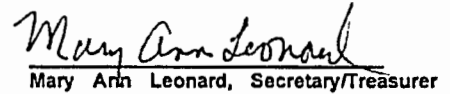
We, hereby certify the foregoing resolution was duly considered by the Lukachukai Chapter at a duly called Chapter Meeting at Lukachukai, Arizona at which a quorum was present and the same was passed by a vote of 17 in favor, 0 opposed, and 0 abstained on this 16th day of March, 2023.

Motion by: Miranda Haskie

Second by: Ervin Begay


Paula S Begay, President


Connette Blair, Vice-President


Mary Ann Leonard, Secretary/Treasurer

NAVAJO NATION

190

7/19/2023

Navajo Nation Council Summer Session

06:27:54 PM

Amd# to Amd#

Legislation 0128-23: Approving
the Navajo Nation Fiscal
Recovery Fund Delegate Region
Project Plan for.....

PASSED

MOT Nez, R
SEC Nez, A

Yeas : 20

Nays : 0

Excused : 1

Not Voting : 2

Yea : 20

Aseret, L

Crotty, A

Nez, A

Simpson, D

Begay, H

Daniels, H

Nez, R

Slater, C

Begay, N

James, V

Notah, N

Tolth, G

Charles-Newton, E

Jesus, B

Parrish, S

Tso, O

Claw, S

Johnson, C

Simonson, G

Yazzie, C

Nay : 0

Excused : 1

Damon, S

Not Voting : 2

Arviso, S

Yanito, C

Presiding Speaker: Curley, C